# CARDIAC RHYTHM & HEART FAILURE

# **Product Performance Report**

Important Patient Management Information for Physicians

2018

2<sup>nd</sup> Edition – Issue 79



# **CRHF Product Performance Report**

# 2<sup>nd</sup> Edition Issue 79

Cutoff date for this edition is 31 July 2018 for Lead Study data and 12 October 2018 for all other data, unless otherwise stated.

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# **Our Commitment to Quality**

Medtronic was founded in 1949 and has grown to become a global leader in medical technology. Seeing what a difference medical technology could make in the lives of patients inspired our founder to develop the Medtronic Mission, which remains unchanged today.

The third tenet of the mission is all about quality:

"To strive without reserve for the greatest possible reliability and quality in our products, to be the unsurpassed standard of comparison, and to be recognized as a company of dedication, honesty, integrity, and service."

Regardless of function, all CRHF employees play a role in product quality. Whether designing new therapies, sourcing components, manufacturing products, hiring talented people, assigning financial resources to project teams, or serving in one of the hundreds of other roles, every employee has an influence on product quality.

Product performance information is received from many sources through various channels. Medtronic monitors information from many sources from Research and Development through Manufacturing and Field Performance Vigilance.

When a device is returned to Medtronic, laboratory technicians and engineers assess overall device function. Analysis of returned product is performed according to written procedures. These procedures determine the minimum analysis required. The analysis required varies depending on the type of device, age of the device, the associated information received with the device, actual experience with models of similar design, and other factors. Additional analysis is performed as necessary to investigate a performance concern from a customer, or to collect specific reliability data.

When a malfunction is identified, failure analysis is performed to provide the detailed information necessary to investigate possible causes and actions. Medtronic CRHF maintains in-house expertise and performs its failure analysis using facilities it owns and supports. This capability permits detailed failure analysis.

Analysis results are compared to original manufacturing records and design intent. Clinical observations are added to laboratory findings to help determine root cause. Each event is then compared to other events. If a pattern is detected, actions are taken to identify a common root cause, assess patient risk and an appropriate course of action.

Medtronic instituted the industry's first product performance reports in 1983 by publishing data on our chronic lead studies. Pacemakers and other devices followed as our performance reporting has constantly evolved based on customer needs and feedback. One thing has been a constant. It is our sincere commitment to communicate clearly, offering timely and appropriate product performance data and reliability information. This has always been and will continue to be our goal.

# **Contact Information**

We invite our customers to use these telephone numbers to call with suggestions, inquiries, or specific problems related to our products.

#### **US Technical Services Department**

Phone: 1 (800) 723-4636 (Tachy)

1 (800) 505-4636 (Brady)

Fax: 1 (800) 824-2362

#### International Technical Centers

Europe (Heerlen NL) +31-45-566-8844 Japan (Tokyo) +81-3-6430-7026

For questions related to returning explanted product or returning product that shows signs of malfunction, please contact:

Outside the United States:

Your Medtronic representative or international technical center at the number above.

Within the United States:

Your Medtronic representative or

CRHF Returned Product Analysis Laboratory Phone: 1 (800) 328-2518, ext. 44800

Email:

crdm.returnedproduct@medtronic.com

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# Introduction

For 35 years, Medtronic has monitored performance via both returned product analysis and multicenter clinical studies.

This Product Performance Report (PPR) presents device survival estimates, advisory summaries, performance notes, and other information pertinent to assessing the performance of Medtronic implantable pulse generators (IPGs), implantable cardioverter defibrillators (ICDs), cardiac resynchronization therapy (CRT) devices, and implantable pacing and defibrillation leads.

This Product Performance Report has been prepared in accordance with International Standard ISO 5841-2:2000(E).

The survival estimates provided in this report are considered to be representative of worldwide performance.

#### **Survival Estimates**

Medtronic, like other companies, monitors CRT, ICD, and IPG device performance using returned product analysis. We also monitor CRT, ICD, and IPG device performance using an active multicenter clinical study.

Returned product analysis is a passive approach to assessing product performance. This approach provides a suitable measure of product performance only when a significant number of explanted products are returned to the manufacturer. Returned product analysis provides a measure of hardware performance, but not necessarily the total clinical performance (e.g., the incidence of complications such as infection, erosion, muscle stimulation, etc. are not estimated).

The survival estimates provided in this report for CRT, ICD, and IPG devices are based on returned product analysis. This approach is suitable because a significant number of explanted generators are returned for analysis.

Lead performance is monitored differently. In contrast to CRT, ICD, and IPG devices, a very small percentage of leads are returned to the manufacturer due to the difficulty of explanting them. For leads, an active clinical study provides more accurate survival estimates compared to estimates based solely on returned product analysis.

Survival estimates for leads are based on clinical observations recorded via Medtronic's PAN Registry. This multicenter clinical study is designed to record clinical observations representative of the total clinical experience. Therefore, the lead survival estimates include both lead hardware failure and lead-related medical complications, and do not differentiate a lead hardware failure from other clinical events such as exit block, perforation, dislodgement, or concurrent pulse generator failure.

The actuarial life table method is applied to the data collected for CRT, ICD, and IPG devices and leads to provide the survival estimates included in this report. A general introduction to understanding this method of survival analysis is given later in this introduction.

## **ICD Charge Times**

Since May 2000, Medtronic has provided important information on charge time performance of ICDs. The information provided in this report shows how ICD charge time can vary during the time a device is implanted. The information is presented in graphical format showing charge time as a function of implant time. The data for charge times are collected from devices enrolled in the PAN registry.

# Introduction continued

#### **Advisory Summaries**

This Product Performance Report includes summaries of all advisories applicable to the performance of the products included in the report. An advisory is added to the report when any product affected by the advisory remains in service and at risk of experiencing the behavior described in the advisory. The advisory will remain in the report until Medtronic estimates no product affected by the advisory remains active, or the risk of experiencing the behavior described in the advisory has passed.

For most advisories, the products subject to the advisory retain essentially the same survival probability as the products of the same model(s) not affected by the advisory. For those advisories where the survival probabilities of the affected and non-affected populations do differ significantly, Medtronic will provide separate survival data for each population. The separate survival data will remain in the report until Medtronic estimates no affected product remains in active service.

#### **Performance Notes**

This report concludes with a number of Performance Notes developed by Medtronic to provide additional product performance information relevant to follow-up practice and patient management.

#### **How You Can Help**

Medtronic urges all physicians to return explanted products and to notify Medtronic when a product is no longer in use, regardless of the reason for explant or removal from use. The procedures for returning products vary by geographic location.

Mailer kits with prepaid US postage are available for use within the United States to send CRTs, ICDs, IPGs, ICMs, and leads to Medtronic's Cardiac Rhythm and Heart Failure (CRHF) Returned Product Analysis Lab. These mailers are sized to accommodate the devices and leads from a single patient or clinical event and are designed to meet US postal regulations for mailing biohazard materials.

If the product being returned is located outside the United States, please contact your local Medtronic representative for instructions.

Medtronic also requests the return of explanted products from non-clinical sources, such as funeral homes, and will assume responsibility for storage and disposal of the product once received.

Mailer kits can be obtained by contacting the Returned Product Lab. For information on how to contact the Lab, refer to the Contact Information page of this report.

We continually strive to improve this CRHF Product Performance Report. In keeping with this philosophy, we ask for your suggestions on the content and format of this report, as well as any information you have regarding the performance of Medtronic products. For information on how to comment on this report, see the Contact Information page.

#### **Overview of Survival Analysis**

Medtronic uses the Cutler-Ederer actuarial life table method for devices and Kaplan-Meier for leads to estimate the length of time over which they will perform within performance limits established by Medtronic. This probability to perform within performance limits over time is called the survival probability.

Devices and leads are followed until an event occurs where the device or lead ceases to operate within performance limits. The length of time from implant to the event is recorded for individual devices and leads in the population sample. The population sample for CRT, ICD, and IPG devices is made up of patients whose devices are registered as implanted in the United States. For leads, the population sample is the patients enrolled in our multicenter, international prospective Product Surveillance Registry.

# Introduction continued

For CRTs, IPGs and ICDs, the events can be normal battery depletion or a device malfunction. For leads, the events are complications as defined in the study protocol.

The actuarial life table method allows Medtronic to account for devices and leads removed from service for reasons unrelated to performance and for device and leads still in service. Devices and leads removed for reasons unrelated to performance or are still in service are said to be suspended. Examples of devices and leads removed from service for reasons unrelated to performance include:

- Removed to upgrade the device or lead
- No longer in service due to the death of the patient for reasons unrelated to the device or leads
- Implanted in patients who are lost to follow-up

For each suspension, the device or lead has performed within performance limits for a period of time, after which its performance is unknown.

#### **Confidence Intervals**

Since survival curves are based on a sample of the device and lead population, they are only estimates of survival. The larger the effective sample size, the more confident the estimate. A confidence interval can be calculated to assess the confidence in an estimate. In the Product Performance Report, Medtronic provides a 95% confidence interval. This can be interpreted as meaning that 95% of the time, the true survival of the device will fall somewhere in the interval.

#### **Survival Curves in the Product Performance Report**

Since the survival estimate can become very imprecise with small effective sample sizes, Medtronic truncates the survival curve when the effective sample size is less than 100 for CRTs, ICDs, and IPGs, and when the number entered is less than 50 for leads. The survival charts in the Product Performance Report show the effective sample size for each year interval where Medtronic has experience. When the effective sample size reaches 100 for CRTs, ICDs, and IPGs or when the number entered reaches 50 for leads, the next data point is added to the survival curve.

Although the report provides tabular data in one-year intervals, the device curves are actually computed and plotted using the Cutler-Ederer method and 1-month intervals (for CRT, ICD, and IPG devices) and leads curves are computed and plotted using Kaplan-Meier, which uses individual survival times.

A number of references are available for additional information on survival analysis using the Cutler-Ederer life table method and for the Kaplan-Meier method.<sup>2</sup>

<sup>&</sup>lt;sup>1</sup> Lee, Elisa T. (2003) Statistical Methods for Survival Data Analysis – 3rd Edition (Wiley Series in Probability and Statistics).

<sup>&</sup>lt;sup>2</sup> Klein, John P., Moeschberger, Melvin L. Survival Analysis Techniques for Censored and Truncated Data, New York: Springer-Verlag New York, Inc., 1997.

# Method for Estimating CRT, ICD, and IPG Device Performance

The performance of CRT, ICD, and IPG devices is expressed in terms of device survival estimates, where "survival" refers to the function of the device, not the survival of the patient. These survival estimates are intended to illustrate the probability that a device will survive for a given number of years without malfunction or battery depletion.

The survival estimates are determined from the analysis of Medtronic Cardiac Rhythm and Heart Failure (CRHF's) United States device registration data and US returned product analysis data. These data are presented graphically and numerically.

Because this analysis is based on returned product analysis, the performance data does not reflect any device-related medical complications such as erosion, infection, muscle stimulation, or muscle inhibition.

#### Categorization of Depleted and Malfunctioning Devices for Survival Analysis

For survival estimation, every device returned to Medtronic CRHF and analyzed in the CRHF Returned Product Analysis laboratory is assigned to one of three categories. The device 1) is functioning normally, 2) has reached normal battery depletion, or 3) has malfunctioned. This categorization is combined with data from our device registry for the total number of implants and the implant durations to create the survival curves presented on the following pages.

#### Definition of Malfunction

Medtronic CRHF considers a device as having malfunctioned whenever the analysis shows that any parameter was outside the performance limits established by Medtronic while implanted and in service. To be considered a malfunction or battery depletion, the device must have been returned to Medtronic and analyzed.

Devices damaged after explant, damaged due to failure to heed warnings or contraindications in the labeling, or damaged due to interaction with other implanted devices (including leads) are not considered device malfunctions.

A device subject to a safety advisory is not considered to have malfunctioned unless it has been returned to Medtronic CRHF and found, through analysis, to actually have performed outside the performance limits established by Medtronic.

Not all malfunctions expose the patient to a loss of therapy. Some malfunctions included in the following survival estimates may not have been detected at all by the physician or the patient. These malfunctions, however, are included in the survival estimates and provide important feedback to our product development organization.

To provide insight into the nature of malfunctions, each malfunction is categorized as Malfunction with Compromised Therapy Function or Malfunction without Compromised Therapy Function.

For this report, Normal Battery Depletion, Malfunction with Compromised Therapy Function, and Malfunction without Compromised Therapy Function are defined as follows:

#### Normal Battery Depletion – The condition when:

- (a) a device is returned with no associated complaint and the device has reached its elective replacement indicator(s) with implant time that meets or exceeds the nominal (50 percentile) predicted longevity at default (labeled) settings, or
- (b) a device is returned and the device has reached its elective replacement indicator(s) with implant time exceeding 80% of the expected longevity calculated using the available device setting information.
- (c) a device is taken out of service without an associated complaint and with evidence the battery reached its elective replacement indicator(s).

# Method for Estimating CRT, ICD, and IPG Device Performance continued

Medtronic CRHF establishes expected longevity by statistically characterizing the power consumed by the device and the power available from the device battery. This characterization is applied to a number of parameter configurations to derive a statistical mean longevity value and standard deviation for each parameter configuration. The statistical mean value minus three standard deviations is used as the expected longevity for determining if a battery depleted normally. The actual longevity achieved for any device while implanted will depend on the actual programmed parameters and patient factors, and may differ significantly from these estimates.

#### Malfunction with Compromised Therapy Function

The condition when a device is found to have malfunctioned in a manner that compromised pacing or defibrillation therapy (including complete loss or partial degradation), while implanted and in service, as confirmed by returned product analysis.

Examples: Sudden loss of battery voltage; accelerated current drain such that low battery was not detected before loss of therapy; sudden malfunction during defibrillation therapy resulting in aborted delivery of therapy, intermittent malfunction where therapy is compromised while in the malfunction state.

#### Malfunction without Compromised Therapy Function

The condition when a device is found to have malfunctioned in a manner that did not compromise pacing or defibrillation therapy, while implanted and in service, as confirmed by returned product analysis.

Examples: Error affecting diagnostic functions, telemetry function, data storage; malfunction of a component that causes battery to lose power quickly enough to cause premature battery depletion, but slowly enough that the condition is detected through normal follow-up before therapy is lost; mechanical problems with connector header that do not affect therapy.

#### **Expanded Malfunction Detail**

The malfunctions are further divided into categories that identify the subject area of the malfunction. The malfunctions are divided into the following subject areas:

Electrical Component – Findings linked to electrical components such as integrated circuits, resistors, capacitors, diodes, etc.

Electrical Interconnect – Findings linked to the connections between electrical components such as wires, solder joints, wire bonds, etc.

Battery – Findings linked to the battery and its components

Software/Firmware – Findings linked to software or firmware function

Possible Early Battery Depletion – Findings where the actual reported implant time is less than 80% of the expected longevity calculated using the available device setting information with no device malfunction observed. There may not be sufficient device setting information to determine conclusively if battery depletion was normal or premature in the absence of a specific root cause finding. However, returned devices meeting the above criteria are conservatively classified as Possible Early Battery Depletion malfunctions.

Other – Findings related to other components such as insulators, grommets, setscrews, and packaging, and findings where analysis is inconclusive.

#### **Returned Product Analysis Process**

Analysis of returned product is performed according to written procedures. These procedures determine the minimum analysis required. The analysis required varies depending on the type of device, age of the device, the associated information received with the device, actual experience with models of similar design, and other factors. Additional analysis is performed as necessary to investigate a performance concern from a customer, or to collect specific reliability data.

When a device is returned with a performance concern from a customer, the general analysis process includes a preliminary analysis of the device in its as-received condition, followed by an automated functional test using test equipment equivalent to the equipment used in manufacturing.

When a malfunction is identified, failure analysis is performed to provide the detailed information necessary to investigate possible causes and actions. Medtronic CRHF maintains in-house expertise and performs its failure analysis using facilities it owns and supports. This capability permits detailed failure analysis.

#### **Statistical Methods for Survival Analysis**

Of the several different statistical methods available for survival analysis, the Standard Actuarial Method, with suspensions assumed distributed evenly within the intervals (Cutler-Ederer Method), is used to determine survival estimates for CRT, IPG and ICD devices. Implant times are calculated from the implant date to the earlier of the explant date or the cutoff date of the report. From this data an estimate of the probability of device survival is calculated at each monthly interval.

On the following pages, each graph includes a survival curve where events include malfunctions and normal battery depletions. This survival curve is a good representation of the probability a device will survive a period of time without malfunction and without battery depletion. For example, if a device survival probability is 95% after 5 years of service, then the device has a 5% chance of being removed due to battery depletion or malfunction in the first 5 years following implant.

In addition, a second curve is included to show survival excluding normal battery depletion. This curve is a good representation of the probability for a device to survive without malfunction. This curve includes only malfunctions as events and excludes normal battery depletion.

Since the survival estimate can become very imprecise with small effective sample sizes, Medtronic truncates the survival curve when the effective sample size is less than 100 for CRT, ICD, and IPG devices. The survival charts in the Product Performance Report show the effective sample size for each year interval where we have experience. When the effective sample size reaches 100, the next data point is added to the survival curve.

Although the report provides tabular data in one-year intervals, the curves are actually computed and plotted using one-month intervals.

The data in the tables are rounded to the nearest tenth of one percent. Occasionally, a graph may show 100% survival, but have one or more malfunctions or battery depletions. This occurs because, even with the malfunctions or battery depletions, the data rounds to 100%.

#### Sample Size and How the Population and Population Samples Are Defined

The population sample from which the survival estimates are derived is comprised of the devices registered as implanted in the United States as of the report cutoff date. The number of registered implants, as well as an estimate of the number that remain in active service, is listed for each model. To be included in the population, the device must have been registered with Medtronic's registration system and implanted for at least one day.

# Method for Estimating CRT, ICD, and IPG Device Performance continued

This sample based on US implants is considered to be representative of the worldwide population, and therefore the survival estimates shown in this report should be representative of the performance worldwide of these models.

A CRT, ICD, or IPG model or model family will be included in this report when it has accumulated at least 10,000 implant months and will remain in the report as long as at least 500 devices remain active.

#### Methods Used to Adjust for Underreporting of Malfunction and Battery Depletion

The tables on the following pages show the actual number of malfunctions and battery depletions recorded by the analysis lab for US registered devices. Since not all devices are returned to Medtronic CRHF for analysis, these numbers underestimate the true number of malfunctions and battery depletions. To more accurately estimate the device survival probabilities, the number of malfunctions and battery depletions used to plot each interval of the "Including Normal Battery Depletion" survival curves is adjusted (multiplied) by a factor that is based on an estimate of the magnitude of underreporting. The magnitude of underreporting is estimated by comparing data in Medtronic's Device And Registrant Tracking (DART) system with data from Returned Product Analysis.

The DART system is an important element of Medtronic's Quality System. The DART system is designed to meet or exceed the US FDA's device tracking requirements set forth by the Safe Medical Devices Act. In the United States, over 98% of Medtronic's CRT, ICD, and IPG implants become registered in the DART system.

Because pacemakers do not cure the patient's underlying health problem, when a pacemaker stops functioning (due to either normal battery replacement or malfunction) it is replaced with a new pacemaker. Therefore, the replacement recorded in the DART system is a good indication that the previous pacemaker experienced either battery depletion or malfunction. The fraction of replaced devices that are subsequently returned can be used to estimate the correction factor for the under reporting of the combination of battery depletion and malfunction.

Note that devices of patients who have expired do not factor into the calculation of the correction. It is possible some proportion of these devices experienced battery depletion or malfunction. Since these are not counted into the correction factor based on the return rate of replaced devices, a correction factor based only on the return rate of replaced devices may still underestimate the true rate of battery depletion and malfunction. However, devices that are replaced because the patient is receiving a system upgrade or are removed because the patient no longer needs it (e.g., due to heart transplant) do contribute to the calculation of the correction factor and therefore impart an opposite bias.

Also note that this method of calculating the correction factor cannot distinguish between devices that are removed due to malfunction and those due to normal battery depletion. It might seem intuitive that devices that unexpectedly malfunction should be much more likely to be returned to the manufacturer than a device with ordinary normal battery depletion. But this has not been conclusively demonstrated. Therefore, this method only provides a correction factor reflecting the combination of battery depletion and malfunction.

No adjustment for underreporting is applied to the malfunction-free survival curve because a method for estimating malfunction-only underreporting has not been developed.

# Adjustments to Registered Implants to Compensate for Unreported Devices Removed from Service

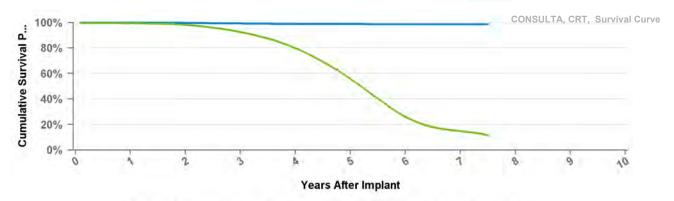
Devices are at times removed from service for reasons other than device malfunction or battery depletion. Examples are devices removed from service due to non-device related patient mortality and devices removed due to changes in the patient's medical condition. Because an accurate estimate of device survival depends on an accurate estimate of the number of devices in service, it is important not to overstate the number of devices in service.

# Method for Estimating CRT, ICD, and IPG Device Performance continued

Medtronic addresses this under reporting to ensure the number of devices in service is not overstated. Regular updates obtained from the Social Security Administration about deceased persons are used to update Medtronic's DART data about patients who have died but whose deaths had not been reported to Medtronic. In addition, the patient mortality rate derived from our DART system is monitored and compared to published mortality rates for comparable patient populations. If, during calculation of the survival curves, the patient mortality indicated by the data in DART is significantly different from published rates, an adjustment is applied to correct the difference. The correction factor for under reporting devices is also applied to account for devices that were removed and not reported or returned.

# D204TRM Consulta CRT-D

US Market Release	Jan-12	Total Malfunctions	3
CE Approval Date		Therapy Function Not Compromised	3
Registered USA Implants	2,099	Battery Malfunction	1
Estimated Active USA Implants	844	Electrical Component	1
Normal Battery Depletions	568	Poss Early Battery Depltn	1
		Therapy Function Compromised	0



Excluding Normal Battery Depletion
 Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	at 90 mo
Excluding NBD	1	0.997	0.993	0.989	0.988	0.987	0.987	0.987
Including NBD	0.995	0.981	0.925	0.797	0.557	0.259	0.148	0.114
Effective Sample Size	58004	52867	45925	35384	20309	6695	1866	325

Jul-10

# D214TRM Consulta CRT-D

US Market Release

**CE Approval Date** 

Registered USA Implants

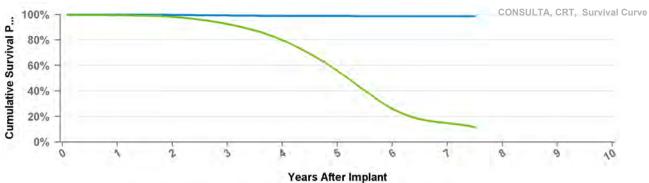
Estimated Active USA Implants

**Normal Battery Depletions** 

**Total Malfunctions** 

**Therapy Function Not Compromised** 

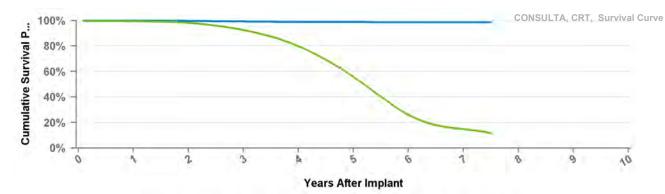
Therapy Function Compromised



Years	1	2	3	4	5	6	7	at 90 mo
Excluding NBD	1	0.997	0.993	0.989	0.988	0.987	0.987	0.987
Including NBD	0.995	0.981	0.925	0.797	0.557	0.259	0.148	0.114
Effective Sample Size	58004	52867	45925	35384	20309	6695	1866	325

# D224TRK Consulta CRT-D

US Market Release	Sep-08	Total Malfunctions	602
CE Approval Date	•	Therapy Function Not Compromised	571
Registered USA Implants	65,981	Battery Malfunction	2
Estimated Active USA Implants	12,606	Electrical Component	65
Normal Battery Depletions	19,411	Electrical Interconnect	1
		Other Malfunction	1
		Poss Early Battery Depltn	496
		Software Malfunction	6
		Therapy Function Compromised	31
		Battery Malfunction	5
		Electrical Component	26



Excluding Normal Battery Depletion
 Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	at 90 mo
Excluding NBD	1	0.997	0.993	0.989	0.988	0.987	0.987	0.987
Including NBD	0.995	0.981	0.925	0.797	0.557	0.259	0.148	0.114
Effective Sample Size	58004	52867	45925	35384	20309	6695	1866	325

# D234TRK

# Consulta CRT-D

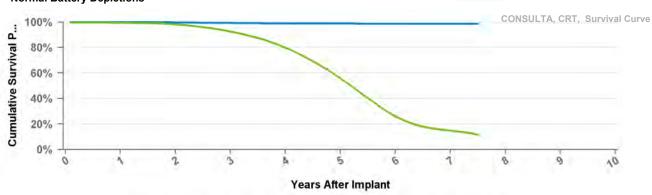
US Market Release Total Malfunctions

CE Approval Date Mar-08 Therapy Function Not Compromised

Registered USA Implants 3

Estimated Active USA Implants 1 Therapy Function Compromised

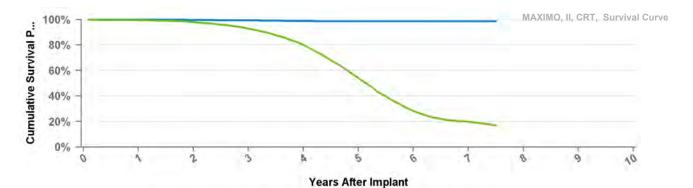
**Normal Battery Depletions** 



Years	1	2	3	4	5	6	7	at 90 mo
Excluding NBD	1	0.997	0.993	0.989	0.988	0.987	0.987	0.987
Including NBD	0.995	0.981	0.925	0.797	0.557	0.259	0.148	0.114
Effective Sample Size	58004	52867	45925	35384	20309	6695	1866	325

# D264TRM Maximo II CRT-D

US Market Release	Jan-12	Total Malfunctions	1
CE Approval Date	Jul-10	Therapy Function Not Compromised	1
Registered USA Implants	15	Other Malfunction	1
Estimated Active USA Implants	4	Therapy Function Compromised	0
Normal Battery Depletions	5		

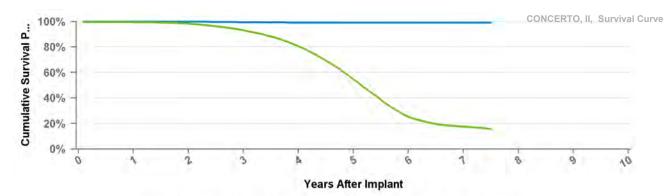


Excluding Normal Battery Depletion
 Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	at 90 mo
Excluding NBD	1	0.997	0.994	0.988	0.987	0.987	0.987	0.987
Including NBD	0.995	0.98	0.928	0.8	0.541	0.281	0.197	0.17
Effective Sample Size	12930	11679	10178	7781	4191	1487	504	123

# D274TRK Concerto II CRT-D

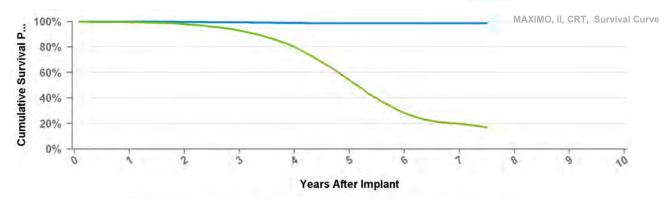
US Market Release	Aug-09	Total Malfunctions	185
CE Approval Date		Therapy Function Not Compromised	175
Registered USA Implants	30,174	Battery Malfunction	1
Estimated Active USA Implants	6,287	Electrical Component	22
Normal Battery Depletions	8,630	Poss Early Battery Depltn	151
		Software Malfunction	1
		Therapy Function Compromised	10
		Battery Malfunction	1
		Electrical Component	9



Years	1	2	3	4	5	6	7	at 90 mo
Excluding NBD	1	0.998	0.995	0.992	0.991	0.991	0.991	0.991
Including NBD	0.995	0.983	0.931	0.805	0.546	0.252	0.175	0.155
Effective Sample Size	25420	23238	20258	15510	8439	2998	1383	281

# D284TRK Maximo II CRT-D

US Market Release	Sep-08	Total Malfunctions	135
CE Approval Date	Mar-08	Therapy Function Not Compromised	130
Registered USA Implants	15,248	Electrical Component	6
<b>Estimated Active USA Implants</b>	3,198	Poss Early Battery Depltn	124
Normal Battery Depletions	4,200	Therapy Function Compromised	5
		Electrical Component	5



Excluding Normal Battery Depletion
 Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	at 90 mo
Excluding NBD	1	0.997	0.994	0.988	0.987	0.987	0.987	0.987
Including NBD	0.995	0.98	0.928	0.8	0.541	0.281	0.197	0.17
Effective Sample Size	12930	11679	10178	7781	4191	1487	504	123

# D294TRK

# Concerto II CRT-D

US Market Release
CE Approval Date
Registered USA Implants
Estimated Active USA Implants

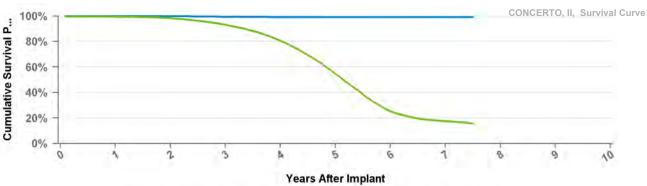
Aug-08

**Therapy Function Not Compromised** 

Therapy Function Compromised

**Total Malfunctions** 

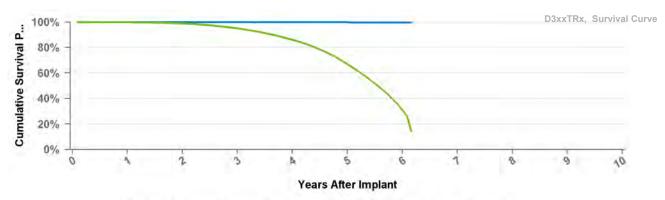
Normal Battery Depletions



Years	1	2	3	4	5	6	7	at 90 mo
Excluding NBD	1	0.998	0.995	0.992	0.991	0.991	0.991	0.991
Including NBD	0.995	0.983	0.931	0.805	0.546	0.252	0.175	0.155
Effective Sample Size	25420	23238	20258	15510	8439	2998	1383	281

# D314TRG Protecta XT CRT-D

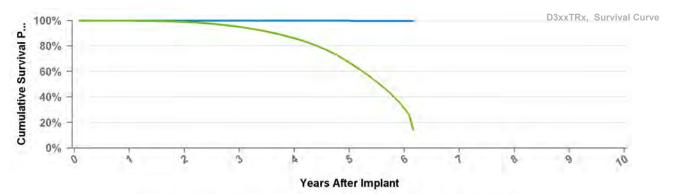
US Market Release	Mar-11	Total Malfunctions	90
CE Approval Date		Therapy Function Not Compromised	73
Registered USA Implants	42,518	Battery Malfunction	7
Estimated Active USA Implants	15,222	Electrical Component	39
Normal Battery Depletions	8,882	Other Malfunction	2
		Poss Early Battery Depltn	25
		Therapy Function Compromised	17
		Battery Malfunction	9
		Electrical Component	8



Years	1	2	3	4	5	6	at 74 mo
Excluding NBD	1	0.999	0.999	0.998	0.998	0.997	0.997
Including NBD	0.998	0.989	0.95	0.86	0.669	0.311	0.141
Effective	56209	51755	45557	36139	22243	2670	403

# D314TRM Protecta XT CRT-D

US Market Release	Nov-11	Total Malfunctions	20
CE Approval Date		Therapy Function Not Compromised	17
Registered USA Implants	12,259	Battery Malfunction	4
Estimated Active USA Implants	5,167	Electrical Component	8
Normal Battery Depletions	2,518	Poss Early Battery Depltn	5
		Therapy Function Compromised	3
		Battery Malfunction	1
		Electrical Component	2

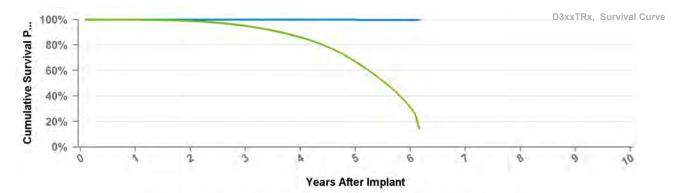


Excluding Normal Battery Depletion
 Including Normal Battery Depletion

Years	1	2	3	4	5	6	at 74 mo
Excluding NBD	1	0.999	0.999	0.998	0.998	0.997	0.997
Including NBD	0.998	0.989	0.95	0.86	0.669	0.311	0.141
Effective Sample Size	56209	51755	45557	36139	22243	2670	403

# D334TRG Protecta CRT-D

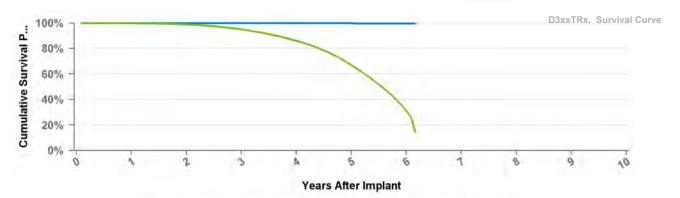
US Market Release	Mar-11	Total Malfunctions	13
CE Approval Date		Therapy Function Not Compromised	11
Registered USA Implants	8,099	Electrical Component	8
Estimated Active USA Implants	3,218	Poss Early Battery Depltn	3
Normal Battery Depletions	1,715	Therapy Function Compromised	2
		Electrical Component	1
		Electrical Interconnect	1



Years	1	2	3	4	5	6	mo
Excluding NBD	1	0.999	0.999	0.998	0.998	0.997	0.997
Including NBD	0.998	0.989	0.95	0.86	0.669	0.311	0.141
Effective Sample Size	56209	51755	45557	36139	22243	2670	403

#### **D334TRM** Protecta CRT-D

US Market Release	Nov-11	Total Malfunctions	8
CE Approval Date		Therapy Function Not Compromised	6
Registered USA Implants	1,784	Battery Malfunction	3
Estimated Active USA Implants	767	Electrical Component	1
Normal Battery Depletions	390	Poss Early Battery Depltn	2
		Therapy Function Compromised	2
		Battery Malfunction	2



Excluding Normal Battery Depletion
 Including Normal Battery Depletion

Years	1	2	3	4	5	6	mo
Excluding NBD	1	0.999	0.999	0.998	0.998	0.997	0.997
Including NBD	0.998	0.989	0.95	0.86	0.669	0.311	0.141
Effective Sample Size	56209	51755	45557	36139	22243	2670	403

#### Protecta XT CRT-D **D354TRG**

**US Market Release** 

**Registered USA Implants** 

Mar-10

**Total Malfunctions** 

**CE Approval Date** 

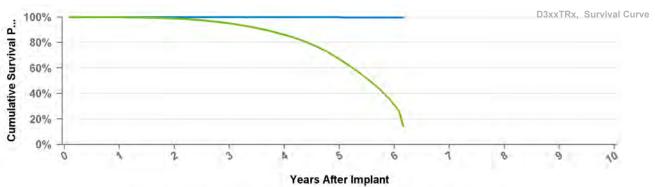
2

**Therapy Function Not Compromised** 

**Estimated Active USA Implants** 

**Normal Battery Depletions** 

**Therapy Function Compromised** 



Years	1	2	3	4	5	6	mo
Excluding NBD	1	0.999	0.999	0.998	0.998	0.997	0.997
Including NBD	0.998	0.989	0.95	0.86	0.669	0.311	0.141
Effective Sample Size	56209	51755	45557	36139	22243	2670	403

# D354TRM Protecta XT CRT-D

**US Market Release** 

**Total Malfunctions** 

CE Approval Date

Jul-10

**Therapy Function Not Compromised** 

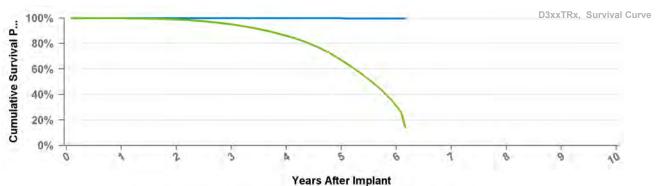
**Therapy Function Compromised** 

Registered USA Implants

2

**Normal Battery Depletions** 

**Estimated Active USA Implants** 



Excluding Normal Battery Depletion
 Including Normal Battery Depletion

Years	1	2	3	4	5	6	at 74 mo
Excluding NBD	1	0.999	0.999	0.998	0.998	0.997	0.997
Including NBD	0.998	0.989	0.95	0.86	0.669	0.311	0.141
Effective Sample Size	56209	51755	45557	36139	22243	2670	403

# D364TRG

## Protecta CRT-D

Mar-10

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

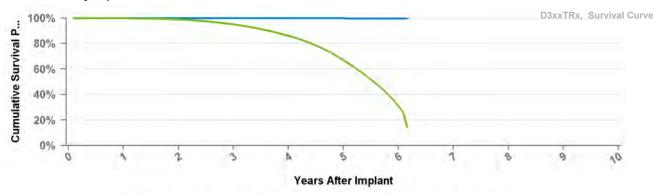
**Therapy Function Not Compromised** 

**Registered USA Implants** 

Estimated Active USA Implants

**Therapy Function Compromised** 

**Normal Battery Depletions** 



Years	1	2	3	4	5	6	at 74 mo
Excluding NBD	1	0.999	0.999	0.998	0.998	0.997	0.997
Including NBD	0.998	0.989	0.95	0.86	0.669	0.311	0.141
Effective Sample Size	56209	51755	45557	36139	22243	2670	403

# D364TRM Protecta CRT-D

**US Market Release** 

**CE Approval Date** 

Jul-10

**Therapy Function Not Compromised** 

**Registered USA Implants** 

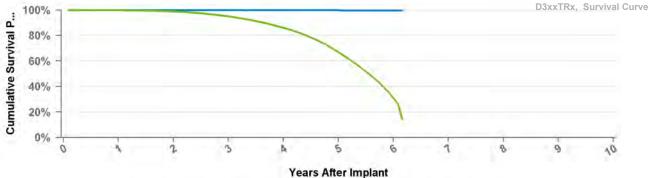
1

Estimated Active USA Implants

**Therapy Function Compromised** 

**Total Malfunctions** 

Normal Battery Depletions



Excluding Normal Battery Depletion
 Including Normal Battery Depletion

Years	1	2	3	4	5	6	at 74 mo
Excluding NBD	1	0.999	0.999	0.998	0.998	0.997	0.997
Including NBD	0.998	0.989	0.95	0.86	0.669	0.311	0.141
Effective Sample Size	56209	51755	45557	36139	22243	2670	403

## **D384TRG**

# Cardia CRT-D

**US Market Release** 

Jan-11

**Total Malfunctions** 

**CE Approval Date** 

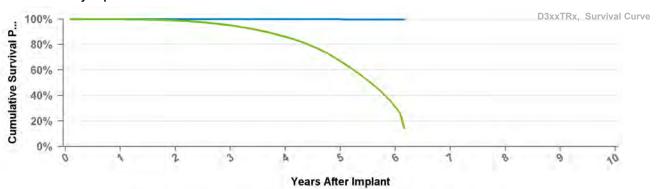
**Therapy Function Not Compromised** 

**Registered USA Implants** 

Estimated Active USA Implants

**Therapy Function Compromised** 

Normal Battery Depletions



Years	1	2	3	4	5	6	at 74 mo
Excluding NBD	1	0.999	0.999	0.998	0.998	0.997	0.997
Including NBD	0.998	0.989	0.95	0.86	0.669	0.311	0.141
Effective Sample Size	56209	51755	45557	36139	22243	2670	403

#### **D394TRG** Egida CRT-D

**US Market Release** 

**CE Approval Date** 

**Total Malfunctions** 

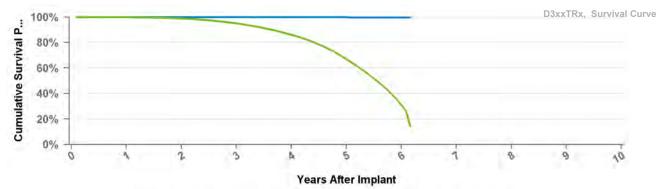
Jan-11

**Therapy Function Not Compromised** 

**Registered USA Implants Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 



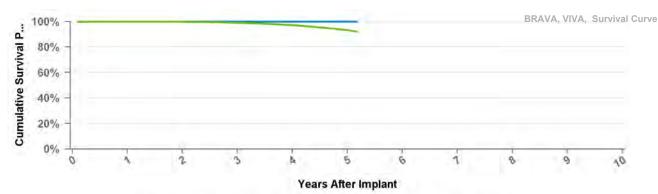
**Excluding Normal Battery Depletion** Including Normal Battery Depletion

Years	1	2	3	4	5	6	at 74 mo
Excluding NBD	1	0.999	0.999	0.998	0.998	0.997	0.997
Including NBD	0.998	0.989	0.95	0.86	0.669	0.311	0.141
Effective Sample Size	56209	51755	45557	36139	22243	2670	403

#### DTBA1D1 Viva XT

**US Market Release** Jan-13 **CE Approval Date Registered USA Implants** 55,918 **Estimated Active USA Implants** 47,824 **Normal Battery Depletions** 635

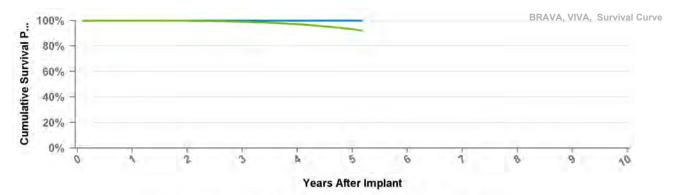
**Total Malfunctions** 38 **Therapy Function Not Compromised** 32 **Battery Malfunction** 3 **Electrical Component** 27 Other Malfunction 2 **Therapy Function Compromised** 6 **Battery Malfunction** 5 **Electrical Component** 1



Years	1	2	3	4	5	at 62 mo
Excluding NBD	1	1	0.999	0.999	0.999	0.999
Including NBD	0.999	0.998	0.99	0.971	0.933	0.921
Effective Sample Size	89299	74382	52084	29075	4221	1178

# DTBA1D4 Viva XT

US Market Release	Jan-13	Total Malfunctions	17
CE Approval Date		Therapy Function Not Compromised	14
Registered USA Implants	19,586	Battery Malfunction	2
Estimated Active USA Implants	16,930	Electrical Component	9
Normal Battery Depletions	232	Poss Early Battery Depltn	3
		Therapy Function Compromised	3
		Battery Malfunction	1
		Electrical Component	2

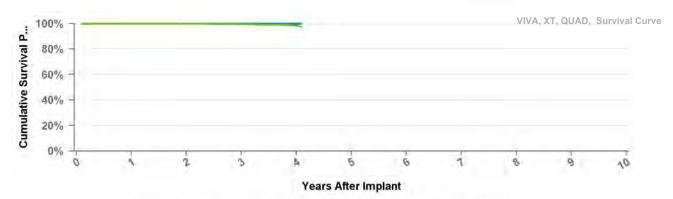


Excluding Normal Battery Depletion
 Including Normal Battery Depletion

Years	1	2	3	4	5	at 62 mo
Excluding NBD	1	1	0.999	0.999	0.999	0.999
Including NBD	0.999	0.998	0.99	0.971	0.933	0.921
Effective Sample Size	89299	74382	52084	29075	4221	1178

# DTBA1Q1 Viva Quad XT

US Market Release	Jul-14	Total Malfunctions	3
CE Approval Date		Therapy Function Not Compromised	3
Registered USA Implants	10,705	Electrical Component	2
Estimated Active USA Implants	9,585	Other Malfunction	1
Normal Battery Depletions	36	Therapy Function Compromised	0



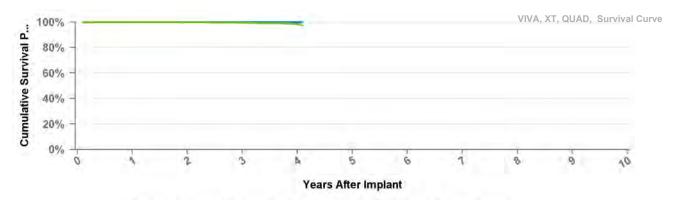
Years	1	2	3	4	at 49 mo
Excluding NBD	1	1	1	0.999	0.999
Including NBD	0.999	0.998	0.995	0.984	0.976
Effective	33948	29100	17234	1758	626

#### Viva Quad XT DTBA1QQ

US Market Release	Jul-14
CE Approval Date	
Registered USA Implants	26,736
Estimated Active USA Implants	24,876
Normal Battery Depletions	94

**Total Malfunctions** 20 **Therapy Function Not Compromised** 16 **Battery Malfunction** 1 **Electrical Component** 13 **Electrical Interconnect** 1 Other Malfunction **Therapy Function Compromised** 4 **Battery Malfunction** 2

2



**Electrical Component** 

Excluding Normal Battery Depletion
 Including Normal Battery Depletion

Years	1	2	3	4	mo
Excluding NBD	1	1	1	0.999	0.999
Including NBD	0.999	0.998	0.995	0.984	0.976
Effective Sample Size	33948	29100	17234	1758	626

#### DTBA2D1 Viva XT

**US Market Release** 

**CE Approval Date** 

**Registered USA Implants** 

**Estimated Active USA Implants** 

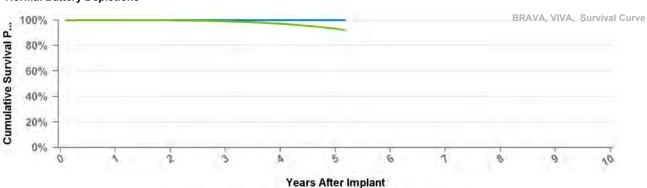
**Normal Battery Depletions** 

**Total Malfunctions** 

Aug-16

**Therapy Function Not Compromised** 

**Therapy Function Compromised** 



Years	1	2	3	4	5	at 62 mo
Excluding NBD	1	1	0.999	0.999	0.999	0.999
Including NBD	0.999	0.998	0.99	0.971	0.933	0.921
Effective Sample Size	89299	74382	52084	29075	4221	1178

#### DTBA2D4 Viva XT

**US Market Release** 

**CE Approval Date** 

Aug-12

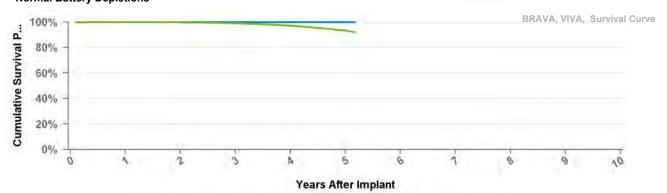
**Total Malfunctions Therapy Function Not Compromised** 

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Normal Battery Depletions** 

**Therapy Function Compromised** 



Excluding Normal Battery Depletion \* Including Normal Battery Depletion

Years	1	2	3	4	5	at 62 mo
Excluding NBD	1	1	0.999	0.999	0.999	0.999
Including NBD	0.999	0.998	0.99	0.971	0.933	0.921
Effective	89299	74382	52084	29075	4221	1178

## DTBA2Q1

# Viva Quad XT

**US Market Release** 

**CE Approval Date** 

Sep-13

**Total Malfunctions** 

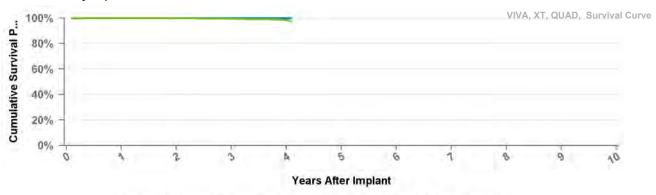
**Therapy Function Not Compromised** 

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Normal Battery Depletions** 

**Therapy Function Compromised** 



Years	1	2	3	4	at 49 mo
Excluding NBD	1	1	1	0.999	0.999
Including NBD	0.999	0.998	0.995	0.984	0.976
Effective Sample Size	33948	29100	17234	1758	626

#### DTBA2QQ Viva Quad XT

**US Market Release** 

**CE Approval Date** 

**Total Malfunctions** 

Aug-12

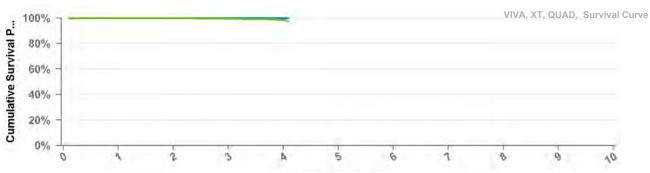
**Therapy Function Not Compromised** 

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Normal Battery Depletions** 

**Therapy Function Compromised** 



Years After Implant

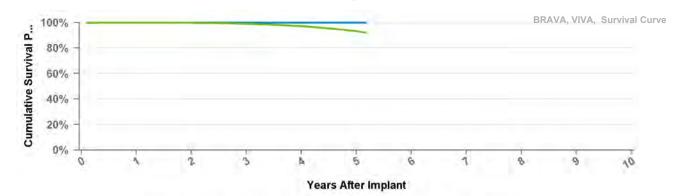
Excluding Normal Battery Depletion \* Including Normal Battery Depletion

Years	1	2	3	4	at 49 mo
Excluding NBD	1	1	1	0.999	0.999
Including NBD	0.999	0.998	0.995	0.984	0.976
Effective Sample Size	33948	29100	17234	1758	626

# DTBB1D1

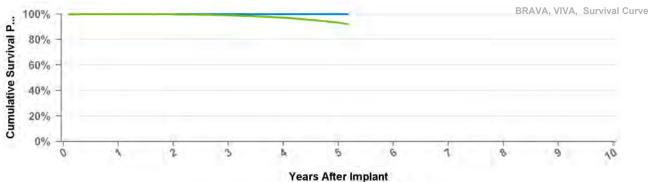
# Viva S

US Market Release	Jan-13	Total Malfunctions	10
CE Approval Date		Therapy Function Not Compromised	8
Registered USA Implants	13,810	Battery Malfunction	4
Estimated Active USA Implants	11,426	Electrical Component	3
Normal Battery Depletions	247	Poss Early Battery Depltn	1
		Therapy Function Compromised	2
		Battery Malfunction	1
		Electrical Component	1



Years	1	2	3	4	5	at 62 mo
Excluding NBD	1	1	0.999	0.999	0.999	0.999
Including NBD	0.999	0.998	0.99	0.971	0.933	0.921
Effective Sample Size	89299	74382	52084	29075	4221	1178

#### DTBB1D4 Viva S **US Market Release Total Malfunctions** 5 Jan-13 **Therapy Function Not Compromised** 3 **CE Approval Date Registered USA Implants** 4,448 **Battery Malfunction Estimated Active USA Implants** 3,798 **Electrical Component** 1 **Normal Battery Depletions** 84 Other Malfunction **Therapy Function Compromised** 2 2 **Battery Malfunction** 100% 80%

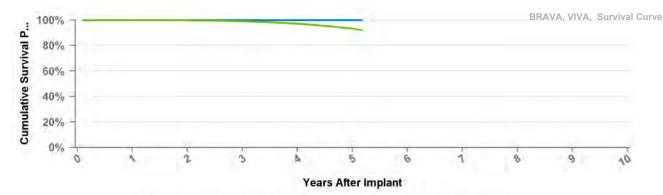


Excluding Normal Battery Depletion
 Including Normal Battery Depletion

Years	1	2	3	4	5	mo
Excluding NBD	1	1	0.999	0.999	0.999	0.999
Including NBD	0.999	0.998	0.99	0.971	0.933	0.921
Effective Sample Size	89299	74382	52084	29075	4221	1178

# DTBB1Q1 Viva Quad S

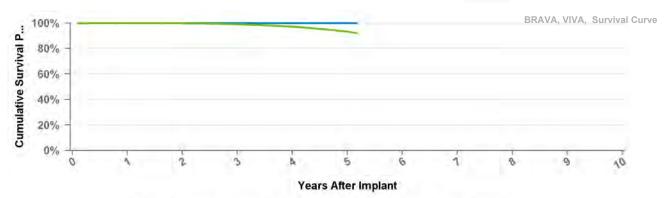
US Market Release	Jul-14	Total Malfunctions	1
CE Approval Date		Therapy Function Not Compromised	1
Registered USA Implants	2,222	Electrical Component	1
Estimated Active USA Implants	1,992	Therapy Function Compromised	0
Normal Battery Depletions	10		



Years	1	2	3	4	5	at 62 mo
Excluding NBD	1	1	0.999	0.999	0.999	0.999
Including NBD	0.999	0.998	0.99	0.971	0.933	0.921
Effective Sample Size	89299	74382	52084	29075	4221	1178

# DTBB1QQ Viva Quad S

US Market Release	Jul-14	Total Malfunctions	6
CE Approval Date		Therapy Function Not Compromised	5
Registered USA Implants	4,979	Electrical Component	2
Estimated Active USA Implants	4,610	Other Malfunction	1
Normal Battery Depletions	22	Poss Early Battery Depltn	2
		Therapy Function Compromised	1
		Electrical Component	1



Excluding Normal Battery Depletion
 Including Normal Battery Depletion

Years	1	2	3	4	5	mo
Excluding NBD	1	1	0.999	0.999	0.999	0.999
Including NBD	0.999	0.998	0.99	0.971	0.933	0.921
Effective Sample Size	89299	74382	52084	29075	4221	1178

Aug-12

## DTBB2D1

**US Market Release** 

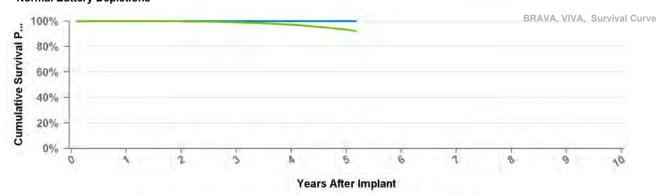
## Viva S

CE Approval Date
Registered USA Implants
Estimated Active USA Implants
Normal Battery Depletions

**Total Malfunctions** 

**Therapy Function Not Compromised** 

**Therapy Function Compromised** 



Years	1	2	3	4	5	mo
Excluding NBD	1	1	0.999	0.999	0.999	0.999
Including NBD	0.999	0.998	0.99	0.971	0.933	0.921
Effective Sample Size	89299	74382	52084	29075	4221	1178

#### DTBB2D4 Viva S

**US Market Release** 

**CE Approval Date** 

Aug-12

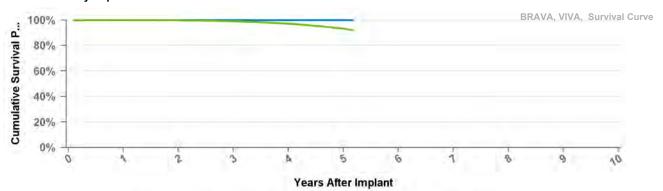
**Total Malfunctions Therapy Function Not Compromised** 

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Normal Battery Depletions** 

**Therapy Function Compromised** 



Excluding Normal Battery Depletion \* Including Normal Battery Depletion

Years	1	2	3	4	5	at 62 mo
Excluding NBD	1	1	0.999	0.999	0.999	0.999
Including NBD	0.999	0.998	0.99	0.971	0.933	0.921
Effective Sample Size	89299	74382	52084	29075	4221	1178

## DTBB2QQ

# Viva Quad S

Aug-12

**US Market Release** 

**CE Approval Date** 

Sample Size

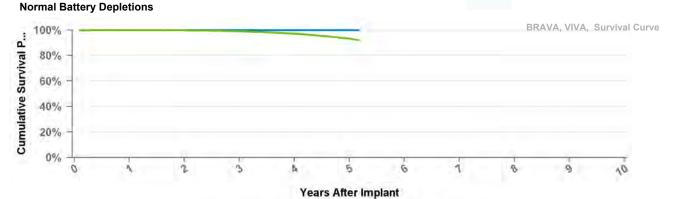
**Registered USA Implants** 

**Estimated Active USA Implants** 

**Total Malfunctions** 

**Therapy Function Not Compromised** 

**Therapy Function Compromised** 



Years	1	2	3	4	5	at 62 mo
Excluding NBD	1	1	0.999	0.999	0.999	0.999
Including NBD	0.999	0.998	0.99	0.971	0.933	0.921
Effective	89299	74382	52084	29075	4221	1178

# DTBC2D1 Brava

**US Market Release** 

**CE Approval Date** 

Aug-12

**Total Malfunctions** 

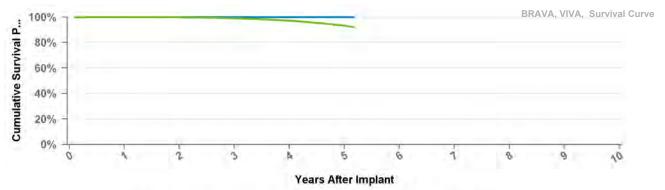
**Therapy Function Not Compromised** 

Registered USA Implants

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 



Excluding Normal Battery Depletion
 Including Normal Battery Depletion

Years	1	2	3	4	5	mo
Excluding NBD	1	1	0.999	0.999	0.999	0.999
Including NBD	0.999	0.998	0.99	0.971	0.933	0.921
Effective	89299	74382	52084	29075	4221	1178

Aug-12

## DTBC2D4

#### Brava

**US Market Release** 

**CE Approval Date** 

Sample Size

Registered USA Implants

**Estimated Active USA Implants** 

Normal Battery Depletions

**Total Malfunctions** 

**Therapy Function Not Compromised** 

**Therapy Function Compromised** 

100% | 80% | 60% | 40% | 20% | 0% | 7 3 k 5 6 1 8 9 NO | Years After Implant

Years	1	2	3	4	5	at 62 mo
Excluding NBD	1	1	0.999	0.999	0.999	0.999
Including NBD	0.999	0.998	0.99	0.971	0.933	0.921
Effective	89299	74382	52084	29075	4221	1178

# DTBC2Q1 Brava Quad

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

**Therapy Function Not Compromised** 

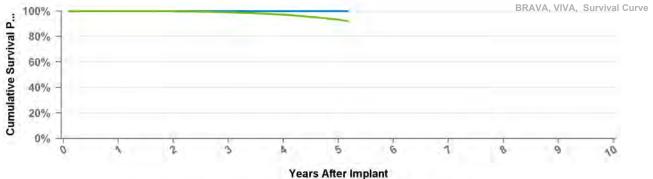
**Registered USA Implants** 

Sep-13 Ther

Estimated Active USA Implants

**Therapy Function Compromised** 

Normal Battery Depletions



. Excluding Normal Battery Depletion . Including Normal Battery Depletion

Years	1	2	3	4	5	at 62 mo
Excluding NBD	1	1	0.999	0.999	0.999	0.999
Including NBD	0.999	0.998	0.99	0.971	0.933	0.921
Effective Sample Size	89299	74382	52084	29075	4221	1178

## DTBC2QQ

## **Brava Quad**

Aug-12

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

Sample Size

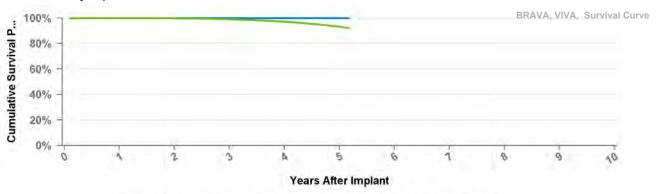
**Therapy Function Not Compromised** 

**Registered USA Implants** 

Estimated Active USA Implants

**Therapy Function Compromised** 

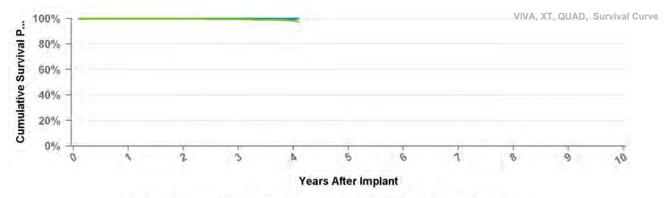
**Normal Battery Depletions** 



Years	1	2	3	4	5	at 62 mo
Excluding NBD	1	1	0.999	0.999	0.999	0.999
Including NBD	0.999	0.998	0.99	0.971	0.933	0.921
Effective	89299	74382	52084	29075	4221	1178

# DTBX1QQ Viva Quad C

US Market Release	Jul-14	Total Malfunctions	1
CE Approval Date		Therapy Function Not Compromised	1
Registered USA Implants	637	Electrical Component	1
Estimated Active USA Implants	521	Therapy Function Compromised	0
Normal Battery Depletions	21		



Excluding Normal Battery Depletion
 Including Normal Battery Depletion

Years	1	2	3	4	at 49 mo
Excluding NBD	1	1	1	0.999	0.999
Including NBD	0.999	0.998	0.995	0.984	0.976
Effective Sample Size	33948	29100	17234	1758	626

# DTBX2QQ

# Viva Quad C

US Market Release

CE Approval Date

Registered USA Implants
Estimated Active USA Implants

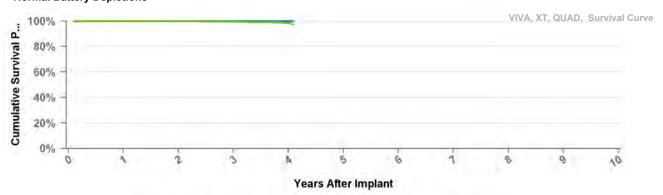
**Normal Battery Depletions** 

Jul-14

**Total Malfunctions** 

**Therapy Function Not Compromised** 

**Therapy Function Compromised** 



Years	1	2	3	4	mo
Excluding NBD	1	1	1	0.999	0.999
Including NBD	0.999	0.998	0.995	0.984	0.976
Effective Sample Size	33948	29100	17234	1758	626

# DTMA1D1 Claria MRI

US Market Release

Dec-16 Total Malfunctions

**CE Approval Date** 

**Therapy Function Not Compromised** 

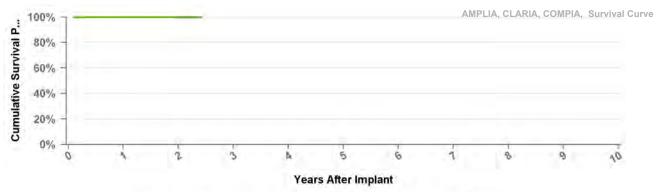
Registered USA Implants

4,201

Estimated Active USA Implants

4,104 Therapy Function Compromised

**Normal Battery Depletions** 



. Excluding Normal Battery Depletion . Including Normal Battery Depletion

Years	1	2	at 29 mo
Excluding NBD	1	1	1
Including NBD	1	0.996	0.996
Effective Sample Size	6558	620	119

# DTMA1D4

## Claria MRI

US Market Release

Dec-16 Total Malfunctions

**CE Approval Date** 

**Therapy Function Not Compromised** 

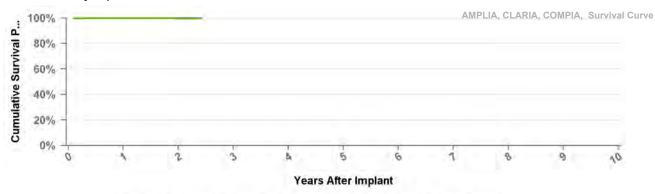
Registered USA Implants

3,323

**Estimated Active USA Implants** 

3,239 Therapy Function Compromised

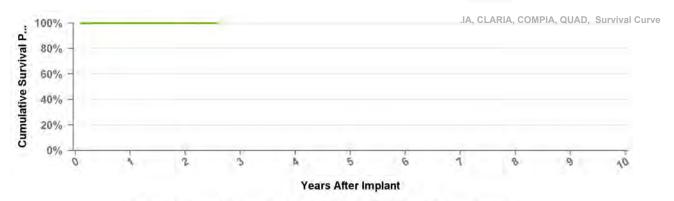
**Normal Battery Depletions** 



Years	1	2	at 29 mo
Excluding NBD	1	1	1
Including NBD	1	0.996	0.996
Effective Sample Size	6558	620	119

# DTMA1Q1 Claria MRI

US Market Release	Dec-16	Total Malfunctions	2
CE Approval Date		Therapy Function Not Compromised	2
Registered USA Implants	2,585	Electrical Interconnect	1
Estimated Active USA Implants	2,527	Other Malfunction	1
Normal Battery Depletions		Therapy Function Compromised	0

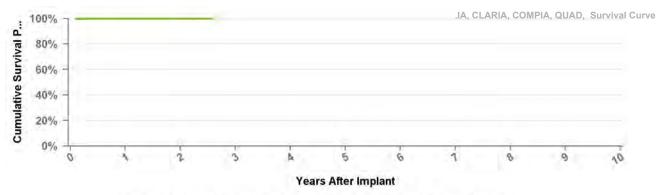


Excluding Normal Battery Depletion
 Including Normal Battery Depletion

Years	1	2	at 31 mo
Excluding NBD	1	1	1
Including NBD	0.999	0.999	0.998
Effective Sample Size	24033	6699	423

# DTMA1QQ Claria MRI

US Market Release	Dec-16	Total Malfunctions	3
CE Approval Date		Therapy Function Not Compromised	2
Registered USA Implants	15,870	Electrical Component	2
Estimated Active USA Implants	15,581	Therapy Function Compromised	1
Normal Battery Depletions	1	Electrical Component	1



Years	1	2	at 31 mo
Excluding NBD	1	1	1
Including NBD	0.999	0.999	0.998
Effective Sample Size	24033	6699	423

# DTMA2D1

# Claria MRI

**US Market Release** 

**CE Approval Date** 

Aug-16

**Total Malfunctions** 

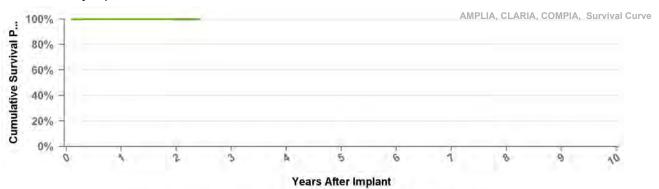
**Therapy Function Not Compromised** 

Registered USA Implants

**Estimated Active USA Implants** 

**Normal Battery Depletions** 

**Therapy Function Compromised** 



. Excluding Normal Battery Depletion . Including Normal Battery Depletion

Years	1	2	at 29 mo
Excluding NBD	1	1	1
Including NBD	1	0.996	0.996
Effective	6558	620	119

## DTMA2D4

## Claria MRI

**US Market Release** 

**CE Approval Date** 

Feb-16

**Total Malfunctions** 

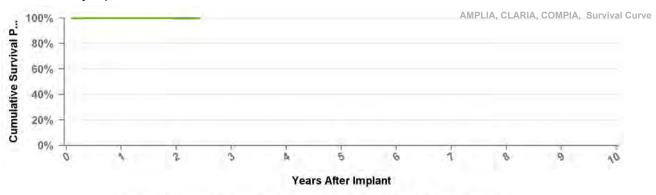
**Therapy Function Not Compromised** 

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Normal Battery Depletions** 

**Therapy Function Compromised** 



Years	1	2	at 29 mo
Excluding NBD	1	1	1
Including NBD	1	0.996	0.996
Effective Sample Size	6558	620	119

# DTMA2Q1

# Claria MRI

Aug-16

**US Market Release** 

CE Approval Date

Registered USA Implants

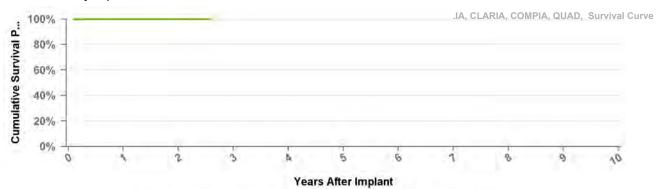
**Estimated Active USA Implants** 

**Normal Battery Depletions** 

**Total Malfunctions** 

**Therapy Function Not Compromised** 

**Therapy Function Compromised** 



Excluding Normal Battery Depletion
 Including Normal Battery Depletion

Years	1	2	at 31 mo
Excluding NBD	1	1	1
Including NBD	0.999	0.999	0.998
Effective Sample Size	24033	6699	423

## DTMA2QQ

## Claria MRI

Feb-16

**US Market Release** 

**CE Approval Date** 

**Registered USA Implants** 

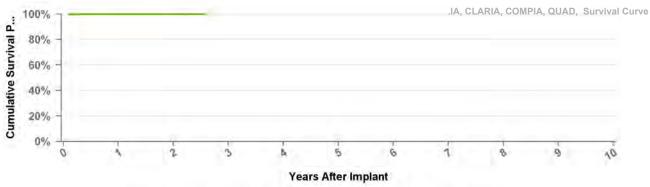
**Estimated Active USA Implants** 

**Normal Battery Depletions** 

**Total Malfunctions** 

**Therapy Function Not Compromised** 

**Therapy Function Compromised** 



Years	1	2	at 31 mo
Excluding NBD	1	1	1
Including NBD	0.999	0.999	0.998
Effective Sample Size	24033	6699	423

#### DTMB1D1 **Amplia MRI**

**US Market Release** 

**Total Malfunctions** Dec-16

**CE Approval Date** 

100%

80% 60% 40% 20% 0%

Cumulative Survival P...

**Therapy Function Not Compromised** 

**Registered USA Implants** 

3,536

**Estimated Active USA Implants** 

**Therapy Function Compromised** 3,441

**Normal Battery Depletions** 



9

5 Years After Implant

Excluding Normal Battery Depletion \* Including Normal Battery Depletion

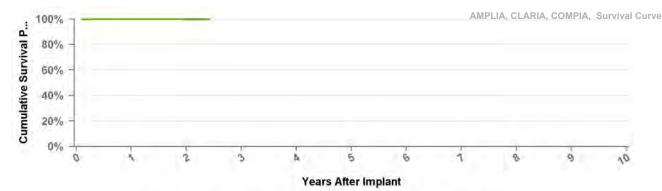
Years	1	2	at 29 mo
Excluding NBD	1	1	1
Including NBD	1	0.996	0.996
Effective	6558	620	119

#### DTMB1D4 Amplia MRI

0

2 **US Market Release** Feb-16 **Total Malfunctions CE Approval Date Therapy Function Not Compromised** 2 2 **Registered USA Implants** 3,994 **Electrical Component Estimated Active USA Implants Therapy Function Compromised** 0 3,845 **Normal Battery Depletions** 3

3



Years	1	2	at 29 mo
Excluding NBD	1	1	1
Including NBD	1	0.996	0.996
Effective Sample Size	6558	620	119

## DTMB1Q1 Amplia MRI

100%

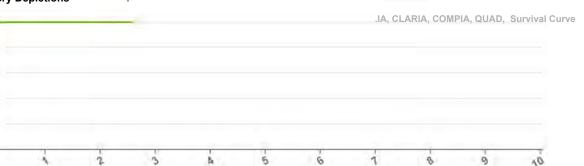
80% 60% 40% 20% 0%

0

Cumulative Survival P...

US Market Release Dec-16 Total Malfunctions
CE Approval Date Therapy Function Not Compromised
Registered USA Implants 1,928
Estimated Active USA Implants 1,857 Therapy Function Compromised

Normal Battery Depletions 1



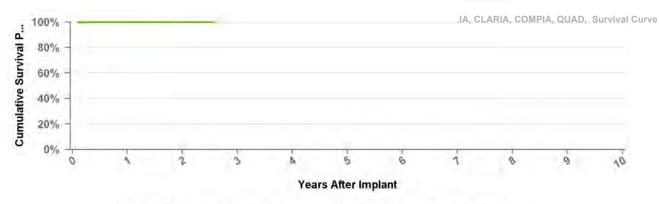
Excluding Normal Battery Depletion
 Including Normal Battery Depletion

Years After Implant

Years	1	2	at 31 mo
Excluding NBD	1	1	1
Including NBD	0.999	0.999	0.998
Effective	24033	6699	423

# DTMB1QQ Amplia MRI

US Market Release	Feb-16	Total Malfunctions	7
CE Approval Date		Therapy Function Not Compromised	7
Registered USA Implants	21,305	Electrical Component	4
Estimated Active USA Implants	20,578	Other Malfunction	3
Normal Battery Depletions	4	Therapy Function Compromised	0



Years	1	2	at 31 mo
Excluding NBD	1	1	1
Including NBD	0.999	0.999	0.998
Effective Sample Size	24033	6699	423

## DTMB2D1 An

#### , ...

Amplia MRI

Aug-16

US Market Release

CE Approval Date

Registered USA Implants

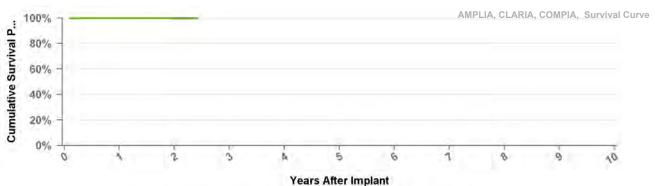
**Estimated Active USA Implants** 

**Normal Battery Depletions** 

**Total Malfunctions** 

**Therapy Function Not Compromised** 

**Therapy Function Compromised** 



. Excluding Normal Battery Depletion . Including Normal Battery Depletion

Years	1	2	at 29 mo
Excluding NBD	1	1	1
Including NBD	1	0.996	0.996
Effective Sample Size	6558	620	119

### DTMB2D4

## Amplia MRI

Feb-16

**US Market Release** 

**CE Approval Date** 

**Registered USA Implants** 

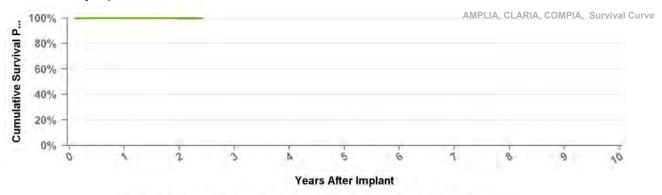
**Estimated Active USA Implants** 

**Normal Battery Depletions** 

**Total Malfunctions** 

**Therapy Function Not Compromised** 

**Therapy Function Compromised** 



Years	1	2	at 29 mo
Excluding NBD	1	1	1
Including NBD	1	0.996	0.996
Effective Sample Size	6558	620	119

## DTMB2Q1 Amplia MRI

**US Market Release** 

CE Approval Date

Registered USA Implants

**Estimated Active USA Implants** 

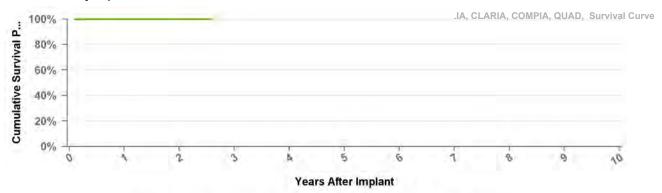
**Normal Battery Depletions** 

**Total Malfunctions** 

Aug-16

**Therapy Function Not Compromised** 

**Therapy Function Compromised** 



Excluding Normal Battery Depletion
 Including Normal Battery Depletion

Years	1	2	at 31 mo
Excluding NBD	1	1	1
Including NBD	0.999	0.999	0.998
Effective	24033	6699	423

### DTMB2QQ

## **Amplia MRI**

Feb-16

**US Market Release** 

**CE Approval Date** 

**Registered USA Implants** 

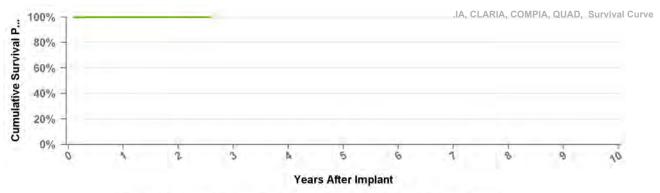
**Estimated Active USA Implants** 

**Normal Battery Depletions** 

**Total Malfunctions** 

**Therapy Function Not Compromised** 

**Therapy Function Compromised** 



Years	1	2	at 31 mo
Excluding NBD	1	1	1
Including NBD	0.999	0.999	0.998
Effective Sample Size	24033	6699	423

## DTMC1D1 Compia MRI

US Market Release Dec-16
CE Approval Date

**Total Malfunctions** 

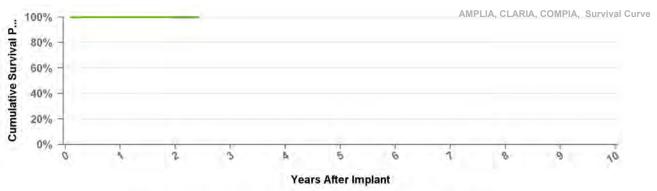
Registered USA Implants

**Therapy Function Not Compromised** 

Estimated Active USA Implants

392 389 Therapy Function Compromised

**Normal Battery Depletions** 

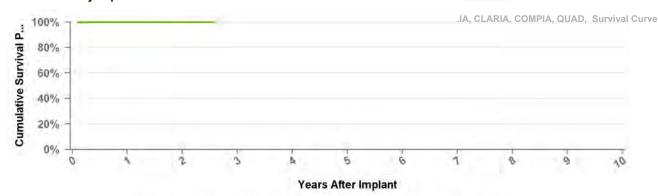


Excluding Normal Battery Depletion
 Including Normal Battery Depletion

Years	1	2	at 29 mo
Excluding NBD	1	1	1
Including NBD	1	0.996	0.996
Effective	6558	620	119

# DTMC1QQ Compia MRI

US Market Release	Feb-16	Total Malfunctions	2
CE Approval Date		Therapy Function Not Compromised	2
Registered USA Implants	2,226	Electrical Component	2
Estimated Active USA Implants	2,150	Therapy Function Compromised	0
Normal Battery Depletions	1		



Years	1	2	at 31 mo
Excluding NBD	1	1	1
Including NBD	0.999	0.999	0.998
Effective Sample Size	24033	6699	423

## DTMC2D1 Compia MRI

**US Market Release** 

**CE Approval Date** 

Registered USA Implants

**Estimated Active USA Implants** 

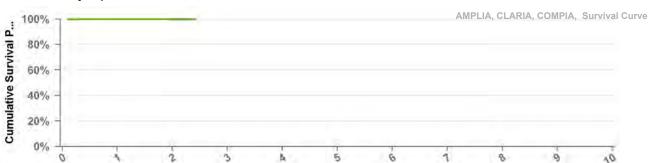
**Normal Battery Depletions** 

Total Malfunctions

Aug-16

**Therapy Function Not Compromised** 

**Therapy Function Compromised** 



Years After Implant

Excluding Normal Battery Depletion
 Including Normal Battery Depletion

Years	1	2	at 29 mo
Excluding NBD	1	1	1
Including NBD	1	0.996	0.996
Effective	6558	620	119

### DTMC2D4

## Compia MRI

Feb-16

**US Market Release** 

**CE Approval Date** 

**Registered USA Implants** 

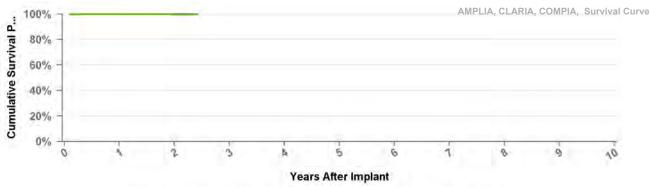
**Estimated Active USA Implants** 

**Normal Battery Depletions** 

**Total Malfunctions** 

**Therapy Function Not Compromised** 

**Therapy Function Compromised** 



Years	1	2	at 29 mo
Excluding NBD	1	1	1
Including NBD	1	0.996	0.996
Effective Sample Size	6558	620	119

# DTMC2QQ Compia MRI

**US Market Release** 

CE Approval Date

Registered USA Implants

**Estimated Active USA Implants** 

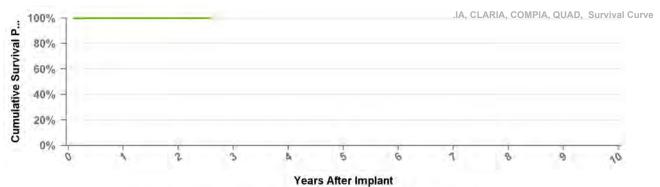
**Normal Battery Depletions** 

**Total Malfunctions** 

Feb-16

**Therapy Function Not Compromised** 

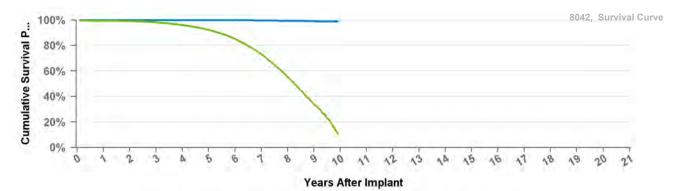
**Therapy Function Compromised** 



Years	1	2	at 31 mo
Excluding NBD	1	1	1
Including NBD	0.999	0.999	0.998
Effective Sample Size	24033	6699	423

## 8042 InSync III

US Market Release	Feb-03	Total Malfunctions	101
CE Approval Date	Feb-01	Therapy Function Not Compromised	62
Registered USA Implants	39,511	Battery Malfunction	50
Estimated Active USA Implants	5,185	Electrical Component	2
Normal Battery Depletions	5,173	Electrical Interconnect	3
		Other Malfunction	5
		Poss Early Battery Depltn	2
		Therapy Function Compromised	39
		Battery Malfunction	27



Electrical Interconnect

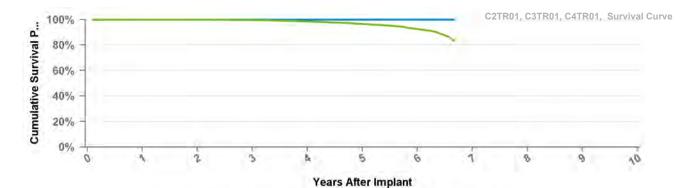
12

Excluding Normal Battery Depletion Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	at 119 mo
Excluding NBD	1	1	1	0.999	0.999	0.999	0.997	0.994	0.991	0.988
Including NBD	0.994	0.991	0.982	0.96	0.922	0.851	0.73	0.551	0.339	0.106
Effective Sample Size	30583	26216	22539	19266	16087	12320	8789	5496	1781	101

# C2TR01 Syncra CRT-P

US Market Release	Mar-11	Total Malfunctions	5
CE Approval Date	May-10	Therapy Function Not Compromised	5
Registered USA Implants	10,209	Other Malfunction	1
Estimated Active USA Implants	7,128	Poss Early Battery Depltn	4
Normal Battery Depletions	189	Therapy Function Compromised	0



Years	1	2	3	4	5	6	at 80 mo
Excluding NBD	1	1	1	1	1	0.999	0.999
Including NBD	0.999	0.999	0.996	0.985	0.965	0.925	0.83
Effective Sample Size	27448	24043	19842	14754	8613	3295	265

## C3TR01 Consulta CRT-P

US Market Release

**Total Malfunctions** 

CE Approval Date

May-10

**Therapy Function Not Compromised** 

**Registered USA Implants** 

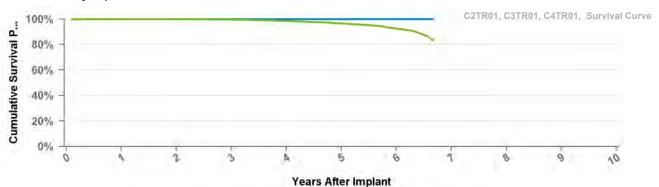
1

1

Therapy Function Compromised

**Normal Battery Depletions** 

**Estimated Active USA Implants** 

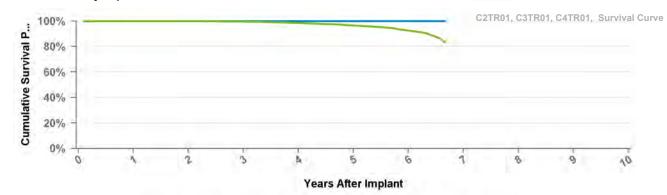


. Excluding Normal Battery Depletion . Including Normal Battery Depletion

Years	1	2	3	4	5	6	at 80 mo
Excluding NBD	1	1	1	1	1	0.999	0.999
Including NBD	0.999	0.999	0.996	0.985	0.965	0.925	0.83
Effective Sample Size	27448	24043	19842	14754	8613	3295	265

# C4TR01 Consulta CRT-P

**US Market Release** Mar-11 **Total Malfunctions** 4 **CE Approval Date Therapy Function Not Compromised** 4 **Registered USA Implants** 23,512 Poss Early Battery Depltn 4 **Estimated Active USA Implants** 18,009 **Therapy Function Compromised** 0 **Normal Battery Depletions** 353



Years	1	2	3	4	5	6	at 80 mo
Excluding NBD	1	1	1	1	1	0.999	0.999
Including NBD	0.999	0.999	0.996	0.985	0.965	0.925	0.83
Effective Sample Size	27448	24043	19842	14754	8613	3295	265

#### **C5TR01** Viva CRT-P

**US Market Release** 

**Total Malfunctions** 

Apr-14

**CE Approval Date** 

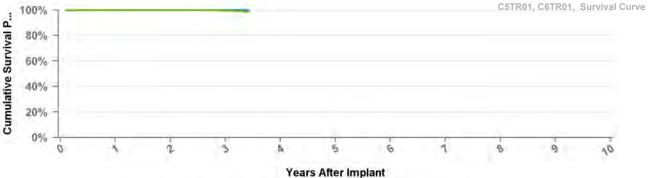
**Therapy Function Not Compromised** 

**Registered USA Implants** 

**Estimated Active USA Implants Normal Battery Depletions** 

**Therapy Function Compromised** 





Excluding Normal Battery Depletion \* Including Normal Battery Depletion

Years	1	2	3	at 41 mo
Excluding NBD	1	1	1	1
Including NBD	0.999	0.999	0.995	0.982
Effective Sample Size	7624	4644	1153	149

#### **C6TR01** Viva CRT-P

**US Market Release** Jul-14 **CE Approval Date** 

9,265

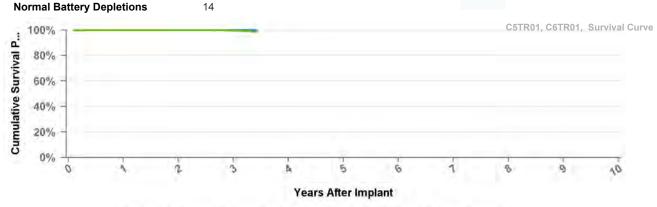
**Registered USA Implants Estimated Active USA Implants** 8,495

**Normal Battery Depletions** 

**Total Malfunctions** 

**Therapy Function Not Compromised** 

**Therapy Function Compromised** 



Years	1	2	3	at 41 mo
Excluding NBD	1	1	1	1
Including NBD	0.999	0.999	0.995	0.982
Effective Sample Size	7624	4644	1153	149

# W1TR01 Percepta CRTP MRI

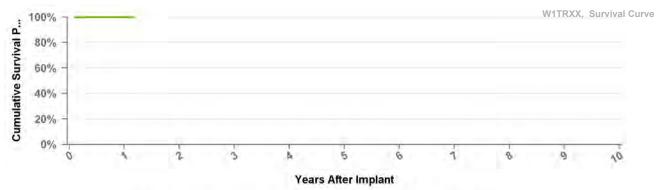
US Market Release May-17 Total Malfunctions

CE Approval Date Therapy Function Not Compromised

Registered USA Implants 1,451

Estimated Active USA Implants 1,418 Therapy Function Compromised

**Normal Battery Depletions** 

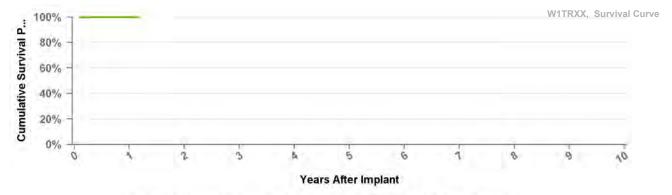


Excluding Normal Battery Depletion
 Including Normal Battery Depletion

		at 14
Years	1	mo
Excluding NBD	1	1
Including NBD	1	1
Effective Sample Size	359	145

# W1TR02 Serena CRTP MRI

US Market Release	May-17	Total Malfunctions	1
CE Approval Date		Therapy Function Not Compromised	1
Registered USA Implants	414	Other Malfunction	1
Estimated Active USA Implants	401	Therapy Function Compromised	0
Normal Battery Depletions			



		at 14
Years	1	mo
Excluding NBD	1	1
Including NBD	1	1
Effective	359	145

#### **W1TR03** Solara CRTP MRI

**US Market Release** 

**Total Malfunctions** May-17

**CE Approval Date** 

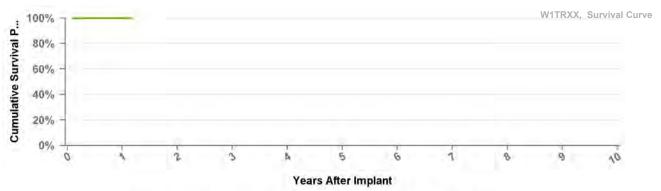
**Registered USA Implants** 

**Therapy Function Not Compromised** 

**Estimated Active USA Implants** 

781 **Therapy Function Compromised** 768

**Normal Battery Depletions** 



Excluding Normal Battery Depletion \* Including Normal Battery Depletion

		at 14
Years	1	mo
Excluding NBD	1	1
Including NBD	1	1
Effective	359	145

#### Percepta CRTP MRI **W1TR04**

**US Market Release** 

**Total Malfunctions** 

Feb-17

**CE Approval Date** 

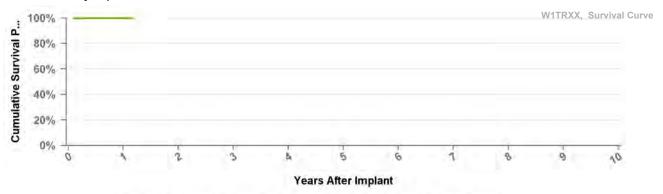
**Therapy Function Not Compromised** 

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 



V	1	at 14
Years		mo
Excluding NBD	1	1
Including NBD	1	1
Effective Sample Size	359	145

## W1TR05 Serena CRTP MRI

**US Market Release** 

**Total Malfunctions** 

Feb-17

**CE Approval Date** 

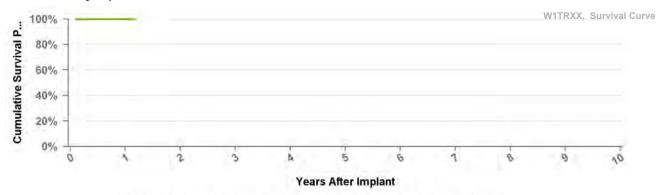
**Therapy Function Not Compromised** 

**Registered USA Implants** 

Estimated Active USA Implants

**Therapy Function Compromised** 

**Normal Battery Depletions** 



. Excluding Normal Battery Depletion . Including Normal Battery Depletion

Years	1	mo
Excluding NBD	1	1
Including NBD	1	1
Effective	359	145

### **W1TR06**

### Solara CRTP MRI

Feb-17

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

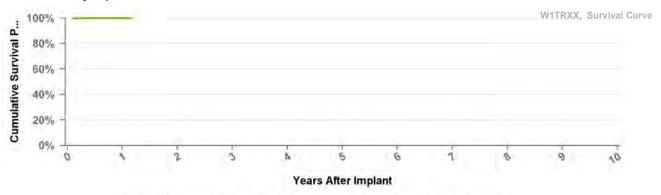
**Therapy Function Not Compromised** 

**Registered USA Implants** 

Estimated Active USA Implants

**Therapy Function Compromised** 

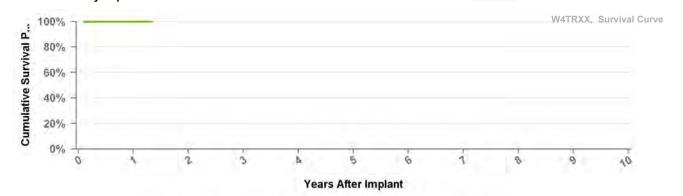
**Normal Battery Depletions** 



		at 14
Years	1	mo
Excluding NBD	1	1
Including NBD	1	1
Effective Sample Size	359	145

# W4TR01 Percepta Quad CRTP MRI SureScan

US Market Release	May-17	Total Malfunctions	1
CE Approval Date		Therapy Function Not Compromised	1
Registered USA Implants	6,660	Other Malfunction	1
<b>Estimated Active USA Implants</b>	6,478	Therapy Function Compromised	0
Normal Battery Depletions			



Excluding Normal Battery Depletion
 Including Normal Battery Depletion

Years	1	at 16 mo
Excluding NBD	1	1
Including NBD	1	1
Effective	2235	145

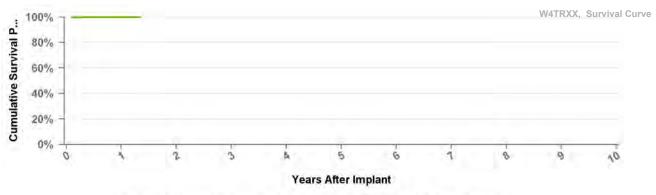
## W4TR02 Serena Quad CRTP MRI SureScan

US Market Release May-17 Total Malfunctions
CE Approval Date Therapy Function Not Compromised

Registered USA Implants 1,467

Estimated Active USA Implants 1,439 Therapy Function Compromised

**Normal Battery Depletions** 



Years	1	at 16 mo
Excluding NBD	1	1
Including NBD	1	1
Effective	2235	145

#### **W4TR03** Solara Quad CRTP MRI SureScan

**US Market Release** 

May-17

**Total Malfunctions** 

**CE Approval Date** 

**Therapy Function Not Compromised** 

**Registered USA Implants** 

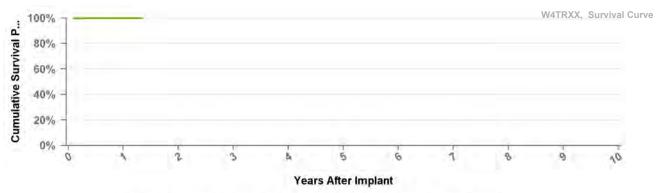
2,645

**Estimated Active USA Implants** 

2,566

**Therapy Function Compromised** 

**Normal Battery Depletions** 



Excluding Normal Battery Depletion \* Including Normal Battery Depletion

		at 16
Years	1	mo
Excluding NBD	1	1
Including NBD	1	1
Effective Sample Size	2235	145

### **W4TR04**

# Percepta Quad CRT-P MRI SureScan

Feb-17

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

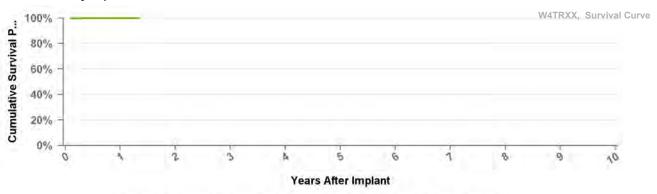
**Therapy Function Not Compromised** 

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 



		at 16
Years	1	mo
Excluding NBD	1	1
Including NBD	1	1
Effective Sample Size	2235	145

## W4TR05 Serena Quad CRTP MRI SureScan

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

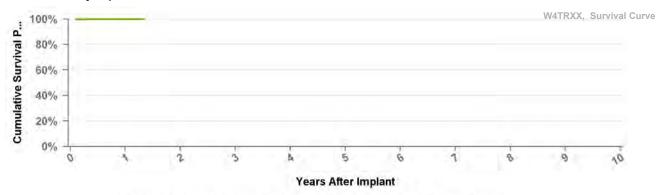
Feb-17 Therapy Function Not Compromised

**Registered USA Implants** 

**Estimated Active USA Implants** 

Therapy Function Compromised

**Normal Battery Depletions** 



. Excluding Normal Battery Depletion . Including Normal Battery Depletion

Years	1	mo
Excluding NBD	1	1
Including NBD	1	1
Effective	2235	145

### **W4TR06**

## Solara Quad CRTP MRI SureScan

Feb-17

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

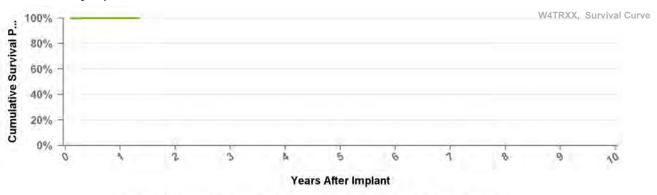
**Therapy Function Not Compromised** 

**Registered USA Implants** 

Estimated Active USA Implants

**Therapy Function Compromised** 

**Normal Battery Depletions** 



		at 16
Years	1	mo
Excluding NBD	1	1
Including NBD	1	1
Effective Sample Size	2235	145

### 7230B Marquis VR

**Normal Battery Depletions** 

**US Market Release** Dec-02 Aug-02 **CE Approval Date Registered USA Implants** 237 **Estimated Active USA Implants** 11

27

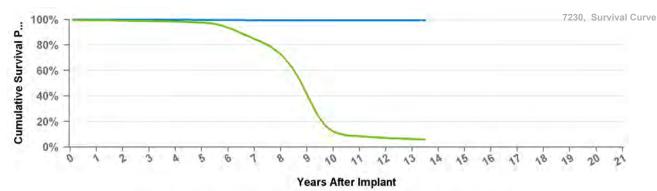
**Therapy Function Not Compromised** 

**Total Malfunctions** 

**Therapy Function Compromised** 

**Battery Malfunction** 

0

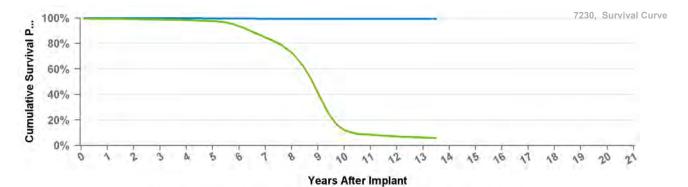


### Excluding Normal Battery Depletion Including Normal Battery Depletion

Years	1	10	11	12	13	2	3	4	5	6	7	8	9	at 162 mo
Excluding NBD	1	0.993	0.993	0.993	0.993	0.999	0.999	0.998	0.997	0.996	0.995	0.994	0.993	0.993
Including NBD	0.994	0.991	0.988	0.984	0.976	0.935	0.846	0.726	0.414	0.121	0.084	0.071	0.063	0.059
Effective Sample Size	16508	12760	10566	9431	8385	7285	6055	4818	2560	591	333	228	155	104

#### 7230Cx Marquis VR

US Market Release	Dec-02	Total Malfunctions	57
CE Approval Date	Apr-02	Therapy Function Not Compromised	31
Registered USA Implants	18,517	Battery Malfunction	1
Estimated Active USA Implants	1,188	Electrical Component	14
Normal Battery Depletions	3,442	Other Malfunction	1
		Poss Early Battery Depltn	14
		Software Malfunction	1
		Therapy Function Compromised	26
		Battery Malfunction	17

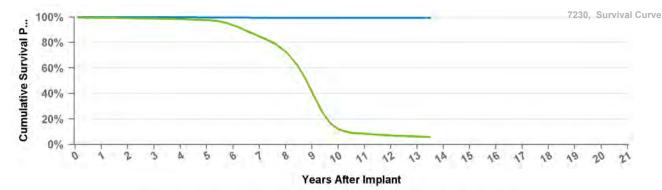


**Electrical Component** 

Years	1	10	11	12	13	2	3	4	5	6	7	8	9	mo
Excluding NBD	1	0.993	0.993	0.993	0.993	0.999	0.999	0.998	0.997	0.996	0.995	0.994	0.993	0.993
Including NBD	0.994	0.991	0.988	0.984	0.976	0.935	0.846	0.726	0.414	0.121	0.084	0.071	0.063	0.059
Effective Sample Size	16508	12760	10566	9431	8385	7285	6055	4818	2560	591	333	228	155	104

#### 7230E Marquis VR

US Market Release	Dec-02	Total Malfunctions	3
CE Approval Date	Aug-02	Therapy Function Not Compromised	1
Registered USA Implants	632	Electrical Component	1
Estimated Active USA Implants	39	Therapy Function Compromised	2
Normal Battery Depletions	79	Battery Malfunction	2



Excluding Normal Battery Depletion
 Including Normal Battery Depletion

Years	1	10	11	12	13	2	3	4	5	6	7	8	9	at 162 mo
Excluding NBD	1	0.993	0.993	0.993	0.993	0.999	0.999	0.998	0.997	0.996	0.995	0.994	0.993	0.993
Including NBD	0.994	0.991	0.988	0.984	0.976	0.935	0.846	0.726	0.414	0.121	0.084	0.071	0.063	0.059
Effective	16508	12760	10566	9431	8385	7285	6055	4818	2560	591	333	228	155	104

#### 7232B Maximo VR

**Normal Battery Depletions** 

**US Market Release** Oct-03 **Total Malfunctions CE Approval Date** Oct-04 **Therapy Function Not Compromised Registered USA Implants** 170

**Therapy Function Compromised Estimated Active USA Implants** 25 37

7232, Survival Curve 100% Cumulative Survival P... 80% 60% 40% 20% 0% 0 Years After Implant

Years	1	10	11	12	2	3	4	5	6	7	8	9	at 153 mo
Excluding NBD	1	0.998	0.998	0.998	0.999	0.999	0.998	0.998	0.998	0.998	0.998	0.998	0.998
Including NBD	0.994	0.991	0.988	0.983	0.967	0.908	0.823	0.701	0.45	0.196	0.151	0.141	0.133
Effective Sample Size	38269	34244	30526	26920	23716	20620	17420	13957	8444	3139	1841	1070	115

#### Maximo VR 232Cx

US Market Release	Oct-03
CE Approval Date	Oct-03
Registered USA Implants	43,672
Estimated Active USA Implants	5,032
Normal Battery Depletions	10,767

#### **Total Malfunctions** 73 **Therapy Function Not Compromised** 58

**Electrical Component** 28 Other Malfunction 3

Poss Early Battery Depltn 25 Software Malfunction 2

15

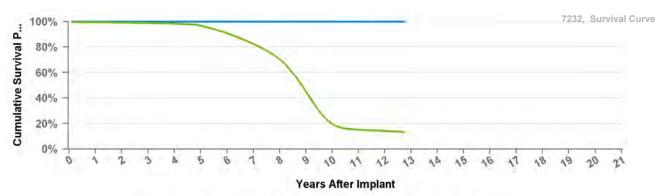
12

**Therapy Function Compromised** 

**Electrical Component** Electrical Interconnect

1 Other Malfunction 1

Poss Early Battery Depltn 1

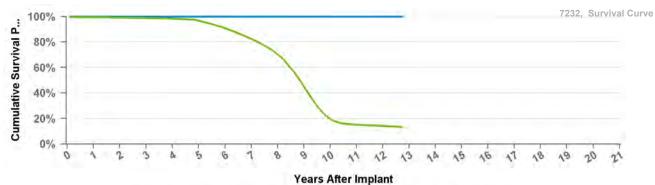


### Excluding Normal Battery Depletion Including Normal Battery Depletion

Years	1	10	11	12	2	3	4	5	6	7	8	9	at 153 mo
Excluding NBD	1	0.998	0.998	0.998	0.999	0.999	0.998	0.998	0.998	0.998	0.998	0.998	0.998
Including NBD	0.994	0.991	0.988	0.983	0.967	0.908	0.823	0.701	0.45	0.196	0.151	0.141	0.133
Effective Sample Size	38269	34244	30526	26920	23716	20620	17420	13957	8444	3139	1841	1070	115

#### Maximo VR 7232E

US Market Release	Oct-03	Total Malfunctions	1	
CE Approval Date	Oct-04	Therapy Function Not Compromised	0	
Registered USA Implants	490			
<b>Estimated Active USA Implants</b>	68	Therapy Function Compromised	1	
Normal Battery Depletions	88	Electrical Component	1	



Years	1	10	11	12	2	3	4	5	6	7	8	9	at 153 mo
Excluding NBD	1	0.998	0.998	0.998	0.999	0.999	0.998	0.998	0.998	0.998	0.998	0.998	0.998
Including NBD	0.994	0.991	0.988	0.983	0.967	0.908	0.823	0.701	0.45	0.196	0.151	0.141	0.133
Effective Sample Size	38269	34244	30526	26920	23716	20620	17420	13957	8444	3139	1841	1070	115

### **D144DRG**

## **Entrust Escudo**

**US Market Release** 

CE Approval Date

Jun-08

Total Malfunctions

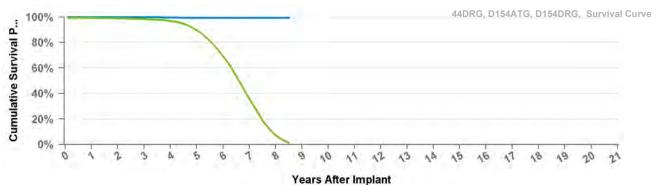
**Therapy Function Not Compromised** 

Registered USA Implants

Estimated Active USA Implants

**Normal Battery Depletions** 

**Therapy Function Compromised** 



Excluding Normal Battery Depletion
 Including Normal Battery Depletion

**Total Malfunctions** 

Years	1	2	3	4	5	6	7	8	at 102 mo
Excluding NBD	1	0.999	0.998	0.996	0.994	0.994	0.993	0.993	0.993
Including NBD	0.993	0.99	0.984	0.969	0.896	0.694	0.36	0.072	0.012
Effective	24828	22610	20237	17789	14668	10501	4936	856	132

Jun-08

### **D144VRC**

## **Entrust Escudo**

**US Market Release** 

**CE Approval Date** 

**Registered USA Implants** 

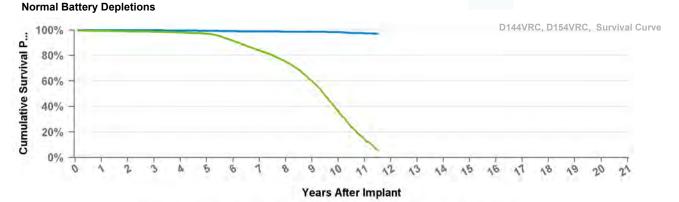
**Estimated Active USA Implants** 

A Implants

Thor

**Therapy Function Not Compromised** 

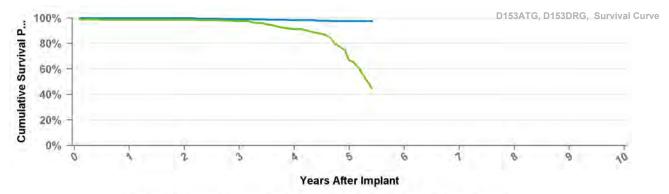
**Therapy Function Compromised** 



Years	1	10	11	2	3	4	5	6	7	8	9	at 138 mo
Excluding NBD	0.999	0.983	0.974	0.999	0.998	0.997	0.994	0.991	0.988	0.987	0.986	0.971
Including NBD	0.994	0.99	0.987	0.982	0.972	0.915	0.84	0.752	0.598	0.365	0.144	0.056
Effective Sample Size	12605	11408	10197	8980	7898	6867	5847	4902	3583	1966	565	143

# **D153ATG** Entrust AT

US Market Release	Jun-05	Total Malfunctions	8
CE Approval Date		Therapy Function Not Compromised	7
Registered USA Implants	459	Poss Early Battery Depltn	7
Estimated Active USA Implants	14	Therapy Function Compromised	1
Normal Battery Depletions	182	Electrical Component	1

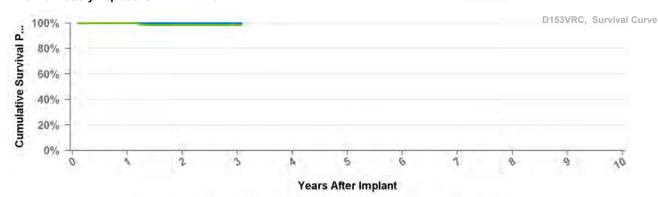


Excluding Normal Battery Depletion
 Including Normal Battery Depletion

Years	1	2	3	4	5	at 65 mo
Excluding NBD	0.998	0.998	0.992	0.983	0.976	0.976
Including NBD	0.987	0.987	0.975	0.913	0.669	0.447
Effective Sample Size	410	376	339	278	194	112

## D153VRC Entrust VR

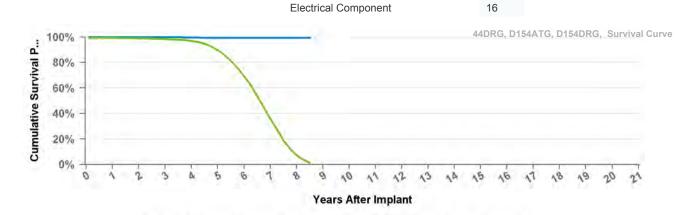
US Market Release CE Approval Date	Jun-05	Total Malfunctions Therapy Function Not Compromised	1
Registered USA Implants	165	Electrical Component	1
Estimated Active USA Implants	10	Therapy Function Compromised	0
Normal Battery Depletions	28		



Years	1	2	3	at 37 mo
Excluding NBD	1	1	1	1
Including NBD	1	0.985	0.985	0.985
Effective Sample Size	141	119	102	100

# **D154ATG** Entrust AT

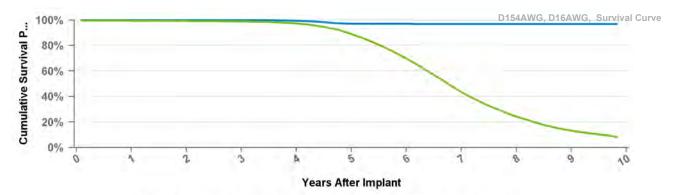
US Market Release	Jun-05	Total Malfunctions	125
CE Approval Date	Feb-05	Therapy Function Not Compromised	109
Registered USA Implants	28,151	Electrical Component	30
Estimated Active USA Implants	953	Electrical Interconnect	1
Normal Battery Depletions	9,027	Other Malfunction	1
		Poss Early Battery Depltn	74
		Software Malfunction	3
		Therapy Function Compromised	16
		Electrical Component	16



Years	1	2	3	4	5	6	7	8	at 102 mo
Excluding NBD	1	0.999	0.998	0.996	0.994	0.994	0.993	0.993	0.993
Including NBD	0.993	0.99	0.984	0.969	0.896	0.694	0.36	0.072	0.012
Effective	24828	22610	20237	17789	14668	10501	4936	856	132

# D154AWG Virtuoso DR

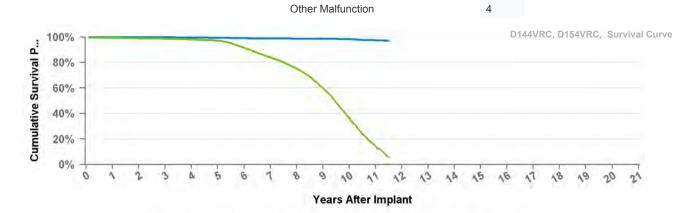
US Market Release	May-06	Total Malfunctions	3,338
CE Approval Date		Therapy Function Not Compromised	3,287
Registered USA Implants	76,859	Battery Malfunction	9
Estimated Active USA Implants	10,642	Electrical Component	3,138
Normal Battery Depletions	21,994	Electrical Interconnect	2
		Other Malfunction	3
		Poss Early Battery Depltn	132
		Software Malfunction	3
		Therapy Function Compromised	51
		Battery Malfunction	2
		Electrical Component	45
		Other Malfunction	3
		Poss Early Battery Depltn	1



Years	1	2	3	4	5	6	7	8	9	at 118 mo
Excluding NBD	1	0.999	0.999	0.994	0.971	0.97	0.969	0.969	0.968	0.968
Including NBD	0.995	0.993	0.988	0.973	0.89	0.697	0.433	0.242	0.132	0.081
Effective Sample Size	63449	58192	53029	48185	40953	29867	16934	8279	3613	312

# D154VRC Entrust VR

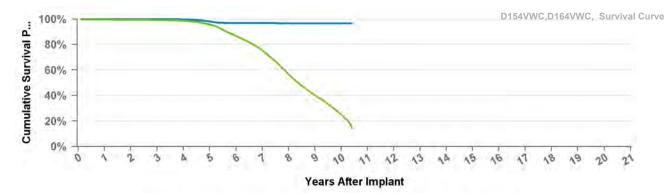
US Market Release	Jun-05	Total Malfunctions	149
CE Approval Date	Feb-05	Therapy Function Not Compromised	101
Registered USA Implants	14,466	Battery Malfunction	19
Estimated Active USA Implants	995	Electrical Component	47
Normal Battery Depletions	3,345	Other Malfunction	11
		Poss Early Battery Depltn	24
		Therapy Function Compromised	48
		Battery Malfunction	17
		Electrical Component	27
		Other Malfunction	4



Years	1	10	11	2	3	4	5	6	7	8	9	at 138 mo
Excluding NBD	0.999	0.983	0.974	0.999	0.998	0.997	0.994	0.991	0.988	0.987	0.986	0.971
Including NBD	0.994	0.99	0.987	0.982	0.972	0.915	0.84	0.752	0.598	0.365	0.144	0.056
Effective Sample Size	12605	11408	10197	8980	7898	6867	5847	4902	3583	1966	565	143

#### **D154VWC** Virtuoso VR

US Market Release	May-06	Total Malfunctions	689
CE Approval Date		Therapy Function Not Compromised	669
Registered USA Implants	33,148	Battery Malfunction	12
Estimated Active USA Implants	6,956	Electrical Component	637
Normal Battery Depletions	7,489	Electrical Interconnect	1
		Other Malfunction	4
		Poss Early Battery Depltn	15
		Therapy Function Compromised	20
		Battery Malfunction	3
		Electrical Component	17



### Excluding Normal Battery Depletion Including Normal Battery Depletion

Years	1	10	2	3	4	5	6	7	8	9	mo
Excluding NBD	1	0.967	0.999	0.999	0.997	0.981	0.969	0.968	0.967	0.967	0.967
Including NBD	0.996	0.994	0.992	0.986	0.957	0.866	0.751	0.565	0.401	0.249	0.143
Effective Sample Size	28613	26098	23782	21756	19339	16200	13140	9193	5720	1850	372

#### **D164AWG** Virtuoso DR

US	Market	Release	

**CE Approval Date** Mar-06

**Registered USA Implants** 10

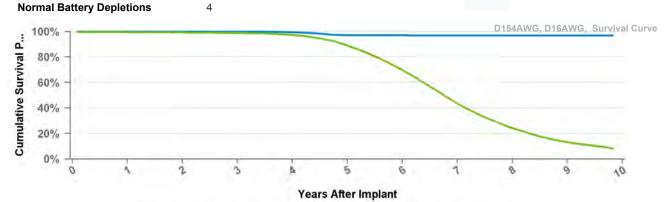
3

**Estimated Active USA Implants** 

**Total Malfunctions** 

**Therapy Function Not Compromised** 

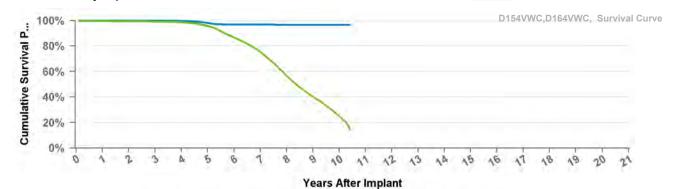
**Therapy Function Compromised** 



Years	1	2	3	4	5	6	7	8	9	at 118 mo
Excluding NBD	1	0.999	0.999	0.994	0.971	0.97	0.969	0.969	0.968	0.968
Including NBD	0.995	0.993	0.988	0.973	0.89	0.697	0.433	0.242	0.132	0.081
Effective Sample Size	63449	58192	53029	48185	40953	29867	16934	8279	3613	312

# D164VWC Virtuoso VR

US Market Release		Total Malfunctions				
CE Approval Date	Mar-06	Therapy Function Not Compromised	1			
Registered USA Implants	6	Electrical Component	1			
Estimated Active USA Implants	2	Therapy Function Compromised	0			
Normal Battery Depletions	1					

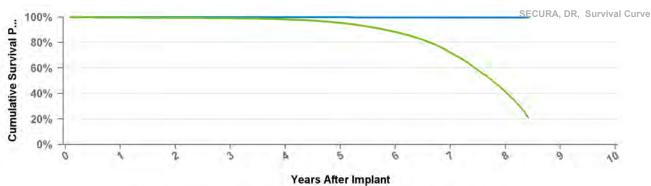


### Excluding Normal Battery Depletion Including Normal Battery Depletion

Years	1	10	2	3	4	5	6	7	8	9	at 125 mo
Excluding NBD	1	0.967	0.999	0.999	0.997	0.981	0.969	0.968	0.967	0.967	0.967
Including NBD	0.996	0.994	0.992	0.986	0.957	0.866	0.751	0.565	0.401	0.249	0.143
Effective Sample Size	28613	26098	23782	21756	19339	16200	13140	9193	5720	1850	372

## D204DRM Secura DR

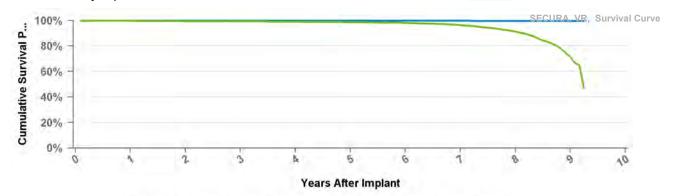
US Market Release CE Approval Date	Jan-12	Total Malfunctions Therapy Function Not Compromised	3 1
Registered USA Implants	1,880	Other Malfunction	1
Estimated Active USA Implants	1,386	Therapy Function Compromised	2
Normal Battery Depletions	35	Electrical Component	2



Years	1	2	3	4	5	6	7	8	mo
Excluding NBD	1	0.999	0.999	0.998	0.998	0.997	0.997	0.996	0.996
Including NBD	0.996	0.994	0.991	0.982	0.954	0.883	0.72	0.414	0.213
Effective Sample Size	45387	42535	39942	37062	33183	26052	16120	3704	517

# D204VRM Secura VR

US Market Release	May-12	Total Malfunctions	1
CE Approval Date		Therapy Function Not Compromised	1
Registered USA Implants	1,184	Electrical Component	1
Estimated Active USA Implants	957	Therapy Function Compromised	0
Normal Battery Depletions	1		



Excluding Normal Battery Depletion
 Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	at 111 mo
Excluding NBD	1	0.999	0.999	0.999	0.998	0.998	0.998	0.997	0.997	0.996
Including NBD	0.998	0.995	0.994	0.991	0.987	0.981	0.963	0.914	0.713	0.467
Effective Sample Size	18312	17106	16124	15025	13763	11640	9229	4929	776	102

# D214DRM Secura DR

US Market Release Total Malfunctions

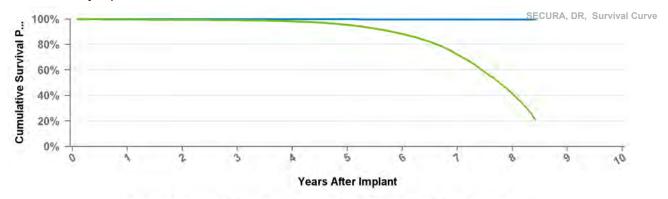
CE Approval Date Jul-10 Therapy Function Not Compromised

Registered USA Implants 1

**Estimated Active USA Implants** 

**Normal Battery Depletions** 

Therapy Function Compromised



Years	1	2	3	4	5	6	7	8	at 101 mo
Excluding NBD	1	0.999	0.999	0.998	0.998	0.997	0.997	0.996	0.996
Including NBD	0.996	0.994	0.991	0.982	0.954	0.883	0.72	0.414	0.213
Effective Sample Size	45387	42535	39942	37062	33183	26052	16120	3704	517

# D214VRM

# Secura VR

**US Market Release** 

Total Malfunctions

**CE Approval Date** 

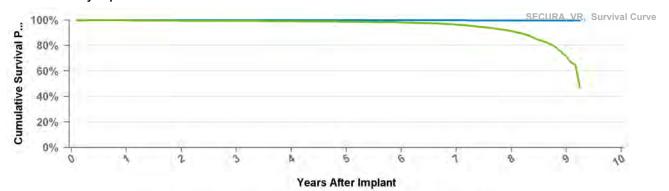
**Therapy Function Not Compromised** 

**Registered USA Implants** 

Dec-10

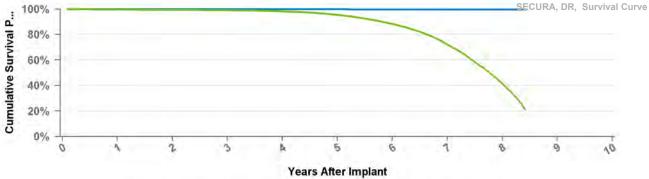
Therapy Function Compromised

Estimated Active USA Implants Normal Battery Depletions



Years	1	2	3	4	5	6	7	8	9	at 111 mo
Excluding NBD	1	0.999	0.999	0.999	0.998	0.998	0.998	0.997	0.997	0.996
Including NBD	0.998	0.995	0.994	0.991	0.987	0.981	0.963	0.914	0.713	0.467
Effective Sample Size	18312	17106	16124	15025	13763	11640	9229	4929	776	102

#### D224DRG Secura DR **US Market Release** Sep-08 **Total Malfunctions** 139 **CE Approval Date Therapy Function Not Compromised** 110 **Registered USA Implants** 49,909 **Battery Malfunction** 11 **Estimated Active USA Implants** 15,513 **Electrical Component** 36 **Normal Battery Depletions** 8,053 Other Malfunction 4 Poss Early Battery Depltn 50 Software Malfunction 9 **Therapy Function Compromised** 29 **Battery Malfunction** 13 **Electrical Component** 13 Other Malfunction 1 Poss Early Battery Depltn 1 Software Malfunction 1 100%

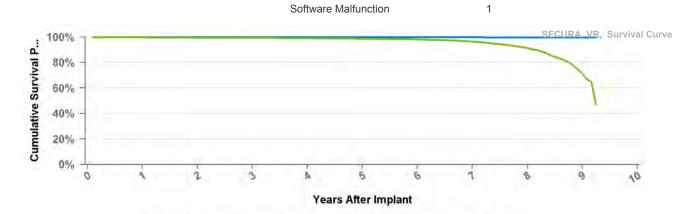


Excluding Normal Battery Depletion
 Including Normal Battery Depletion

at 101

Years	1	2	3	4	5	6	7	8	mo
Excluding NBD	1	0.999	0.999	0.998	0.998	0.997	0.997	0.996	0.996
Including NBD	0.996	0.994	0.991	0.982	0.954	0.883	0.72	0.414	0.213
Effective Sample Size	45387	42535	39942	37062	33183	26052	16120	3704	517

#### D224VRC Secura VR **US Market Release** Sep-08 **Total Malfunctions** 44 **CE Approval Date Therapy Function Not Compromised** 34 **Registered USA Implants** 20,044 **Battery Malfunction** 13 **Estimated Active USA Implants** 10,311 **Electrical Component** 10 **Normal Battery Depletions** 787 Other Malfunction 1 Poss Early Battery Depltn 8 Software Malfunction 2 **Therapy Function Compromised** 10 **Battery Malfunction** 3 **Electrical Component** 5 Poss Early Battery Depltn 1



Software Malfunction

1

Years	1	2	3	4	5	6	7	8	9	mo
Excluding NBD	1	0.999	0.999	0.999	0.998	0.998	0.998	0.997	0.997	0.996
Including NBD	0.998	0.995	0.994	0.991	0.987	0.981	0.963	0.914	0.713	0.467
Effective Sample Size	18312	17106	16124	15025	13763	11640	9229	4929	776	102

## D234DRG Secura DR

US Market Release

**Total Malfunctions** 

**CE Approval Date** 

Mar-08

Registered USA Implants

Mar-08

80

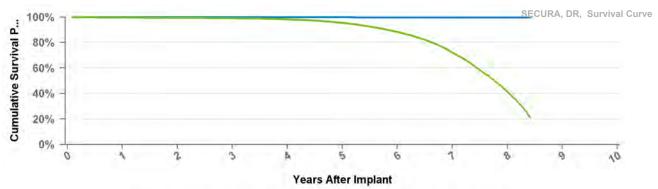
**Therapy Function Not Compromised** 

Estimated Active USA Implants

4 1

**Therapy Function Compromised** 

Normal Battery Depletions 1



. Excluding Normal Battery Depletion . Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	at 101 mo
Excluding NBD	1	0.999	0.999	0.998	0.998	0.997	0.997	0.996	0.996
Including NBD	0.996	0.994	0.991	0.982	0.954	0.883	0.72	0.414	0.213
Effective	45387	42535	39942	37062	33183	26052	16120	3704	517

Mar-08

## **D234VRC**

## Secura VR

**US Market Release** 

**Total Malfunctions** 

CE Approval Date

**Therapy Function Not Compromised** 

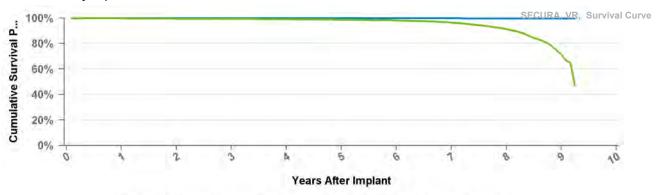
**Registered USA Implants** 

2

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 



Years	1	2	3	4	5	6	7	8	9	at 111 mo
Excluding NBD	1	0.999	0.999	0.999	0.998	0.998	0.998	0.997	0.997	0.996
Including NBD	0.998	0.995	0.994	0.991	0.987	0.981	0.963	0.914	0.713	0.467
Effective Sample Size	18312	17106	16124	15025	13763	11640	9229	4929	776	102

## D264DRM Maximo II DR

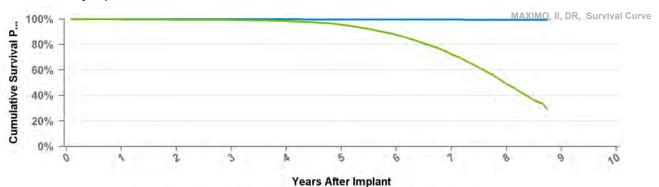
US Market Release Jan-12

CE Approval Date Jul-10 Therapy Function Not Compromised

Registered USA Implants 7

Estimated Active USA Implants 2 Therapy Function Compromised

Normal Battery Depletions 2



**Total Malfunctions** 

Excluding Normal Battery Depletion
 Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	at 105 mo
Excluding NBD	1	1	0.999	0.998	0.997	0.997	0.996	0.994	0.994
Including NBD	0.997	0.995	0.992	0.984	0.956	0.875	0.723	0.49	0.291
Effective Sample Size	17589	16431	15447	14340	12817	9797	5602	1749	195

## **D264VRM**

### Maximo II VR

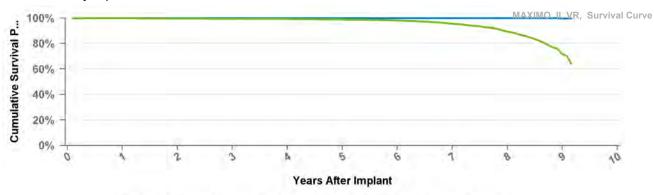
US Market Release May-12 Total Malfunctions

CE Approval Date Dec-10 Therapy Function Not Compromised

Registered USA Implants 1

Estimated Active USA Implants 1 Therapy Function Compromised

**Normal Battery Depletions** 



Years	1	2	3	4	5	6	7	8	9	at 110 mo
Excluding NBD	1	0.999	0.999	0.999	0.999	0.999	0.998	0.998	0.997	0.997
Including NBD	0.998	0.996	0.995	0.993	0.988	0.981	0.955	0.893	0.718	0.642
Effective Sample Size	11254	10550	9938	9243	8477	7307	5615	3074	583	254

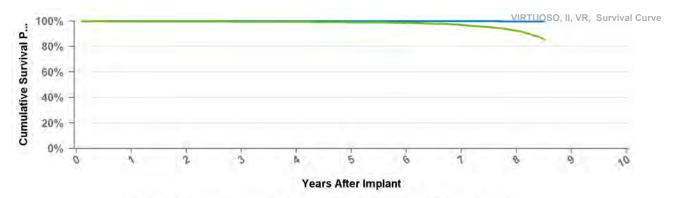
#### **D274DRG** Virtuoso II DR **US Market Release** Aug-09 **Total Malfunctions** 44 **CE Approval Date Therapy Function Not Compromised** 29 **Registered USA Implants** 22,238 **Battery Malfunction** 10 **Estimated Active USA Implants** 7,053 **Electrical Component** 11 **Normal Battery Depletions** 3,487 Poss Early Battery Depltn 7 Software Malfunction 1 15 **Therapy Function Compromised Battery Malfunction** 12 **Electrical Component** 2 Other Malfunction 1 VIRTUOSO, II, DR, Survival Curve 100% Cumulative Survival P... 80% 60% 40% 20% 0% 5 3 6 **Years After Implant**

<ul> <li>Excluding Normal Battery Depletion</li> <li>Including Normal Battery Depletion</li> </ul>		Excluding Norma	Battery Denletion	<ul> <li>Including Normal Battery Depletion</li> </ul>
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Years	1	2	3	4	5	6	7	8	at 98 mo
Excluding NBD	1	1	1	0.999	0.999	0.999	0.997	0.996	0.996
Including NBD	0.998	0.997	0.993	0.987	0.96	0.89	0.712	0.356	0.169
Effective Sample Size	19347	18169	17103	15892	14175	11419	7193	1023	199

# D274VRC Virtuoso II VR

US Market Release	Aug-09	Total Malfunctions	16
CE Approval Date		Therapy Function Not Compromised	12
Registered USA Implants	9,124	Battery Malfunction	5
Estimated Active USA Implants	5,269	Electrical Component	4
Normal Battery Depletions	204	Poss Early Battery Depltn	2
		Software Malfunction	1
		Therapy Function Compromised	4
		Battery Malfunction	3
		Electrical Component	1

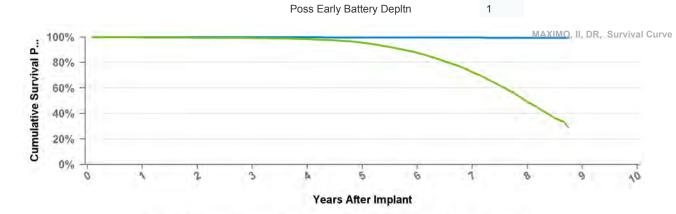


## 

Years	1	2	3	4	5	6	7	8	mo
Excluding NBD	1	1	1	0.999	0.999	0.998	0.998	0.997	0.997
Including NBD	0.997	0.997	0.995	0.994	0.989	0.985	0.969	0.924	0.854
Effective Sample Size	7798	7322	6912	6432	5935	5395	4540	1755	320

# D284DRG Maximo II DR

US Market Release	Sep-08	Total Malfunctions	67
CE Approval Date	Mar-08	Therapy Function Not Compromised	52
Registered USA Implants	20,096	Battery Malfunction	6
Estimated Active USA Implants	6,591	Electrical Component	14
Normal Battery Depletions	3,008	Other Malfunction	2
		Poss Early Battery Depltn	30
		Therapy Function Compromised	15
		Battery Malfunction	9
		Electrical Component	5
		Poss Early Battery Depltn	1



Years	1	2	3	4	5	6	7	8	at 105 mo
Excluding NBD	1	1	0.999	0.998	0.997	0.997	0.996	0.994	0.994
Including NBD	0.997	0.995	0.992	0.984	0.956	0.875	0.723	0.49	0.291
Effective Sample Size	17589	16431	15447	14340	12817	9797	5602	1749	195

#### **D284VRC** Maximo II VR **US Market Release** Sep-08 **Total Malfunctions** Mar-08 **CE Approval Date Therapy Function Not Compromised Registered USA Implants** 13,037 **Battery Malfunction**

6,866

632

6 **Electrical Component** 6 Poss Early Battery Depltn 3 Software Malfunction 3

23

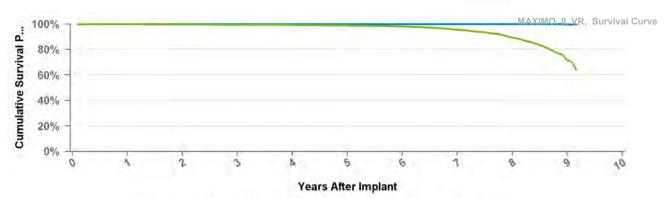
18

5

**Therapy Function Compromised** 

**Battery Malfunction** 2 2 **Electrical Component** 1

Software Malfunction



Excluding Normal Battery Depletion
 Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	at 110 mo
Excluding NBD	1	0.999	0.999	0.999	0.999	0.999	0.998	0.998	0.997	0.997
Including NBD	0.998	0.996	0.995	0.993	0.988	0.981	0.955	0.893	0.718	0.642
Effective Sample Size	11254	10550	9938	9243	8477	7307	5615	3074	583	254

#### D294DRG Virtuoso II DR

**US Market Release** 

**Estimated Active USA Implants** 

**Normal Battery Depletions** 

**CE Approval Date** Aug-08

**Registered USA Implants** 1

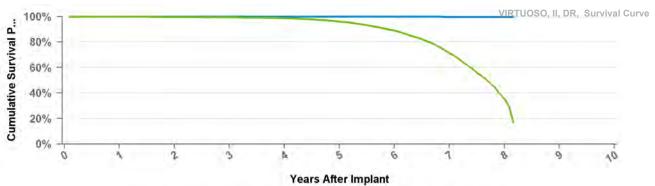
**Estimated Active USA Implants** 

**Normal Battery Depletions** 

**Total Malfunctions** 

**Therapy Function Not Compromised** 

**Therapy Function Compromised** 



Years	1	2	3	4	5	6	7	8	at 98 mo
Excluding NBD	1	1	1	0.999	0.999	0.999	0.997	0.996	0.996
Including NBD	0.998	0.997	0.993	0.987	0.96	0.89	0.712	0.356	0.169
Effective Sample Size	19347	18169	17103	15892	14175	11419	7193	1023	199

# D294VRC Virtuoso II VR

**US Market Release** 

**CE Approval Date** 

Registered USA Implants

**Estimated Active USA Implants** 

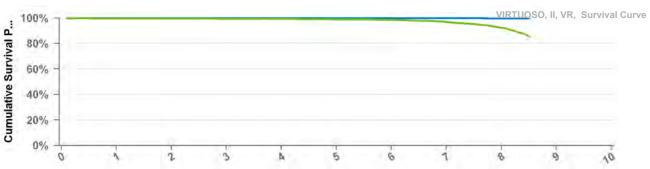
**Normal Battery Depletions** 

Total Malfunctions

Aug-08

**Therapy Function Not Compromised** 

**Therapy Function Compromised** 



Years After Implant

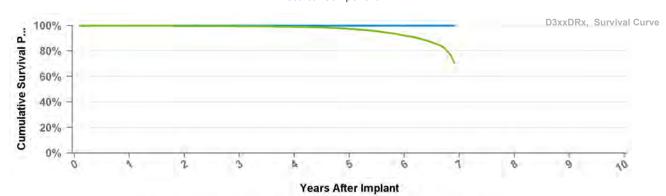
Excluding Normal Battery Depletion
 Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	at 102 mo
Excluding NBD	1	1	1	0.999	0.999	0.998	0.998	0.997	0.997
Including NBD	0.997	0.997	0.995	0.994	0.989	0.985	0.969	0.924	0.854
Effective	7798	7322	6912	6432	5935	5395	4540	1755	320

# D314DRG Protecta XT DR

US Market Release	Mar-11
CE Approval Date	
Registered USA Implants	34,841
Estimated Active USA Implants	21,671
Normal Battery Depletions	1,331

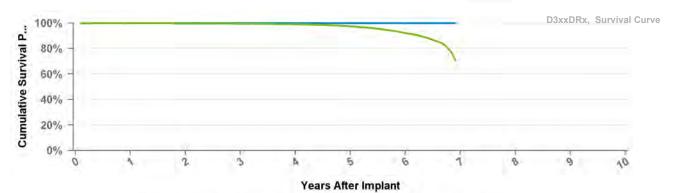
**Total Malfunctions** 52 **Therapy Function Not Compromised** 37 **Battery Malfunction** 6 25 **Electrical Component** Electrical Interconnect Other Malfunction Poss Early Battery Depltn 4 **Therapy Function Compromised** 15 **Battery Malfunction** 8 7 **Electrical Component** 



Years	1	2	3	4	5	6	at 83 mo
Excluding NBD	1	1	0.999	0.999	0.999	0.998	0.998
Including NBD	0.998	0.997	0.995	0.99	0.974	0.921	0.705
Effective Sample Size	55790	52423	49195	45553	39476	18335	623

# D314DRM Protecta XT DR

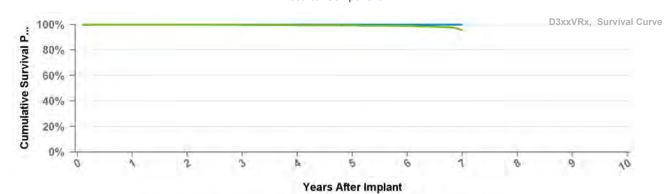
US Market Release	Nov-11	Total Malfunctions	15
CE Approval Date		Therapy Function Not Compromised	13
Registered USA Implants	13,923	Battery Malfunction	1
Estimated Active USA Implants	10,420	Electrical Component	11
Normal Battery Depletions	248	Other Malfunction	1
		Therapy Function Compromised	2
		Battery Malfunction	2



Years	1	2	3	4	5	6	mo
Excluding NBD	1	1	0.999	0.999	0.999	0.998	0.998
Including NBD	0.998	0.997	0.995	0.99	0.974	0.921	0.705
Effective Sample Size	55790	52423	49195	45553	39476	18335	623

# D314VRG Protecta XT VR

US Market Release	Mar-11	Total Malfunctions	17
CE Approval Date		Therapy Function Not Compromised	13
Registered USA Implants	14,217	Battery Malfunction	3
Estimated Active USA Implants	10,620	Electrical Component	9
Normal Battery Depletions	85	Other Malfunction	1
		Therapy Function Compromised	4
		Battery Malfunction	3
		Electrical Component	1



Years	1	2	3	4	5	6	at 84 mo
Excluding NBD	1	1	1	1	0.999	0.999	0.998
Including NBD	0.999	0.999	0.997	0.995	0.993	0.989	0.955
Effective Sample Size	26704	25032	23582	21826	18795	8896	152

#### **D314VRM** Protecta XT VR **US Market Release** 5 May-12 **Total Malfunctions Therapy Function Not Compromised** 3 **CE Approval Date Registered USA Implants** 2 7,376 **Electrical Component Estimated Active USA Implants** 5,886 Poss Early Battery Depltn 1 **Normal Battery Depletions Therapy Function Compromised** 2 24 **Electrical Component** 2 D3xxVRx, Survival Curve 100% Cumulative Survival P... 80% 60% 40% 20% 0% 5 0 3 ъ 9 Years After Implant Excluding Normal Battery Depletion Including Normal Battery Depletion at 84 Years 2 3 5 6 mo 0.999 0.999 0.998 **Excluding NBD** Including NBD 0.999 0.999 0.997 0.995 0.993 0.989 0.955 Effective 26704 25032 23582 21826 18795 8896 152 Sample Size D334DRG Protecta DR **US Market Release Total Malfunctions** 12 Mar-11 **CE Approval Date Therapy Function Not Compromised** 8 **Registered USA Implants Battery Malfunction** 2 10,691 **Estimated Active USA Implants** 6,524 **Electrical Component** 5 **Normal Battery Depletions** 601 Poss Early Battery Depltn 1 **Therapy Function Compromised** 4 **Battery Malfunction** 1 **Electrical Component** 3 D3xxDRx, Survival Curve 100% Cumulative Survival P... 80% 60% 40% 20% 0% 5 3 9 Years After Implant Including Normal Battery Depletion **Excluding Normal Battery Depletion**

3

4

5

6

2

Years

at 83

mo

#### **D334DRM** Protecta DR

**US Market Release** 

**Total Malfunctions** Nov-11

2,264

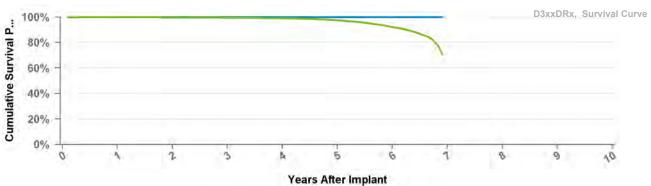
**CE Approval Date Registered USA Implants** 

**Therapy Function Not Compromised** 

**Estimated Active USA Implants** 

2,993 **Therapy Function Compromised** 

**Normal Battery Depletions** 82

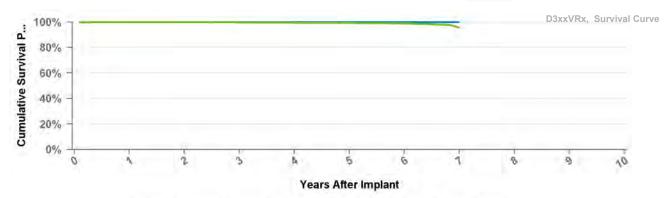


#### Excluding Normal Battery Depletion \* Including Normal Battery Depletion

Years	1	2	3	4	5	6	at 83 mo
Excluding NBD	1	1	0.999	0.999	0.999	0.998	0.998
Including NBD	0.998	0.997	0.995	0.99	0.974	0.921	0.705
Effective	55790	52423	49195	45553	39476	18335	623

#### **D334VRG** Protecta VR

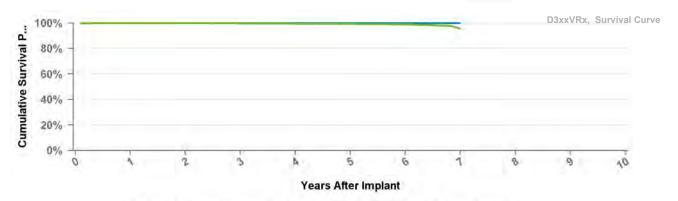
US Market Release	Mar-11	Total Malfunctions	6
CE Approval Date		Therapy Function Not Compromised	4
Registered USA Implants	6,483	Battery Malfunction	1
<b>Estimated Active USA Implants</b>	4,922	Electrical Component	3
Normal Battery Depletions	28	Therapy Function Compromised	2
		Electrical Component	2



Years	1	2	3	4	5	6	at 84 mo
Excluding NBD	1	1	1	1	0.999	0.999	0.998
Including NBD	0.999	0.999	0.997	0.995	0.993	0.989	0.955
Effective Sample Size	26704	25032	23582	21826	18795	8896	152

#### **D334VRM** Protecta VR

US Market Release	May-12	Total Malfunctions	2
CE Approval Date		Therapy Function Not Compromised	2
Registered USA Implants	2,162	Battery Malfunction	1
Estimated Active USA Implants	1,732	Other Malfunction	1
Normal Battery Depletions	8	Therapy Function Compromised	0



Excluding Normal Battery Depletion
 Including Normal Battery Depletion

Years	1	2	3	4	5	6	at 84 mo
Excluding NBD	1	1	1	1	0.999	0.999	0.998
Including NBD	0.999	0.999	0.997	0.995	0.993	0.989	0.955
Effective Sample Size	26704	25032	23582	21826	18795	8896	152

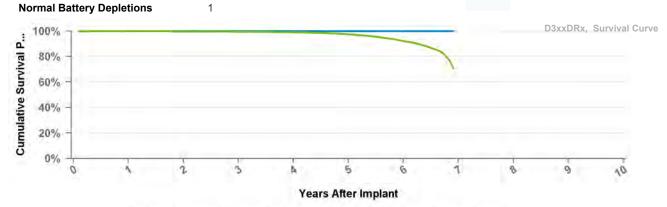
#### **D354DRG** Protecta XT DR

**Total Malfunctions** 

**US Market Release CE Approval Date Therapy Function Not Compromised** Mar-10

**Registered USA Implants** 5

**Therapy Function Compromised Estimated Active USA Implants** 3



Years	1	2	3	4	5	6	at 83 mo
Excluding NBD	1	1	0.999	0.999	0.999	0.998	0.998
Including NBD	0.998	0.997	0.995	0.99	0.974	0.921	0.705
Effective Sample Size	55790	52423	49195	45553	39476	18335	623

#### **D354DRM** Protecta XT DR

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

Jul-10

1

1

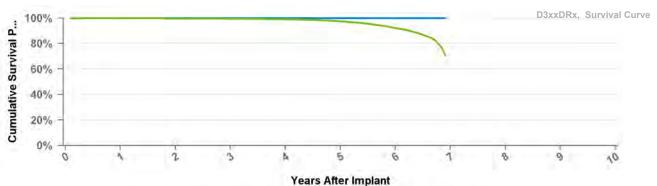
**Registered USA Implants** 

**Therapy Function Not Compromised** 

**Therapy Function Compromised** 

**Estimated Active USA Implants** 

**Normal Battery Depletions** 



**Excluding Normal Battery Depletion** Including Normal Battery Depletion

Years	1	2	3	4	5	6	at 83 mo
Excluding NBD	1	1	0.999	0.999	0.999	0.998	0.998
Including NBD	0.998	0.997	0.995	0.99	0.974	0.921	0.705
Effective Sample Size	55790	52423	49195	45553	39476	18335	623

# **D354VRG**

## Protecta XT VR

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

**Therapy Function Not Compromised** 

**Registered USA Implants** 

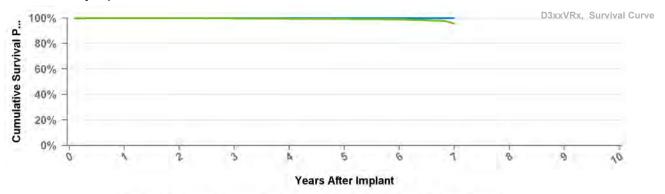
1

Mar-10

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 



Years	1	2	3	4	5	6	at 84 mo
Excluding NBD	1	1	1	1	0.999	0.999	0.998
Including NBD	0.999	0.999	0.997	0.995	0.993	0.989	0.955
Effective Sample Size	26704	25032	23582	21826	18795	8896	152

#### **D354VRM** Protecta XT VR

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

**Registered USA Implants** 

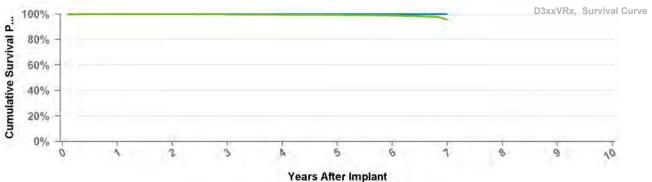
**Therapy Function Not Compromised** Dec-10

**Normal Battery Depletions** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

100%



Excluding Normal Battery Depletion \* Including Normal Battery Depletion

Years	1	2	3	4	5	6	at 84 mo
Excluding NBD	1	1	1	1	0.999	0.999	0.998
Including NBD	0.999	0.999	0.997	0.995	0.993	0.989	0.955
Effective Sample Size	26704	25032	23582	21826	18795	8896	152

## **D364DRG**

## Protecta DR

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

100%

80% 60% 40% 20% 0%

Cumulative Survival P...

**Therapy Function Not Compromised** 

**Registered USA Implants** 

2

1

0

**Therapy Function Compromised** 2 **Estimated Active USA Implants** 

Mar-10

**Normal Battery Depletions** 

D3xxDRx, Survival Curve

#### Years After Implant

Years	1	2	3	4	5	6	at 83 mo
Excluding NBD	1	1	0.999	0.999	0.999	0.998	0.998
Including NBD	0.998	0.997	0.995	0.99	0.974	0.921	0.705
Effective Sample Size	55790	52423	49195	45553	39476	18335	623

#### **D364DRM** Protecta DR

**US Market Release** 

**CE Approval Date** 

**Registered USA Implants** 

**Estimated Active USA Implants** 

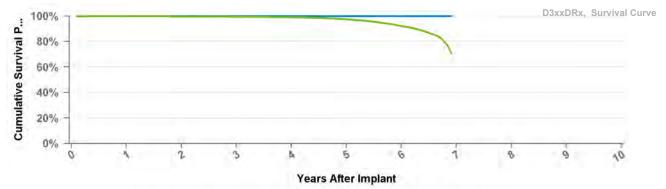
Jul-10

**Therapy Function Not Compromised** 

**Therapy Function Compromised** 

**Total Malfunctions** 

**Normal Battery Depletions** 



**Excluding Normal Battery Depletion** Including Normal Battery Depletion

Years	1	2	3	4	5	6	at 83 mo
Excluding NBD	1	1	0.999	0.999	0.999	0.998	0.998
Including NBD	0.998	0.997	0.995	0.99	0.974	0.921	0.705
Effective Sample Size	55790	52423	49195	45553	39476	18335	623

# **D364VRG**

## Protecta VR

**US Market Release** 

**Total Malfunctions** 

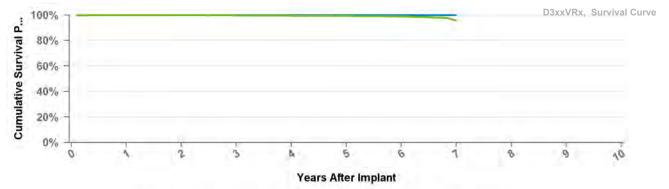
**CE Approval Date** Mar-10 **Therapy Function Not Compromised** 

**Registered USA Implants Estimated Active USA Implants** 

1

**Therapy Function Compromised** 

**Normal Battery Depletions** 



Years	1	2	3	4	5	6	at 84 mo
Excluding NBD	1	1	1	1	0.999	0.999	0.998
Including NBD	0.999	0.999	0.997	0.995	0.993	0.989	0.955
Effective Sample Size	26704	25032	23582	21826	18795	8896	152

#### **D364VRM** Protecta VR

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

Dec-10

**Therapy Function Not Compromised** 

**Registered USA Implants** 

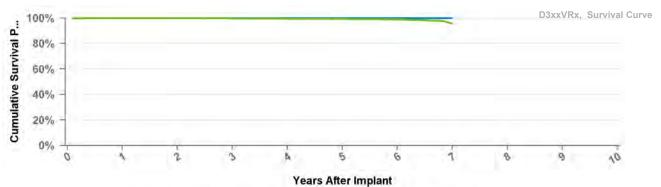
2

1

**Therapy Function Compromised** 

**Normal Battery Depletions** 

**Estimated Active USA Implants** 



**Excluding Normal Battery Depletion** Including Normal Battery Depletion

Years	1	2	3	4	5	6	at 84 mo
Excluding NBD	1	1	1	1	0.999	0.999	0.998
Including NBD	0.999	0.999	0.997	0.995	0.993	0.989	0.955
Effective Sample Size	26704	25032	23582	21826	18795	8896	152

#### **D384DRG**

## Cardia DR

**US Market Release** 

**Total Malfunctions** 

Jan-11

**CE Approval Date** 

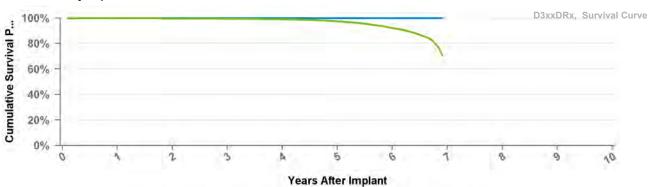
**Therapy Function Not Compromised** 

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 



Years	1	2	3	4	5	6	at 83 mo
Excluding NBD	1	1	0.999	0.999	0.999	0.998	0.998
Including NBD	0.998	0.997	0.995	0.99	0.974	0.921	0.705
Effective Sample Size	55790	52423	49195	45553	39476	18335	623

#### Cardia VR **D384VRG**

**US Market Release** 

Jan-11

**Total Malfunctions** 

**CE Approval Date** 

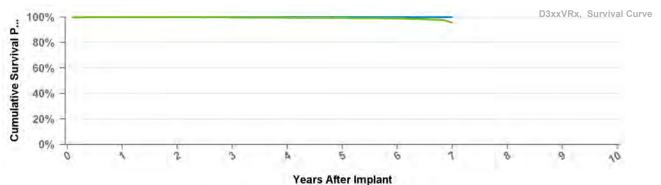
**Registered USA Implants** 

**Therapy Function Not Compromised** 

**Estimated Active USA Implants** 

**Normal Battery Depletions** 

**Therapy Function Compromised** 



**Excluding Normal Battery Depletion** Including Normal Battery Depletion

**Total Malfunctions** 

Years	1	2	3	4	5	6	at 84 mo
Excluding NBD	1	1	1	1	0.999	0.999	0.998
Including NBD	0.999	0.999	0.997	0.995	0.993	0.989	0.955
Effective Sample Size	26704	25032	23582	21826	18795	8896	152

#### **D394DRG**

# Egida DR

**US Market Release** 

**CE Approval Date** 

Jan-11

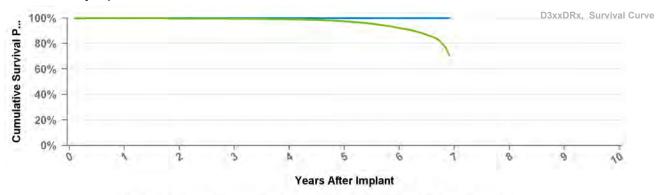
**Therapy Function Not Compromised** 

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Normal Battery Depletions** 

**Therapy Function Compromised** 



Years	1	2	3	4	5	6	at 83 mo
Excluding NBD	1	1	0.999	0.999	0.999	0.998	0.998
Including NBD	0.998	0.997	0.995	0.99	0.974	0.921	0.705
Effective Sample Size	55790	52423	49195	45553	39476	18335	623

## **D394VRG**

# Egida VR

**US Market Release** 

**CE Approval Date** 

Jan-11

**Registered USA Implants** 

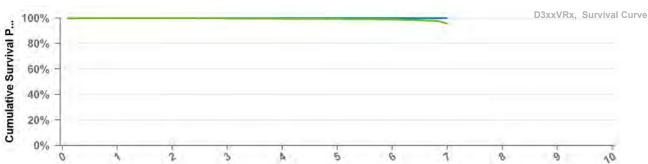
**Estimated Active USA Implants** 

**Normal Battery Depletions** 

**Total Malfunctions** 

**Therapy Function Not Compromised** 

**Therapy Function Compromised** 



Years After Implant

**Excluding Normal Battery Depletion** Including Normal Battery Depletion

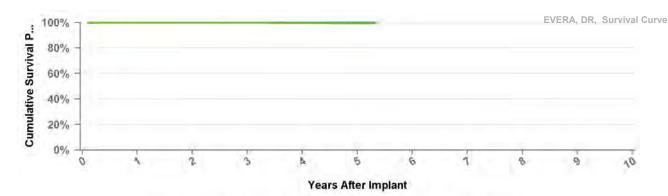
Years	1	2	3	4	5	6	at 84 mo
Excluding NBD	1	1	1	1	0.999	0.999	0.998
Including NBD	0.999	0.999	0.997	0.995	0.993	0.989	0.955
Effective Sample Size	26704	25032	23582	21826	18795	8896	152

## DDBB1D1

# Evera XT

US Market Release	Apr-13
CE Approval Date	
Registered USA Implants	41,498
Estimated Active USA Implants	36,742
Normal Battery Depletions	54

**Total Malfunctions** 19 **Therapy Function Not Compromised** 12 **Battery Malfunction** 4 **Electrical Component** 7 Other Malfunction **Therapy Function Compromised** 7 **Battery Malfunction** 6 **Electrical Interconnect** 1



Years	1	2	3	4	5	at 64 mo
Excluding NBD	1	1	1	0.999	0.999	0.999
Including NBD	0.999	0.999	0.998	0.996	0.995	0.995
Effective Sample Size	116369	81208	51191	26368	5062	237

# US Market Release Apr-13 Total Malfunctions CE Approval Date Therapy Function Not Compromised

Registered USA Implants 29,926 Battery Malfunction
Estimated Active USA Implants 26,739 Electrical Component
Normal Battery Depletions 27 Electrical Interconnect

Electrical Interconnect
Other Malfunction

Therapy Function Compromised

Battery Malfunction 6

20

11

4

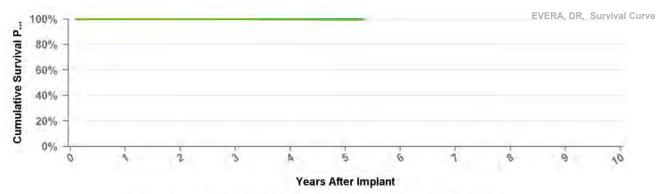
5

1

1

9





\* Excluding Normal Battery Depletion \* Including Normal Battery Depletion

Years	1	2	3	4	5	mo
Excluding NBD	1	1	1	0.999	0.999	0.999
Including NBD	0.999	0.999	0.998	0.996	0.995	0.995
Effective Sample Size	116369	81208	51191	26368	5062	237

# DDBB2D1 Evera XT

US Market Release Total Malfunctions

CE Approval Date Dec-12 Therapy Function Not Compromised

Registered USA Implants 2

Estimated Active USA Implants 1 Therapy Function Compromised

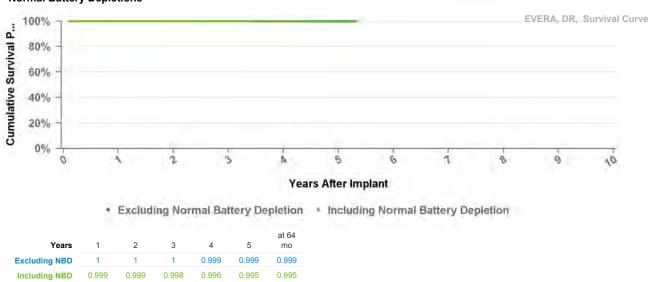
**Normal Battery Depletions** 

Effective

Sample Size

116369

81208



51191

26368

5062

237

#### DDBB2D4 Evera XT

**US Market Release** 

**CE Approval Date** 

**Total Malfunctions** 

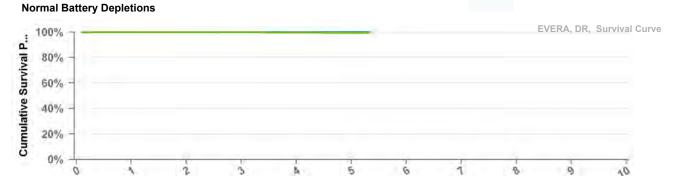
**Therapy Function Not Compromised** 

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

Dec-12

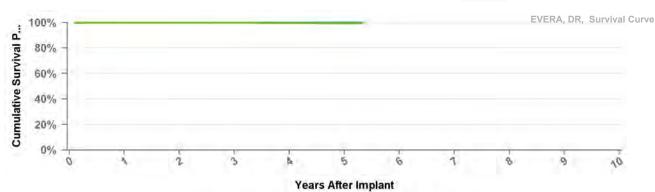


Years After Implant Excluding Normal Battery Depletion \* Including Normal Battery Depletion

Years	1	2	3	4	5	at 64 mo
Excluding NBD	1	1	1	0.999	0.999	0.999
Including NBD	0.999	0.999	0.998	0.996	0.995	0.995
Effective	116369	81208	51191	26368	5062	237

#### DDBC3D1 Evera S

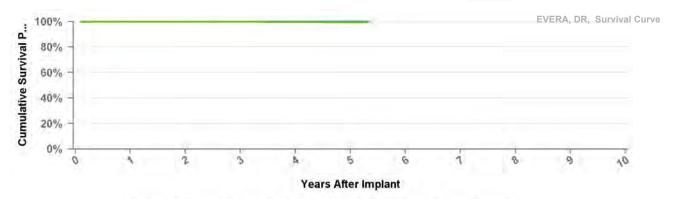
US Market Release	Apr-13	Total Malfunctions	3
CE Approval Date	Dec-12	Therapy Function Not Compromised	2
Registered USA Implants	8,122	Electrical Component	2
Estimated Active USA Implants	7,212	Therapy Function Compromised	1
Normal Battery Depletions	9	Electrical Component	1



Years	1	2	3	4	5	at 64 mo
Excluding NBD	1	1	1	0.999	0.999	0.999
Including NBD	0.999	0.999	0.998	0.996	0.995	0.995
Effective Sample Size	116369	81208	51191	26368	5062	237

# DDBC3D4 Evera S

US Market Release	Apr-13	Total Malfunctions	5
CE Approval Date	Dec-13	Therapy Function Not Compromised	4
Registered USA Implants	5,895	Battery Malfunction	2
Estimated Active USA Implants	5,233	Electrical Component	2
Normal Battery Depletions	4	Therapy Function Compromised	1
		Poss Early Battery Depltn	1



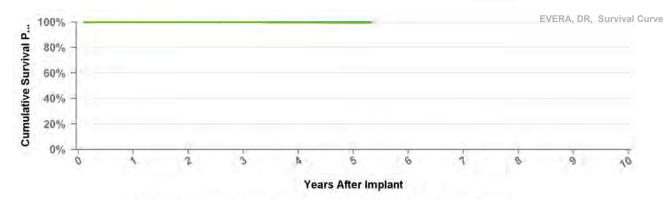
Excluding Normal Battery Depletion
 Including Normal Battery Depletion

Years	1	2	3	4	5	mo
Excluding NBD	1	1	1	0.999	0.999	0.999
Including NBD	0.999	0.999	0.998	0.996	0.995	0.995
Effective	116369	81208	51191	26368	5062	237

# DDMB1D1

# **Evera MRI XT**

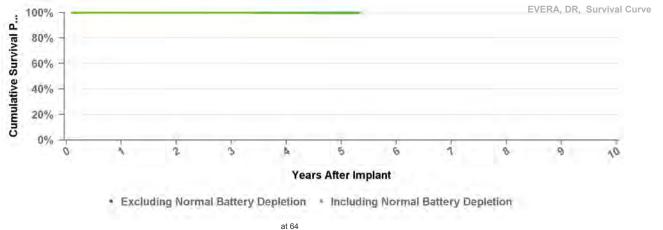
US Market Release	Oct-16	Total Malfunctions	2
CE Approval Date		Therapy Function Not Compromised	2
Registered USA Implants	17,065	Electrical Interconnect	1
Estimated Active USA Implants	16,650	Other Malfunction	1
Normal Battery Depletions	1	Therapy Function Compromised	0



Years	1	2	3	4	5	at 64 mo
Excluding NBD	1	1	1	0.999	0.999	0.999
Including NBD	0.999	0.999	0.998	0.996	0.995	0.995
Effective Sample Size	116369	81208	51191	26368	5062	237

#### **Evera MRI XT** DDMB1D4

US Market Release	Sep-15	Total Malfunctions	9
CE Approval Date		Therapy Function Not Compromised	9
Registered USA Implants	44,739	Electrical Component	7
Estimated Active USA Implants	42,954	Electrical Interconnect	1
Normal Battery Depletions	20	Other Malfunction	1
		Therapy Function Compromised	0



2 3 5 Years 4 mo 0.999 0.999 0.999 **Excluding NBD** Including NBD 0.999 0.998 0.996 0.995 0.995 Effective 81208 51191 116369 26368 5062 237 Sample Size

## DDMB2D1

# Evera MRI XT

**US Market Release CE Approval Date** Sep-16

**Registered USA Implants** 610 603

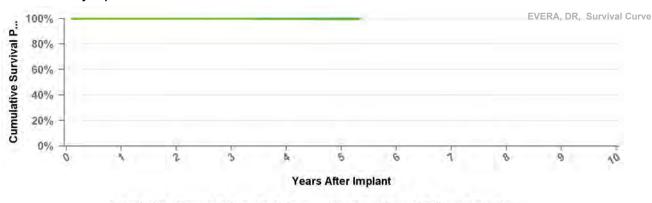
**Estimated Active USA Implants** 

**Total Malfunctions** 

**Therapy Function Not Compromised** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 



Years	1	2	3	4	5	at 64 mo
Excluding NBD	1	1	1	0.999	0.999	0.999
Including NBD	0.999	0.999	0.998	0.996	0.995	0.995
Effective Sample Size	116369	81208	51191	26368	5062	237

#### DDMB2D4 **Evera MRI XT**

**US Market Release** 

**CE Approval Date** 

**Registered USA Implants Estimated Active USA Implants** 

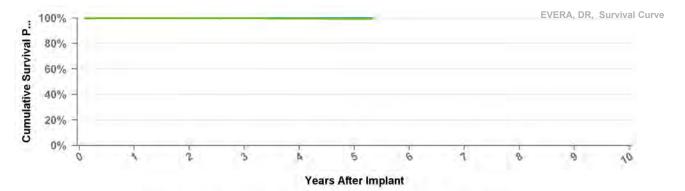
**Normal Battery Depletions** 

**Total Malfunctions** 

Mar-14

**Therapy Function Not Compromised** 

**Therapy Function Compromised** 



Excluding Normal Battery Depletion \* Including Normal Battery Depletion

Years	1	2	3	4	5	at 64 mo
Excluding NBD	1	1	1	0.999	0.999	0.999
Including NBD	0.999	0.999	0.998	0.996	0.995	0.995
Effective	116369	81208	51191	26368	5062	237

#### DDMC3D1 Evera MRI S

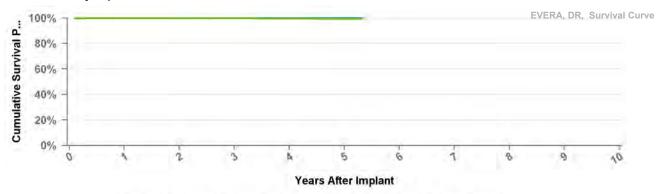
**US Market Release** Oct-16 **Total Malfunctions** 

**CE Approval Date Therapy Function Not Compromised** Sep-16

**Registered USA Implants** 1,517

**Therapy Function Compromised Estimated Active USA Implants** 1,494

**Normal Battery Depletions** 



Years	1	2	3	4	5	at 64 mo
Excluding NBD	1	1	1	0.999	0.999	0.999
Including NBD	0.999	0.999	0.998	0.996	0.995	0.995
Effective Sample Size	116369	81208	51191	26368	5062	237

# DDMC3D4 Evera MRI

US Market ReleaseSep-15Total Malfunctions1CE Approval DateMar-14Therapy Function Not Compromised1Registered USA Implants2,985Electrical Component1Estimated Active USA Implants2,863Therapy Function Compromised0Normal Battery Depletions

TOO% | 80% - 60% - 40% - 20% - 0% - 20% - 0% - 2 3 k 5 6 1 8 9 NO

Years After Implant

Excluding Normal Battery Depletion
 Including Normal Battery Depletion

Years	1	2	3	4	5	at 64 mo
Excluding NBD	1	1	1	0.999	0.999	0.999
Including NBD	0.999	0.999	0.998	0.996	0.995	0.995
Effective Sample Size	116369	81208	51191	26368	5062	237

# DVAB1D1 Visia AF

US Market Release Jan-16 Total Malfunctions
CE Approval Date Therapy Function Not Compromised
Registered USA Implants 2,555
Estimated Active USA Implants 2,445 Therapy Function Compromised

Normal Battery Depletions 3

VISIA, AF, Survival Curve 100% Cumulative Survival P... 80% 60% 40% 20% 0% 5 Ó 3 6 9 8 10 Years After Implant

Years	1	2	at 28 mo
Excluding NBD	1	1	1
Including NBD	1	0.999	0.999
Effective Sample Size	18668	3212	214

# DVAB1D4 Visia AF

US Market Release CE Approval Date Jan-16 Total Malfunctions

Therapy Function Not Compromised

Registered USA Implants

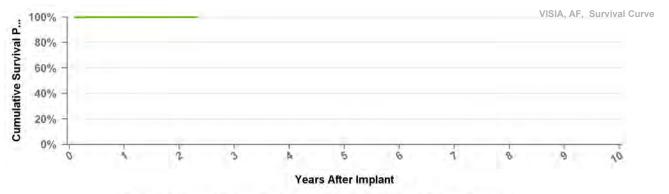
1,728

Estimated Active USA Implants

1,663

**Therapy Function Compromised** 

**Normal Battery Depletions** 



Excluding Normal Battery Depletion
 Including Normal Battery Depletion

**Total Malfunctions** 

Years	1	2	at 28 mo
Excluding NBD	1	1	1
Including NBD	1	0.999	0.999
Effective Sample Size	18668	3212	214

#### DVAB2D1

# Visia AF XT

US Market Release CE Approval Date

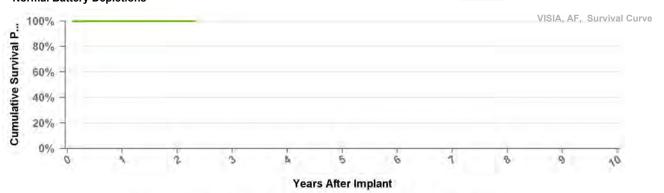
Oct-15

**Therapy Function Not Compromised** 

Registered USA Implants

Therapy Function Compromised

Estimated Active USA Implants Normal Battery Depletions



Years	1	2	at 28 mo
Excluding NBD	1	1	1
Including NBD	1	0.999	0.999
Effective Sample Size	18668	3212	214

# DVAC3D1 Visia AF S

US Market Release CE Approval Date Jan-16 Oct-15 **Total Malfunctions** 

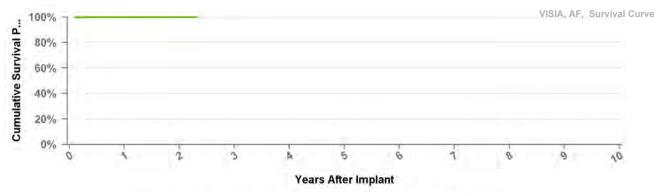
Registered USA Implants

**Therapy Function Not Compromised** 

Estimated Active USA Implants

**Therapy Function Compromised** 

**Normal Battery Depletions** 

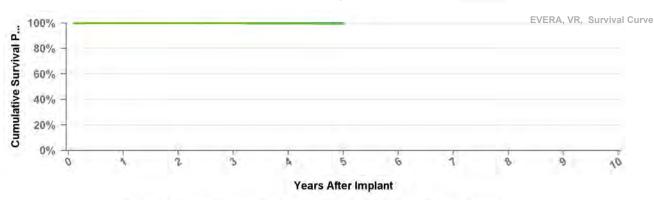


. Excluding Normal Battery Depletion . Including Normal Battery Depletion

Years	1	2	at 28 mo
Excluding NBD	1	1	1
Including NBD	1	0.999	0.999
Effective	18668	3212	214

# DVBB1D1 Evera XT

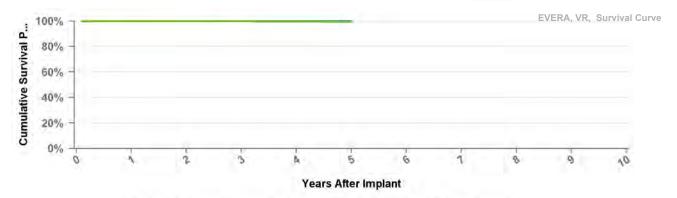
US Market Release	Apr-13	Total Malfunctions	8
CE Approval Date		Therapy Function Not Compromised	6
Registered USA Implants	16,082	Battery Malfunction	2
Estimated Active USA Implants	13,996	Electrical Component	4
Normal Battery Depletions	12	Therapy Function Compromised	2
		Electrical Component	2



Years	1	2	3	4	at 60 mo
Excluding NBD	1	1	0.999	0.999	0.999
Including NBD	1	0.999	0.998	0.997	0.994
Effective Sample Size	53427	48305	31703	13859	316

# DVBB1D4 Evera XT

US Market Release	Apr-13	Total Malfunctions	26
CE Approval Date		Therapy Function Not Compromised	20
Registered USA Implants	22,344	Battery Malfunction	10
Estimated Active USA Implants	19,923	Electrical Component	7
Normal Battery Depletions	16	Other Malfunction	3
		Therapy Function Compromised	6
		Battery Malfunction	6



Excluding Normal Battery Depletion
 Including Normal Battery Depletion

					at oo
Years	1	2	3	4	mo
Excluding NBD	1	1	0.999	0.999	0.999
Including NBD	1	0.999	0.998	0.997	0.994
Effective	53427	48305	31703	13859	316

#### DVBB2D1

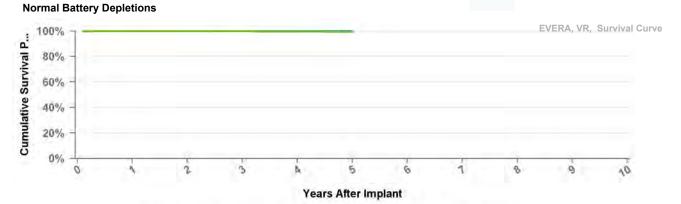
# Evera XT

Dec-12

US Market Release CE Approval Date Registered USA Implants Estimated Active USA Implants **Total Malfunctions** 

**Therapy Function Not Compromised** 

**Therapy Function Compromised** 



Years	1	2	3	4	mo
Excluding NBD	1	1	0.999	0.999	0.999
Including NBD	1	0.999	0.998	0.997	0.994
Effective Sample Size	53427	48305	31703	13859	316

#### DVBB2D4 Evera XT

**US Market Release** 

**CE Approval Date** 

Dec-12

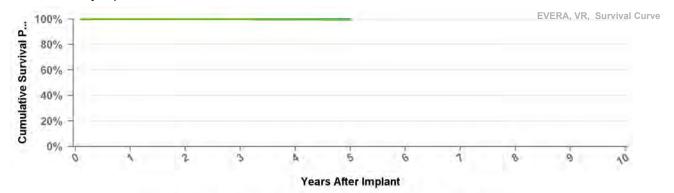
**Total Malfunctions Therapy Function Not Compromised** 

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Normal Battery Depletions** 

**Therapy Function Compromised** 



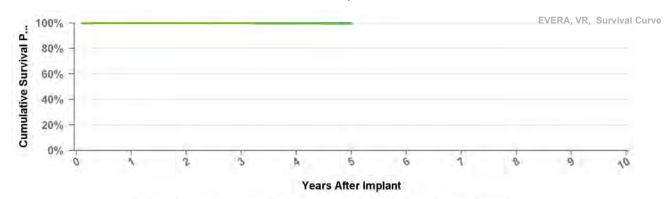
Excluding Normal Battery Depletion \* Including Normal Battery Depletion

Years	1	2	3	4	at 60 mo
Excluding NBD	1	1	0.999	0.999	0.999
Including NBD	1	0.999	0.998	0.997	0.994
Effective	53427	48305	31703	13859	316

#### DVBC3D1 Evera S

US Market Release	Apr-13
CE Approval Date	Dec-12
Registered USA Implants	4,514
Estimated Active USA Implants	3,985
Normal Battery Depletions	3

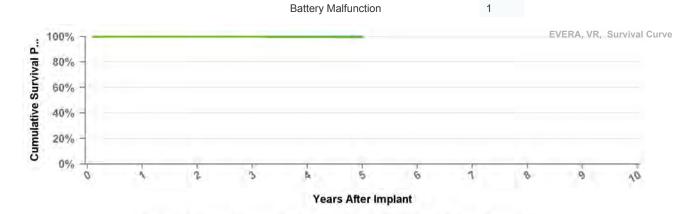
7 **Total Malfunctions Therapy Function Not Compromised** 5 **Battery Malfunction** 4 **Electrical Component** 1 **Therapy Function Compromised** 2 1 **Battery Malfunction Electrical Component** 1



Years	1	2	3	4	at 60 mo
Excluding NBD	1	1	0.999	0.999	0.999
Including NBD	1	0.999	0.998	0.997	0.994
Effective Sample Size	53427	48305	31703	13859	316

# DVBC3D4 Evera S

US Market Release	Apr-13	Total Malfunctions	5
CE Approval Date	Dec-12	Therapy Function Not Compromised	4
Registered USA Implants	5,526	Battery Malfunction	2
Estimated Active USA Implants	4,937	Electrical Component	2
Normal Battery Depletions	4	Therapy Function Compromised	1
		Battery Malfunction	1



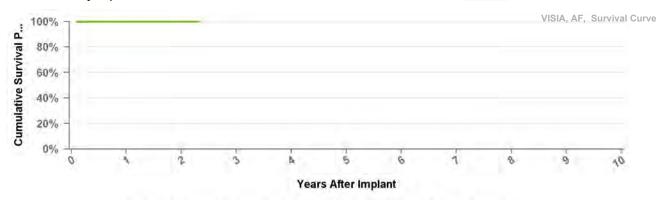
Excluding Normal Battery Depletion
 Including Normal Battery Depletion

Years	1	2	3	4	at 60 mo
Excluding NBD	1	1	0.999	0.999	0.999
Including NBD	1	0.999	0.998	0.997	0.994
Effective	53427	48305	31703	13859	316

# DVFB1D1

## Visia MRI AF

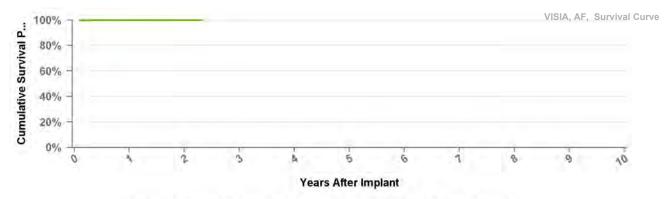
US Market Release	Oct-16	Total Malfunctions	1
CE Approval Date		Therapy Function Not Compromised	1
Registered USA Implants	5,545	Battery Malfunction	1
Estimated Active USA Implants	5,432	Therapy Function Compromised	0
Normal Battery Depletions	1		



Years	1	2	at 28 mo
Excluding NBD	1	1	1
Including NBD	1	0.999	0.999
Effective Sample Size	18668	3212	214

# DVFB1D4 Visia MRI AF

US Market Release	Jan-16	Total Malfunctions	3
CE Approval Date		Therapy Function Not Compromised	3
Registered USA Implants	23,722	Electrical Component	2
Estimated Active USA Implants	22,997	Other Malfunction	1
Normal Battery Depletions	1	Therapy Function Compromised	0



Excluding Normal Battery Depletion
 Including Normal Battery Depletion

**Total Malfunctions** 

Years	1	2	at 28 mo
Excluding NBD	1	1	1
Including NBD	1	0.999	0.999
Effective Sample Size	18668	3212	214

# DVFB2D1 Visia MRI AF XT

US Market Release

CE Approval Date

Registered USA Implants

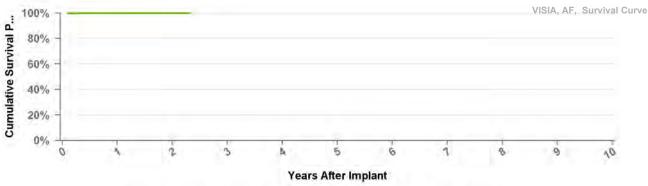
**Estimated Active USA Implants** 

Sep-16

**Therapy Function Not Compromised** 

**Therapy Function Compromised** 

Normal Battery Depletions



Years	1	2	at 28 mo
Excluding NBD	1	1	1
Including NBD	1	0.999	0.999
Effective Sample Size	18668	3212	214

# DVFB2D4 Visia MRI AF XT

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

Oct-15

**Therapy Function Not Compromised** 

Registered USA Implants

1

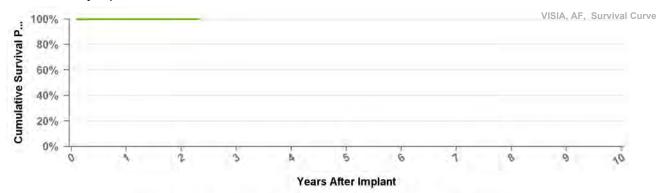
1

.,

**Normal Battery Depletions** 

**Estimated Active USA Implants** 

Therapy Function Compromised



. Excluding Normal Battery Depletion . Including Normal Battery Depletion

Years	1	2	at 28 mo
Excluding NBD	1	1	1
Including NBD	1	0.999	0.999
Effective	18668	3212	214

## DVFC3D1

# Visia MRI AF S

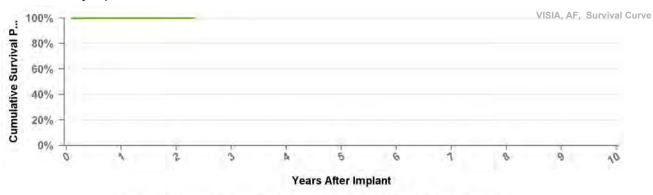
US Market Release Oct-16 Total Malfunctions

CE Approval Date Sep-16 Therapy Function Not Compromised

Registered USA Implants 366

Estimated Active USA Implants 361 Therapy Function Compromised

**Normal Battery Depletions** 



Years	1	2	at 28 mo
Excluding NBD	1	1	1
Including NBD	1	0.999	0.999
Effective Sample Size	18668	3212	214

# DVFC3D4 Visia MRI AF S

US Market Release

Jan-16 Total Malfunctions

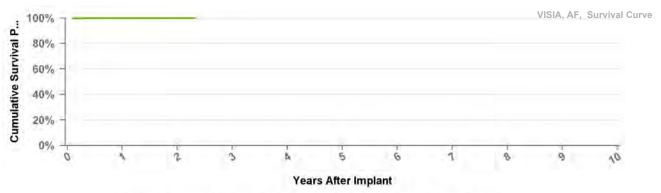
CE Approval Date Oct-15
Registered USA Implants 325

**Therapy Function Not Compromised** 

Registered USA Implants
Estimated Active USA Implants

320 Therapy Function Compromised

**Normal Battery Depletions** 



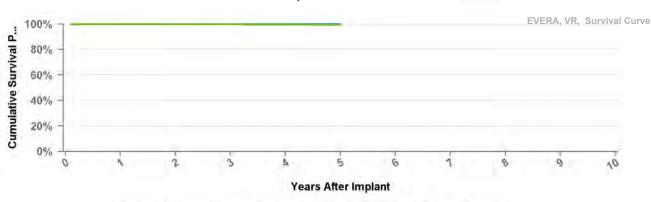
. Excluding Normal Battery Depletion . Including Normal Battery Depletion

Years	1	2	at 28 mo
Excluding NBD	1	1	1
Including NBD	1	0.999	0.999
Effective Sample Size	18668	3212	214

# DVMB1D4 Evera MRI XT

US Market Release Sep-15 Total Malfunct
CE Approval Date Therapy Funct
Registered USA Implants 10,580 Electrical Col
Estimated Active USA Implants 9,900 Other Malfun
Normal Battery Depletions 3 Therapy Funct

Total Malfunctions4Therapy Function Not Compromised3Electrical Component2Other Malfunction1Therapy Function Compromised1Battery Malfunction1



Years	1	2	3	4	at 60 mo
Excluding NBD	1	1	0.999	0.999	0.999
Including NBD	1	0.999	0.998	0.997	0.994
Effective Sample Size	53427	48305	31703	13859	316

## DVMB2D4

# **Evera MRI XT**

**US Market Release** 

**CE Approval Date** 

Mar-14

3

**Therapy Function Not Compromised** 

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Normal Battery Depletions** 

**Therapy Function Compromised** 

**Total Malfunctions** 

Tonal alian Sarvival Curve 80% - 60% - 40% - 20%

5 Years After Implant

Excluding Normal Battery Depletion
 Including Normal Battery Depletion

Years	1	2	3	4	at 60 mo
Excluding NBD	1	1	0.999	0.999	0.999
Including NBD	1	0.999	0.998	0.997	0.994
Effective Sample Size	53427	48305	31703	13859	316

## DVMC3D1

0%

0

# **Evera MRIS**

US Market Release Oct-16

CE Approval Date Sep-16

Registered USA Implants

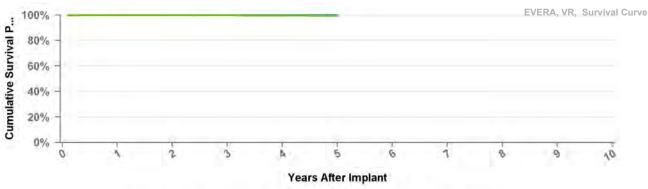
**Estimated Active USA Implants** 

ep-16 Therapy Function Not Compromised

**Total Malfunctions** 

Therapy Function Compromised

**Normal Battery Depletions** 



Years	1	2	3	4	at 60 mo
Excluding NBD	1	1	0.999	0.999	0.999
Including NBD	1	0.999	0.998	0.997	0.994
Effective Sample Size	53427	48305	31703	13859	316

# DVMC3D4 Evera MRI S

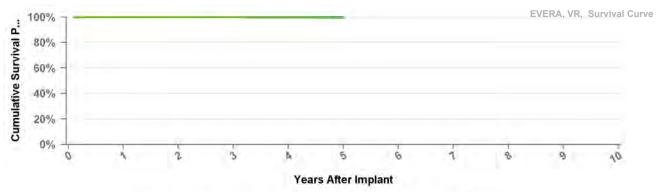
US Market Release Sep-15 Total Malfunctions

CE Approval Date Mar-14 Therapy Function Not Compromised

Registered USA Implants 1

Estimated Active USA Implants 1 Therapy Function Compromised

**Normal Battery Depletions** 



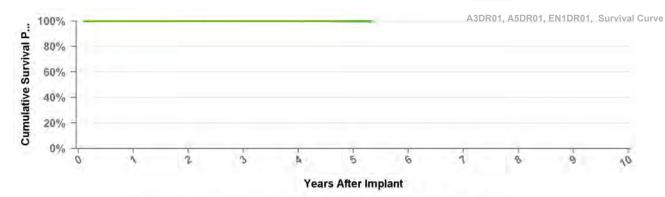
Years	1	2	3	4	at 60 mo
Excluding NBD	1	1	0.999	0.999	0.999
Including NBD	1	0.999	0.998	0.997	0.994
Effective Sample Size	53427	48305	31703	13859	316

# Advisa DR MRI A2DR01

**US Market Release** Jan-13 **CE Approval Date Registered USA Implants** 338,911 **Estimated Active USA Implants** 319,525 **Normal Battery Depletions** 121

**Total Malfunctions** 41 **Therapy Function Not Compromised** 37 **Battery Malfunction** 1 **Electrical Component** 23 **Electrical Interconnect** 2 Other Malfunction 1 Poss Early Battery Depltn 8 Software Malfunction 2 **Therapy Function Compromised** 4

4



**Electrical Component** 

Excluding Normal Battery Depletion
 Including Normal Battery Depletion

Years	1	2	3	4	5	at 64 mo
Excluding NBD	1	1	1	1	1	1
Including NBD	1	1	0.999	0.999	0.997	0.99
Effective Sample Size	287456	194101	111968	44887	9071	969

#### A3DR01 Advisa DR MRI

**US Market Release CE Approval Date** Jun-09 **Registered USA Implants** 

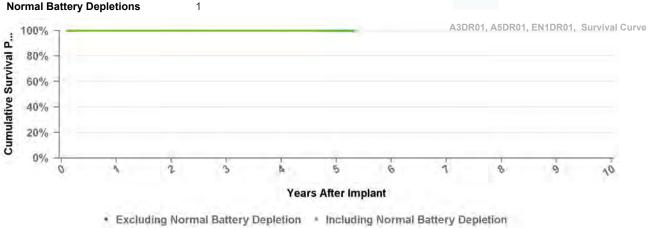
12 **Estimated Active USA Implants** 7

**Normal Battery Depletions** 

**Total Malfunctions** 

**Therapy Function Not Compromised** 

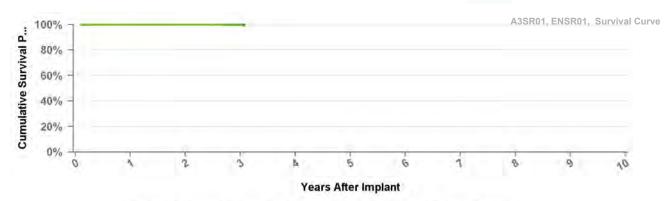
**Therapy Function Compromised** 





# A3SR01 Advisa SR MRI

US Market Release	Mar-15	Total Malfunctions	6
CE Approval Date	Apr-14	Therapy Function Not Compromised	6
Registered USA Implants	27,474	Electrical Component	2
Estimated Active USA Implants	25,708	Other Malfunction	2
Normal Battery Depletions	11	Poss Early Battery Depltn	2
		Therapy Function Compromised	0

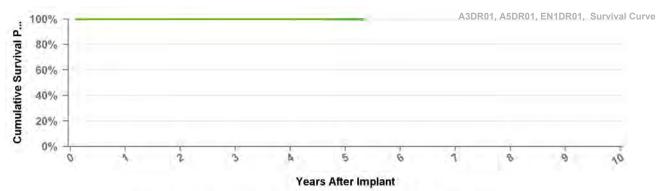


Excluding Normal Battery Depletion
 Including Normal Battery Depletion

Years	1	2	3	at 37 mo
Excluding NBD	1	1	1	1
Including NBD	1	0.999	0.996	0.989
Effective Sample Size	20191	9382	805	287

# A4DR01 Advisa DR

US Market Release	Apr-11	Total Malfunctions	1
CE Approval Date		Therapy Function Not Compromised	1
Registered USA Implants	1,536	Poss Early Battery Depltn	1
Estimated Active USA Implants	1,263	Therapy Function Compromised	0
Normal Battery Depletions	3		



Years	1	2	3	4	5	mo
Excluding NBD	1	1	1	1	1	1
Including NBD	1	1	0.999	0.999	0.997	0.99
Effective Sample Size	287456	194101	111968	44887	9071	969

## A5DR01

# Advisa DR

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

Jun-09

**Therapy Function Not Compromised** 

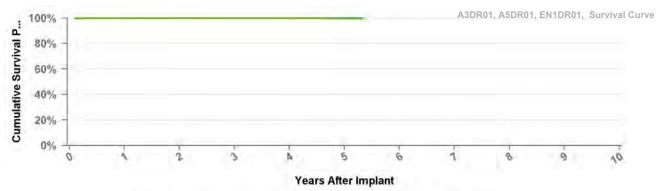
Registered USA Implants

1

Estimated Active USA Implants

1 Therapy Function Compromised

**Normal Battery Depletions** 



Excluding Normal Battery Depletion
 Including Normal Battery Depletion

Years	1	2	3	4	5	at 64 mo
Excluding NBD	1	1	1	1	1	1
Including NBD	1	1	0.999	0.999	0.997	0.99
Effective Sample Size	287456	194101	111968	44887	9071	969

#### ADD01

# Adapta D

**US Market Release** 

Jul-06

**Total Malfunctions** 

**CE Approval Date** 

Sep-05

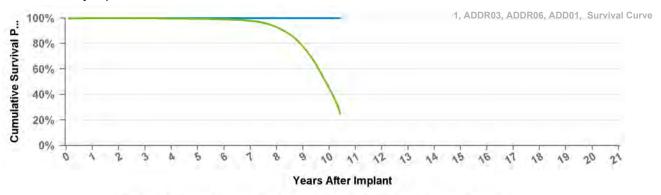
**Therapy Function Not Compromised** 

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 



Years	1	10	2	3	4	5	6	7	8	9	at 125 mo
Excluding NBD	1	1	1	1	1	1	1	1	1	1	1
Including NBD	0.999	0.999	0.998	0.997	0.994	0.99	0.978	0.928	0.779	0.45	0.249
Effective Sample Size	409196	377248	342433	306796	263469	218124	171117	120244	61192	11396	1479

#### ADDR01 Adapta DR **US Market Release** Jul-06 87 **Total Malfunctions** Sep-05 **CE Approval Date Therapy Function Not Compromised** 61 **Registered USA Implants** 458,635 **Electrical Component** 53 **Estimated Active USA Implants** 291,259 Electrical Interconnect 1 **Normal Battery Depletions** 24,071 Other Malfunction 1 Poss Early Battery Depltn 6 **Therapy Function Compromised** 26 **Electrical Component** 21 **Electrical Interconnect** 3 Other Malfunction 2 1, ADDR03, ADDR06, ADD01, Survival Curve 100% Cumulative Survival P... 80% 60% 40% 20% 0% 0 Years After Implant . Excluding Normal Battery Depletion Including Normal Battery Depletion at 125 10 2 3 6 8 9 Years 1 4 5 mo **Excluding NBD Including NBD** 0.999 0.999 0.998 0.997 0.994 0.99 0.978 0.928 0.779 0.45 0.249 Effective 409196 377248 342433 306796 263469 218124 171117 120244 61192 11396 1479 Sample Size ADDR03 Adapta DR **US Market Release** Jul-06 **Total Malfunctions** 2

00% ¬-	_		_		_					_				1, A	DDR0	3, ADI	DR06,	ADD0	1, Sui	rviva
80% -									1											
60% -										1										
40% -										1										
20% -											1									
0%	Ţ	2	2	A	5	G	1	0	9	. 0		.0	. 2	 . 6	.6	- 1	. 0	.0	-0	-4

. Excluding Normal Battery Depletion . Including Normal Battery Depletion

**Electrical Component** 

**Therapy Function Not Compromised** 

**Therapy Function Compromised** 

1

1

1

Sep-05

4,431

2,543

**CE Approval Date** 

**Registered USA Implants** 

**Estimated Active USA Implants** 

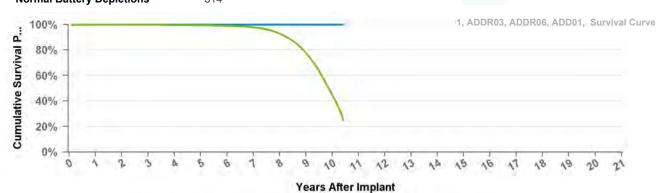
# ADDR06 Adapta DR

US Market Release	Jul-06
CE Approval Date	Sep-05
Registered USA Implants	3,401
Estimated Active USA Implants	1,600
Normal Battery Depletions	314

Total Malfunctions 1
Therapy Function Not Compromised 1
Electrical Component 1

0

Therapy Function Compromised



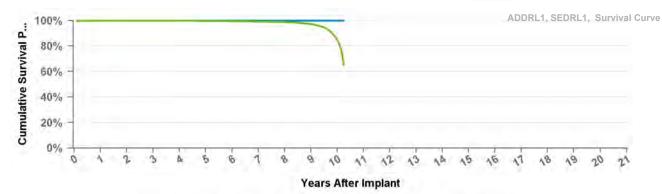
#### Excluding Normal Battery Depletion Including Normal Battery Depletion

Years	1	10	2	3	4	5	6	7	8	9	at 125 mo
Excluding NBD	1	1	1	1	1	1	1	1	1	1	1
Including NBD	0.999	0.999	0.998	0.997	0.994	0.99	0.978	0.928	0.779	0.45	0.249
Effective	409196	377248	342433	306796	263469	218124	171117	120244	61192	11396	1479

# ADDRL1 Adapta L DR

US Market Release	Jul-06
CE Approval Date	Sep-05
Registered USA Implants	137,616
Estimated Active USA Implants	110,312
Normal Battery Depletions	1,163

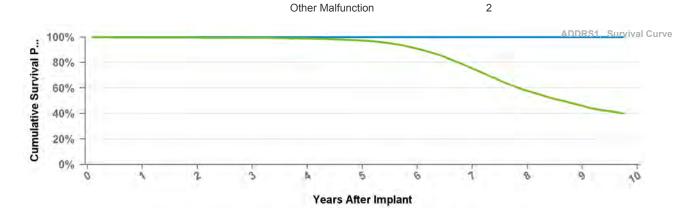
**Total Malfunctions** 16 12 **Therapy Function Not Compromised Electrical Component** 10 **Electrical Interconnect** Poss Early Battery Depltn **Therapy Function Compromised** 4 **Electrical Component** 1 **Electrical Interconnect** 1 Other Malfunction 2



Years	1	10	2	3	4	5	6	7	8	9	at 123 mo
Excluding NBD	1	1	1	1	1	1	1	1	1	1	1
Including NBD	1	0.999	0.999	0.998	0.997	0.995	0.992	0.987	0.973	0.85	0.652
Effective	122549	111373	97653	82459	64737	47531	31928	19271	8980	1836	467

# ADDRS1 Adapta S DR

US Market Release	Jul-06	Total Malfunctions	11
CE Approval Date	Sep-05	Therapy Function Not Compromised	7
Registered USA Implants	48,345	Electrical Component	5
<b>Estimated Active USA Implants</b>	26,966	Poss Early Battery Depltn	2
Normal Battery Depletions	3,913	Therapy Function Compromised	4
		Electrical Component	2
		Other Malfunction	2

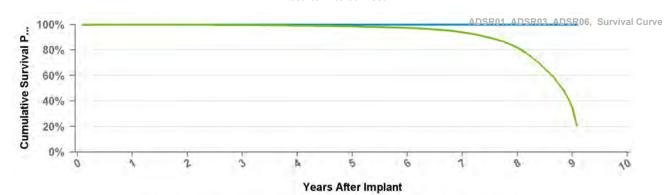


Excluding Normal Battery Depletion
 Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	mo
Excluding NBD	1	1	1	1	1	1	1	1	1	1
Including NBD	0.997	0.996	0.994	0.988	0.972	0.908	0.753	0.577	0.46	0.399
Effective Sample Size	40929	36702	32500	28219	23090	17311	10489	4935	1533	124

# ADSR01 Adapta SR

US Market Release	Jul-06	Total Malfunctions	17
CE Approval Date	Sep-05	Therapy Function Not Compromised	11
Registered USA Implants	92,310	Electrical Component	7
<b>Estimated Active USA Implants</b>	52,210	Electrical Interconnect	1
Normal Battery Depletions	3,291	Poss Early Battery Depltn	3
		Therapy Function Compromised	6
		Electrical Component	5
		Electrical Interconnect	1



Years	1	2	3	4	5	6	7	8	9	at 109 mo
Excluding NBD	1	1	1	1	1	1	1	1	1	1
Including NBD	0.999	0.998	0.996	0.992	0.986	0.974	0.939	0.818	0.352	0.21
Effective	74699	65137	56221	45333	34960	25627	16980	8758	845	299

# ADSR03 Adapta SR

US Market Release Jul-06
CE Approval Date Sep-05

**Total Malfunctions** 

Registered USA Implants 2,040

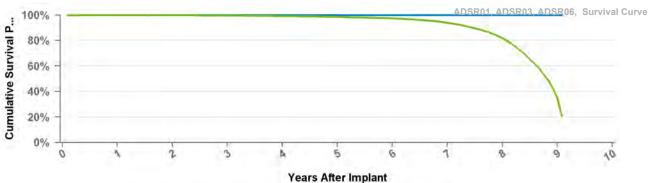
**Therapy Function Not Compromised** 

Estimated Active USA Implants

2,040 972 **Th** 

**Therapy Function Compromised** 

Normal Battery Depletions 116

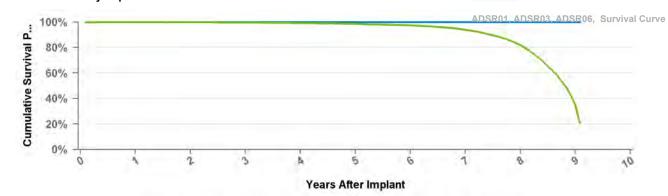


Excluding Normal Battery Depletion
 Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	at 109 mo
Excluding NBD	1	1	1	1	1	1	1	1	1	1
Including NBD	0.999	0.998	0.996	0.992	0.986	0.974	0.939	0.818	0.352	0.21
Effective Sample Size	74699	65137	56221	45333	34960	25627	16980	8758	845	299

# ADSR06 Adapta SR

2 **US Market Release** Jul-06 **Total Malfunctions CE Approval Date Therapy Function Not Compromised** 2 Sep-05 2 **Registered USA Implants** 2,782 **Electrical Component Estimated Active USA Implants** 1,238 **Therapy Function Compromised** 0 **Normal Battery Depletions** 178



Years	1	2	3	4	5	6	7	8	9	at 109 mo
Excluding NBD	1	1	1	1	1	1	1	1	1	1
Including NBD	0.999	0.998	0.996	0.992	0.986	0.974	0.939	0.818	0.352	0.21
Effective Sample Size	74699	65137	56221	45333	34960	25627	16980	8758	845	299

# ADVDD01 Adapta VDD

US Market Release Jul-06

CE Approval Date Sep-05 The

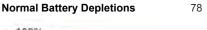
Registered USA Implants 1,395

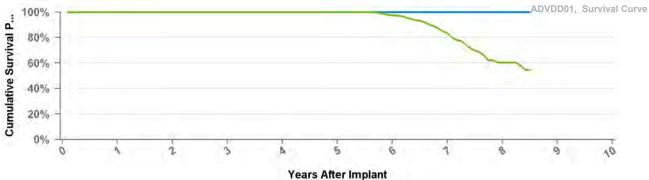
Estimated Active USA Implants

**Therapy Function Not Compromised** 

Therapy Function Compromised

**Total Malfunctions** 





. Excluding Normal Battery Depletion . Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	at 102 mo
Excluding NBD	1	1	1	1	1	1	1	1	1
Including NBD	1	1	1	1	1	0.975	0.834	0.604	0.546
Effective	1193	1084	965	855	731	571	385	171	101

722

# ATDR01 Attesta DR MRI

US Market Release Aug-17 Total Malfunctions

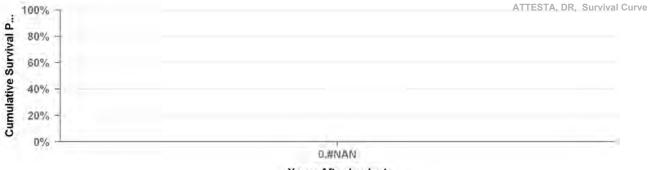
CE Approval Date Jun-17 Therapy Function Not Compromised

Registered USA Implants

**Normal Battery Depletions** 

Estimated Active USA Implants

**Therapy Function Compromised** 



Years After Implant

Years

Excluding NBD

Including NBD

Effective

Sample Size

## ATDRL1 Attesta L DR MRI Aug-17 **US Market Release Total Malfunctions** Jun-17 **Therapy Function Not Compromised CE Approval Date Registered USA Implants Therapy Function Compromised Estimated Active USA Implants Normal Battery Depletions** ATDRL1, SPDRL1, Survival Curve 100% Cumulative Survival P... 80% 60% 40% 20% 0% D.WNAN Years After Implant Years **Excluding NBD** Including NBD Effective Sample Size Attesta S DR MRI ATDRS1 **US Market Release** Aug-17 **Total Malfunctions CE Approval Date** Jun-17 **Therapy Function Not Compromised Registered USA Implants Therapy Function Compromised Estimated Active USA Implants Normal Battery Depletions** ATDRS1, Survival Curve 100% Cumulative Survival P... 80% 60% 40% 20% 0% NANW.D Years After Implant Years **Excluding NBD** Including NBD

Effective Sample Size

#### ATSR01 Attesta SR MRI **US Market Release** Aug-17 **Total Malfunctions** Jun-17 **Therapy Function Not Compromised CE Approval Date Registered USA Implants Therapy Function Compromised Estimated Active USA Implants Normal Battery Depletions** ATTESTA, SR, Survival Curve 100% Cumulative Survival P... 80% 60% 40% 20% 0% D.WNAN Years After Implant Years **Excluding NBD** Including NBD Effective Sample Size **Ensura MRI** EN1DR01 **US Market Release Total Malfunctions CE Approval Date Therapy Function Not Compromised** Jun-10 **Registered USA Implants** 13 **Therapy Function Compromised Estimated Active USA Implants** 11 **Normal Battery Depletions** A3DR01, A5DR01, EN1DR01, Survival Curve 100% Cumulative Survival P... 80% 60% 40% 20% 0%



6

Years After Implant

Excluding Normal Battery Depletion \* Including Normal Battery Depletion

9

# **EN1SR01** Ensura SR MRI

**US Market Release** 

CE Approval Date Apr-14

Registered USA Implants

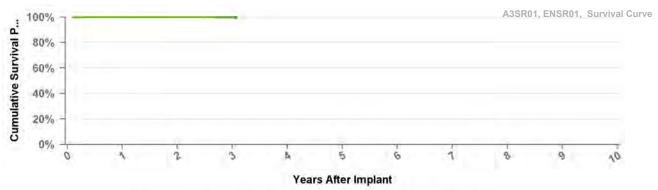
**Estimated Active USA Implants** 

**Normal Battery Depletions** 

**Total Malfunctions** 

**Therapy Function Not Compromised** 

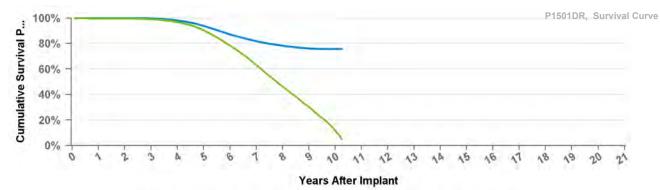
**Therapy Function Compromised** 



Years	1	2	3	at 37 mo
Excluding NBD	1	1	1	1
Including NBD	1	0.999	0.996	0.989
Effective Sample Size	20191	9382	805	287

# P1501DR EnRhythm DR

US Market Release	May-05	Total Malfunctions	15,053
CE Approval Date	Aug-04	Therapy Function Not Compromised	14,998
Registered USA Implants	110,094	Battery Malfunction	14,869
Estimated Active USA Implants	21,030	Electrical Component	58
Normal Battery Depletions	16,962	Electrical Interconnect	2
		Other Malfunction	1
		Poss Early Battery Depltn	68
		Therapy Function Compromised	55
		Battery Malfunction	6
		Electrical Component	38
		Electrical Interconnect	4
		Other Malfunction	5
		Poss Early Battery Depltn	2



Years	1	10	2	3	4	5	6	7	8	9	at 123 mo
Excluding NBD	0.999	0.756	0.999	0.997	0.98	0.937	0.871	0.818	0.783	0.761	0.756
Including NBD	0.997	0.996	0.991	0.967	0.903	0.783	0.629	0.461	0.3	0.118	0.05
Effective Sample Size	95567	89232	83191	76174	66160	52122	37777	22990	10629	2200	592

## RED01

## Relia D

**US Market Release** 

**CE Approval Date** 

Registered USA Implants

Estimated Active USA Implants

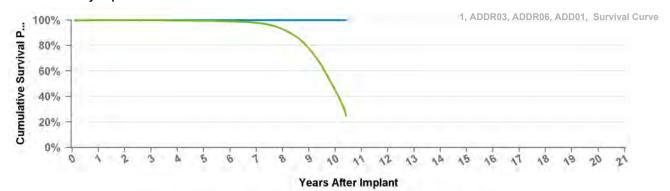
Normal Battery Depletions

**Total Malfunctions** 

May-08

Therapy Function Not Compromised

**Therapy Function Compromised** 



Excluding Normal Battery Depletion
 Including Normal Battery Depletion

Years	1	10	2	3	4	5	6	7	8	9	at 125 mo
Excluding NBD	1	1	1	1	1	1	1	1	1	1	1
Including NBD	0.999	0.999	0.998	0.997	0.994	0.99	0.978	0.928	0.779	0.45	0.249
Effective Sample Size	409196	377248	342433	306796	263469	218124	171117	120244	61192	11396	1479

## REDR01

#### Relia DR

**US Market Release** 

**CE Approval Date** 

**Registered USA Implants** 

**Estimated Active USA Implants** 

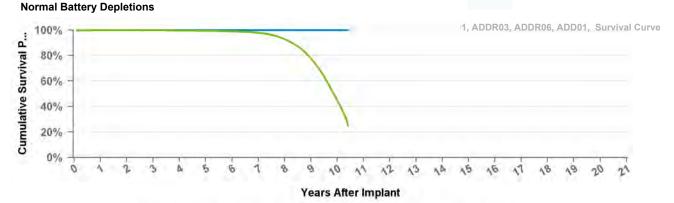
**Total Malfunctions** 

**Therapy Function Not Compromised** 

4 2

May-08

**Therapy Function Compromised** 



Years	1	10	2	3	4	5	6	7	8	9	at 125 mo
Excluding NBD	1	1	1	1	1	1	1	1	1	1	1
Including NBD	0.999	0.999	0.998	0.997	0.994	0.99	0.978	0.928	0.779	0.45	0.249
Effective	409196	377248	342433	306796	263469	218124	171117	120244	61192	11396	1479

#### RES01 Relia S

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

May-08

**Therapy Function Not Compromised** 

**Registered USA Implants** 

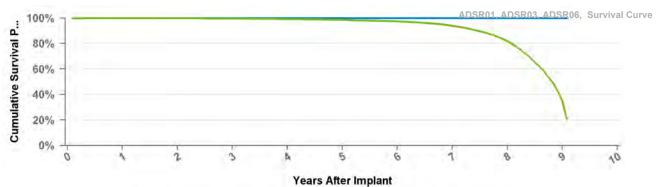
3

**Estimated Active USA Implants** 

2

**Therapy Function Compromised** 

**Normal Battery Depletions** 



Excluding Normal Battery Depletion
 Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	at 109 mo
Excluding NBD	1	1	1	1	1	1	1	1	1	1
Including NBD	0.999	0.998	0.996	0.992	0.986	0.974	0.939	0.818	0.352	0.21
Effective Sample Size	74699	65137	56221	45333	34960	25627	16980	8758	845	299

## RESR01

#### Relia SR

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

**Therapy Function Not Compromised** 

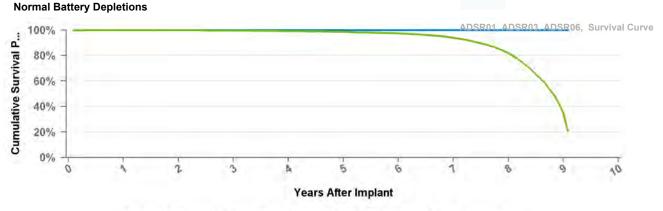
**Registered USA Implants** 

May-08

3

**Estimated Active USA Implants** 

**Therapy Function Compromised** 



Years	1	2	3	4	5	6	7	8	9	at 109 mo
Excluding NBD	1	1	1	1	1	1	1	1	1	1
Including NBD	0.999	0.998	0.996	0.992	0.986	0.974	0.939	0.818	0.352	0.21
Effective Sample Size	74699	65137	56221	45333	34960	25627	16980	8758	845	299

#### **REVDD01** Relia VDD

**US Market Release** 

**CE Approval Date** 

May-08

**Total Malfunctions** 

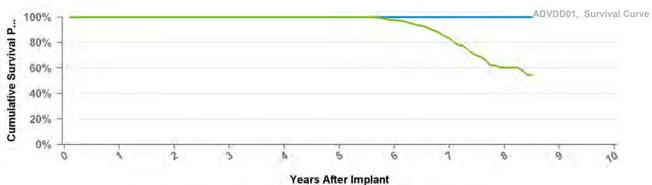
**Therapy Function Not Compromised** 

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Normal Battery Depletions** 

**Therapy Function Compromised** 



Excluding Normal Battery Depletion \* Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	at 102 mo
Excluding NBD	1	1	1	1	1	1	1	1	1
Including NBD	1	1	1	1	1	0.975	0.834	0.604	0.546
Effective	1193	1084	965	855	731	571	385	171	101

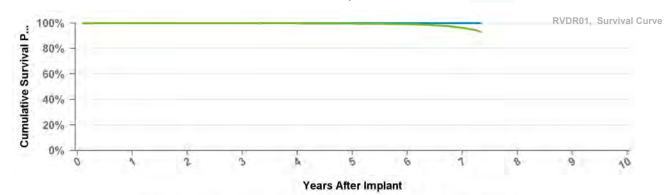
#### Revo MRI SureScan RVDR01

**US Market Release** Feb-11 **Total Malfunctions CE Approval Date Therapy Function Not Compromised Registered USA Implants** 69,041 **Estimated Active USA Implants** 57,259 **Normal Battery Depletions** 449 Other Malfunction

**Battery Malfunction** 1 **Electrical Component** 36 1 38 Poss Early Battery Depltn Software Malfunction 3 **Therapy Function Compromised** 3 **Electrical Component** 3

82

79



Years	1	2	3	4	5	6	7	at 88 mo
Excluding NBD	1	1	1	0.999	0.999	0.998	0.998	0.998
Including NBD	1	0.999	0.999	0.998	0.996	0.991	0.962	0.928
Effective Sample Size	61121	57712	54539	51049	46613	30764	7715	969

#### **SD303** Sigma 300 D **US Market Release** 2 Aug-99 **Total Malfunctions** 0 **CE Approval Date** Dec-98 **Therapy Function Not Compromised** 123 **Registered USA Implants Therapy Function Compromised** 2 **Estimated Active USA Implants** 21 2 Electrical Interconnect **Normal Battery Depletions** 8 SDR303, SDR306, SD303, Survival Curve 100% Cumulative Survival P... 80% 60% 40% 20% 0 Years After Implant **Excluding Normal Battery Depletion** Including Normal Battery Depletion at 180 9 Years 10 11 12 13 14 2 3 4 5 6 7 8 mo **Excluding NBD** 0.994 0.994 0.993 0.993 0.992 0.999 0.999 0.998 0.997 0.996 0.995 0.992 0.997 0.996 0.994 0.991 0.987 0.979 0.968 0.941 0.897 0.823 0.403 0.284 0.163 Including NBD Effective 88290 78248 69204 60881 53408 46779 40580 35102 30216 25237 19468 12189 6387 2646 270 Sample Size Sigma 300 DR **SDR303 US Market Release** 286 Aug-99 **Total Malfunctions CE Approval Date** Dec-98 **Therapy Function Not Compromised** 60 105,517 9 **Registered USA Implants Electrical Component Estimated Active USA Implants** 12,462 **Electrical Interconnect** 49 **Normal Battery Depletions** 10,642 Other Malfunction 1 Poss Early Battery Depltn 1 **Therapy Function Compromised** 226 **Electrical Component** 7 **Electrical Interconnect** 218 Other Malfunction 1 SDR303, SDR306, SD303, Survival Curve 100% Cumulative Survival P... 80% 60% 40% 20% 0% Years After Implant **Excluding Normal Battery Depletion** Including Normal Battery Depletion

at 180 Years 10 11 12 13 14 3 4 5 6 8 9 mo 0.994 0.992 **Excluding NBD** 0.994 0.993 0.993 0.992 0.999 0.999 0.998 0.997 0.996 0.995 Including NBD 0.997 0.996 0.994 0.991 0.987 0.979 0.968 0.941 0.897 0.823 0.712 0.403 0.284 0.163 Effective 88290 78248 69204 60881 53408 46779 40580 35102 30216 25237 19468 12189 6387 2646 270

Sample Size

#### Sigma 300 DR **SDR306 US Market Release**

Aug-99 **Total Malfunctions Therapy Function Not Compromised CE Approval Date** Dec-98

**Registered USA Implants** 1,209 **Estimated Active USA Implants** 81

**Therapy Function Compromised Electrical Interconnect** 169

5

0

5

5

**Normal Battery Depletions** SDR303, SDR306, SD303, Survival Curve 100% Cumulative Survival P... 80% 60% 40% 20%

#### Years After Implant

Excluding Normal Battery Depletion
 Including Normal Battery Depletion

Years	1	10	11	12	13	14	2	3	4	5	6	7	8	9	at 180 mo
Excluding NBD	1	0.994	0.994	0.993	0.993	0.992	1	1	0.999	0.999	0.998	0.997	0.996	0.995	0.992
Including NBD	0.997	0.996	0.994	0.991	0.987	0.979	0.968	0.941	0.897	0.823	0.712	0.555	0.403	0.284	0.163
Effective Sample Size	88290	78248	69204	60881	53408	46779	40580	35102	30216	25237	19468	12189	6387	2646	270

#### SED01 Sensia D

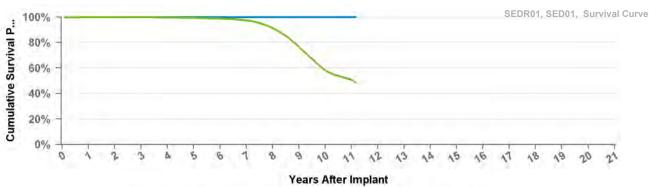
**US Market Release** Jul-06 **Total Malfunctions** 

**CE Approval Date** Sep-05 **Therapy Function Not Compromised** 

**Registered USA Implants** 7

**Therapy Function Compromised Estimated Active USA Implants** 3

**Normal Battery Depletions** 1

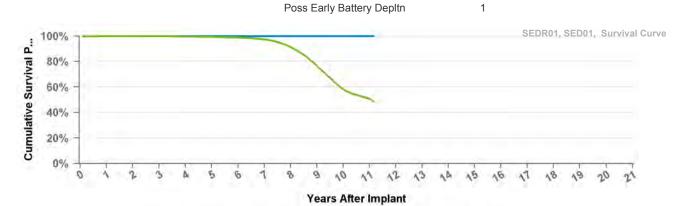


Years	1	10	11	2	3	4	5	6	7	8	9	at 134 mo
Excluding NBD	1	1	1	1	1	1	1	1	1	1	1	1
Including NBD	0.999	0.999	0.998	0.996	0.993	0.988	0.973	0.913	0.766	0.582	0.507	0.483
Effective Sample Size	127405	118017	106836	94255	80739	67021	52754	36891	19523	5996	701	121

## SEDR01 Sensia DR

US Market Release	Jul-06
CE Approval Date	Sep-05
Registered USA Implants	149,327
Estimated Active USA Implants	79,836
Normal Battery Depletions	8,042

**Total Malfunctions** 32 **Therapy Function Not Compromised** 17 **Electrical Component** 15 **Electrical Interconnect** 1 Other Malfunction **Therapy Function Compromised** 15 **Electrical Component** 6 **Electrical Interconnect** 3 Other Malfunction 5



#### . Excluding Normal Battery Depletion . Including Normal Battery Depletion

Years	1	10	11	2	3	4	5	6	7	8	9	at 134 mo
Excluding NBD	1	1	1	1	1	1	1	1	1	1	1	1
Including NBD	0.999	0.999	0.998	0.996	0.993	0.988	0.973	0.913	0.766	0.582	0.507	0.483
Effective Sample Size	127405	118017	106836	94255	80739	67021	52754	36891	19523	5996	701	121

## SEDRL1 Sensia L DR

US Market Release Jul-06
CE Approval Date Sep-09

Total Malfunctions

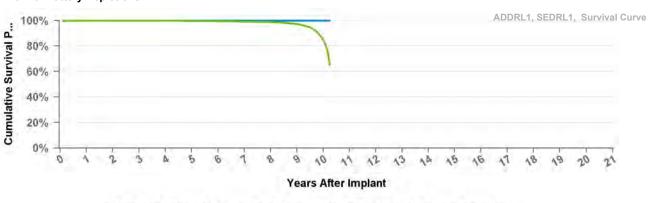
Sep-05 Therapy Function Not Compromised

Registered USA Implants 2

Estimated Active USA Implants

Therapy Function Compromised

**Normal Battery Depletions** 



Years	1	10	2	3	4	5	6	7	8	9	at 123 mo
Excluding NBD	1	1	1	1	1	1	1	1	1	1	1
Including NBD	1	0.999	0.999	0.998	0.997	0.995	0.992	0.987	0.973	0.85	0.652
Effective Sample Size	122549	111373	97653	82459	64737	47531	31928	19271	8980	1836	467

#### **SES01** Sensia S **US Market Release** Jul-06 **Total Malfunctions** Sep-05 **Therapy Function Not Compromised CE Approval Date Registered USA Implants** 7 **Therapy Function Compromised Estimated Active USA Implants** 2 **Normal Battery Depletions** SESR01 SES01 Survival Curve 100% Cumulative Survival P... 80% 60% 40% 20% 0% 5 0 3 6 9 **Years After Implant Excluding Normal Battery Depletion** Including Normal Battery Depletion at 113 Years 6 9 mo **Excluding NBD** 0.999 0.998 0.997 0.993 0.989 0.98 0.617 0.355 Including NBD 0.957 0.877 **Effective** 88705 77789 67111 55194 43915 33260 23019 13151 3657 450 Sample Size SESR01 Sensia SR **US Market Release** Jul-06 **Total Malfunctions** 16 **CE Approval Date Therapy Function Not Compromised** Sep-05 13 **Registered USA Implants** 116,964 **Electrical Component** 8 **Estimated Active USA Implants** 61,927 Other Malfunction 1 **Normal Battery Depletions** 4,020 Poss Early Battery Depltn 4 **Therapy Function Compromised** 3 **Electrical Component** 2 **Electrical Interconnect** 1 SESR01 SES01 Survival Curve Cumulative Survival P... 80% 60% 40% 20% 10 5 0 2 3 6 7 8 9 Years After Implant · Excluding Normal Battery Depletion Including Normal Battery Depletion at 113 2 3 5 6 9 Years 8 mo **Excluding NBD** 0.998 0.997 0.993 0.989 0.98 0.957 0.877 0.617 0.355 Including NBD

67111

55194

43915

Effective

Sample Size

88705

77789

3657

450

33260

23019

13151

#### SPDR01 Sphera DR MRI **US Market Release** Aug-17 **Total Malfunctions** Jun-17 **Therapy Function Not Compromised CE Approval Date Registered USA Implants** 1 **Therapy Function Compromised Estimated Active USA Implants** 1 **Normal Battery Depletions** SPDR01, Survival Curve 100% Cumulative Survival P... 80% 60% 40% 20% 0% D.WNAN Years After Implant Years **Excluding NBD** Including NBD Effective Sample Size Sphera L DR MRI SPDRL1 **US Market Release** Aug-17 **Total Malfunctions CE Approval Date** Jun-17 **Therapy Function Not Compromised Registered USA Implants Therapy Function Compromised Estimated Active USA Implants Normal Battery Depletions** ATDRL1, SPDRL1, Survival Curve 100% Cumulative Survival P... 80% 60% 40% 20% 0% NANW.D Years After Implant Years **Excluding NBD**

Including NBD

Effective
Sample Size

#### SPSR01 Sphera SR MRI **US Market Release** Aug-17 **Total Malfunctions** Jun-17 **Therapy Function Not Compromised CE Approval Date Registered USA Implants Therapy Function Compromised Estimated Active USA Implants Normal Battery Depletions** SPHERA, SR, Survival Curve 100% Cumulative Survival P... 80% 60% 40% 20%

0,#NAN Years After Implant

Years
Excluding NBD

Including NBD

Effective
Sample Size

0%

# SS303 Sigma 300 S

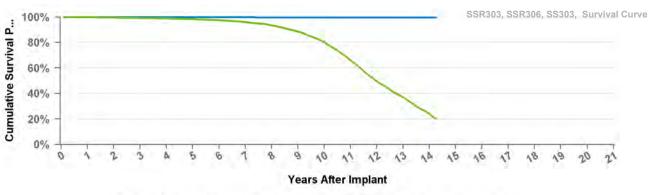
US Market Release Sep-99 Total Malfunctions

CE Approval Date Dec-98 Therapy Function Not Compromised

Registered USA Implants 249

Estimated Active USA Implants 48 Therapy Function Compromised

**Normal Battery Depletions** 



Years	1	10	11	12	13	14	2	3	4	5	6	7	8	9	mo
Excluding NBD	1	0.997	0.996	0.996	0.996	0.996	1	1	1	1	0.999	0.998	0.997	0.997	0.996
Including NBD	0.998	0.996	0.992	0.989	0.983	0.975	0.96	0.934	0.885	0.802	0.661	0.496	0.371	0.241	0.202
Effective Sample Size	41044	33916	28096	23363	19470	16196	13469	11204	9114	7024	4824	2759	1357	340	158

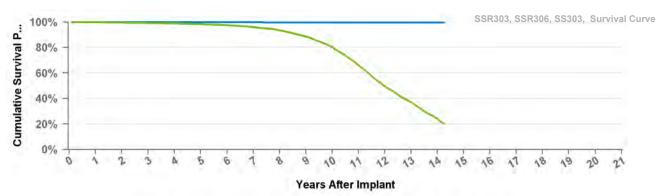
## SSR303 Sigma 300 SR

US Market Release	Aug-99
CE Approval Date	Dec-98
Registered USA Implants	51,673
Estimated Active USA Implants	4,591
Normal Battery Depletions	3,002

Total Malfunctions							
Therapy Function Not Compromised	11						
Electrical Interconnect	10						
Other Malfunction	1						

Therapy Function Compromised 47
Electrical Component 3

Electrical Interconnect 44

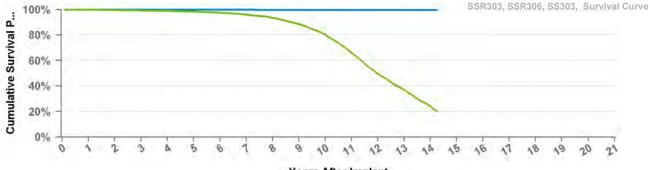


Excluding Normal Battery Depletion
 Including Normal Battery Depletion

Years	1	10	11	12	13	14	2	3	4	5	6	7	8	9	at 171 mo
Excluding NBD	1	0.997	0.996	0.996	0.996	0.996	1	1	1	1	0.999	0.998	0.997	0.997	0.996
Including NBD	0.998	0.996	0.992	0.989	0.983	0.975	0.96	0.934	0.885	0.802	0.661	0.496	0.371	0.241	0.202
Effective Sample Size	41044	33916	28096	23363	19470	16196	13469	11204	9114	7024	4824	2759	1357	340	158

## SSR306 Sigma 300 SR

US Market Release	Sep-99	Total Malfunctions	2
CE Approval Date	Dec-98	Therapy Function Not Compromised	1
Registered USA Implants	2,216	Electrical Component	1
Estimated Active USA Implants	154	Therapy Function Compromised	1
Normal Battery Depletions	160	Electrical Interconnect	1



#### Years After Implant

Years	1	10	11	12	13	14	2	3	4	5	6	7	8	9	at 171 mo
Excluding NBD	1	0.997	0.996	0.996	0.996	0.996	1	1	1	1	0.999	0.998	0.997	0.997	0.996
Including NBD	0.998	0.996	0.992	0.989	0.983	0.975	0.96	0.934	0.885	0.802	0.661	0.496	0.371	0.241	0.202
Effective Sample Size	41044	33916	28096	23363	19470	16196	13469	11204	9114	7024	4824	2759	1357	340	158

# SVDD303 Sigma 300 VDD

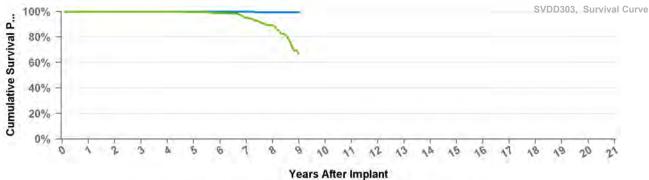
US Market Release	Sep-99
CE Approval Date	Dec-98
Registered USA Implants	653
Estimated Active USA Implants	42

**Normal Battery Depletions** 

Total Malfunctions 1
Therapy Function Not Compromised 0

Therapy Function Compromised
Electrical Interconnect





Excluding Normal Battery Depletion
 Including Normal Battery Depletion

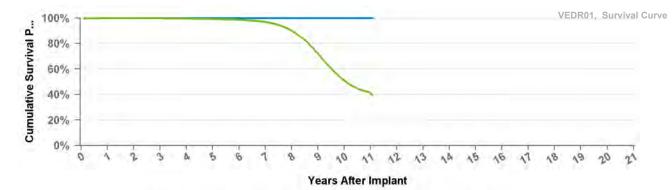
Years	1	2	3	4	5	6	7	8	at 108 mo
Excluding NBD	1	1	1	1	1	1	1	0.995	0.995
Including NBD	1	1	1	1	0.997	0.987	0.952	0.892	0.666
Effective Sample Size	531	461	413	365	317	265	211	166	105

82

## VEDR01 Versa DR

US Market Release	Jul-06
CE Approval Date	Sep-05
Registered USA Implants	118,620
Estimated Active USA Implants	64,804
Normal Battery Depletions	8,093

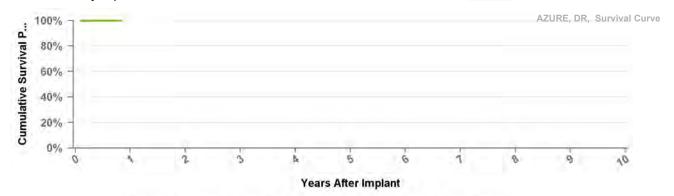
**Total Malfunctions** 18 **Therapy Function Not Compromised** 10 **Electrical Component** 7 **Electrical Interconnect** 2 1 Poss Early Battery Depltn **Therapy Function Compromised** 8 **Electrical Component** 4 Other Malfunction 4



Years	1	10	11	2	3	4	5	6	7	8	9	at 133 mo
Excluding NBD	1	1	1	1	1	1	1	1	1	1	1	1
Including NBD	0.999	0.998	0.998	0.996	0.993	0.986	0.969	0.9	0.721	0.51	0.412	0.394
Effective	102018	92296	83236	74581	65320	55644	44613	31852	16646	5041	464	160

## W1DR01 Azure XT DR

US Market Release	Aug-17	Total Malfunctions	2
CE Approval Date	Mar-17	Therapy Function Not Compromised	2
Registered USA Implants	48,472	Other Malfunction	2
Estimated Active USA Implants	47,909	Therapy Function Compromised	0
Normal Battery Depletions			



Excluding Normal Battery Depletion
 Including Normal Battery Depletion

	at 10
Years	mo
Excluding NBD	1
Including NBD	1
Effective Sample Size	642

## W1SR01 Azure XT SR

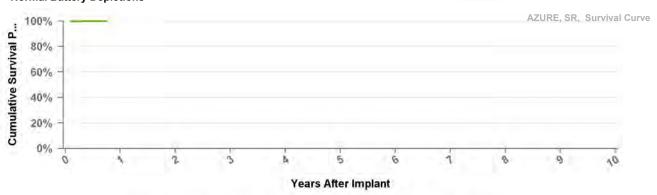
US Market Release Aug-17 Total Malfunctions

CE Approval Date Mar-17 Therapy Function Not Compromised

Registered USA Implants 4,098

Estimated Active USA Implants 4,020 Therapy Function Compromised

**Normal Battery Depletions** 



	at 9
Years	mo
Excluding NBD	1
Including NBD	1
Effective	222

## W2DR01 Azure XT DR

**US Market Release** 

**Total Malfunctions** 

Mar-17

**CE Approval Date** 

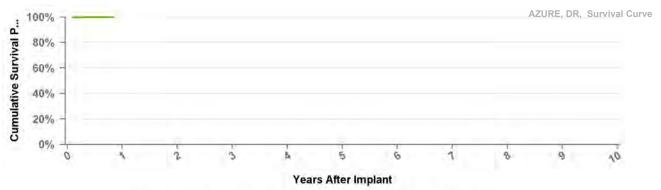
**Therapy Function Not Compromised** 

**Registered USA Implants** 

**Estimated Active USA Implants** 

Therapy Function Compromised

**Normal Battery Depletions** 



Excluding Normal Battery Depletion
 Including Normal Battery Depletion

	at 10
Years	mo
Excluding NBD	1
Including NBD	1
Effective Sample Size	642

#### W2SR01

#### Azure XT SR

Mar-17

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

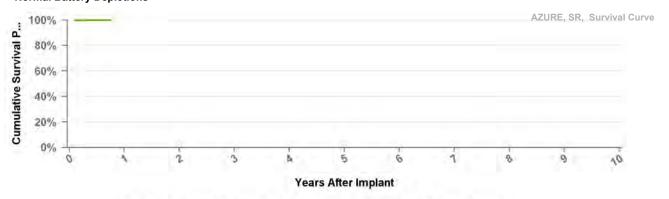
**Therapy Function Not Compromised** 

**Registered USA Implants** 

Estimated Active USA Implants

**Therapy Function Compromised** 

Normal Battery Depletions



Years	at 9 mo
Excluding NBD	1
Including NBD	1
Effective Sample Size	222

## W3DR01 Azure S DR

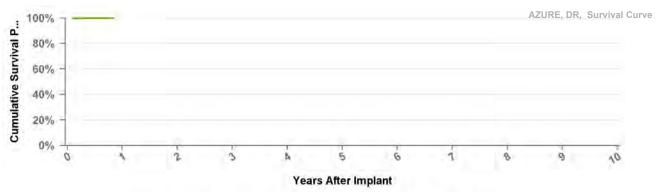
US Market Release Aug-17 Total Malfunctions

CE Approval Date Mar-17 Therapy Function Not Compromised

Registered USA Implants 6,514

Estimated Active USA Implants 6,448 Therapy Function Compromised

**Normal Battery Depletions** 



Excluding Normal Battery Depletion
 Including Normal Battery Depletion

	at 10
Years	mo
Excluding NBD	1
Including NBD	1
Effective Sample Size	642
Gample Gize	

## W3SR01 Azure S SR

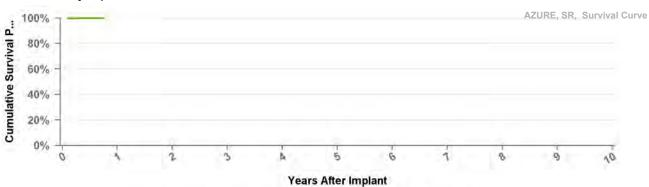
US Market Release Aug-17 Total Malfunctions

CE Approval Date Mar-17 Therapy Function Not Compromised

Registered USA Implants 1,131

Estimated Active USA Implants 1,100 Therapy Function Compromised

**Normal Battery Depletions** 



Years	at 9 mo
Excluding NBD	1
Including NBD	1
Effective	222

#### **X2DR01** Astra XT DR MRI SureScan **US Market Release Total Malfunctions Therapy Function Not Compromised CE Approval Date** Mar-17 **Registered USA Implants Therapy Function Compromised Estimated Active USA Implants Normal Battery Depletions** ASTRA, DR, Survival Curve 100% Cumulative Survival P... 80% 60% 40% 20% 0% D.WNAN Years After Implant Years **Excluding NBD** Including NBD Effective Sample Size Astra XT SR MRI SureScan X2SR01 **US Market Release Total Malfunctions CE Approval Date Therapy Function Not Compromised** Mar-17 **Registered USA Implants Therapy Function Compromised Estimated Active USA Implants Normal Battery Depletions**



Effective Sample Size

# **Method for Estimating Lead Performance**

Medtronic Cardiac Rhythm and Heart Failure (CRHF) has tracked lead survival for over 35 years with its multicenter, global chronic lead studies.

#### **Leads Performance Analysis**

Implanted leads operate in the challenging biochemical environment of the human body and the body's response to foreign objects. Implanted leads are also subject to mechanical stresses associated with heart motion, body motion, and patient anatomy.

In this environment, pacemaker and defibrillation leads cannot be expected to last forever. While IPGs and ICDs have a battery that will deplete after a predictable length of time, a lead's longevity cannot be predicted easily based on mechanical measurements, nor are there simple indicators that a lead is approaching the end of its service life. Therefore, regular monitoring while implanted, and evaluation of lead integrity upon IPG or ICD replacement, is necessary to determine if a lead may be approaching the end of its service life.

#### Shortfalls Of Using Returned Product And Complaints To Estimate Lead Performance

Leads and lead segments returned to Medtronic are analyzed to determine whether or not they meet performance limits established by Medtronic. Although returned product analyses are valuable for gaining insight into lead failure mechanisms, this data cannot be used by itself for determining the survival probability of leads because only a small fraction of leads are explanted and returned for analysis. Some leads are modified due to adverse device effect, however may not be explanted. Additionally, those leads that are returned cannot be assumed to be statistically representative of the performance of the total population for a given lead model. Partial or total lead extraction can result in significant damage to a lead, making a definitive analysis of a suspected failure, and its cause, impossible.

To account for the under reporting inherent with lead survival analysis based solely on returned product, some manufacturers add reported complaints where adverse product performance is evident but the product itself has not been returned. The improvement to the accuracy of survival estimates depends on the degree to which all complaints are actually communicated to the manufacturer. Since not all complaints are communicated to the manufacturer, adding complaints to the survival analysis does not completely solve the under reporting problem.

Lead survival probabilities are more appropriately determined through a prospective clinical surveillance study that includes active follow up with the patients. Although Medtronic monitors returned product analysis and complaints, these are not used to determine lead survival estimates.

Medtronic consolidated all cardiac rhythm surveillance registries into the PAN Registry. The PAN Registry is a patient centric surveillance platform which follows patients implanted with Medtronic cardiac rhythm product(s). The Product Performance Report (PPR) tracks PAN Registry enrolled patients to monitor lead performance status in vivo. The PAN Registry is designed to record clinical observations representative of the total clinical experience. Lead survival estimates include both lead hardware failure and lead-related clinical events that are classified as product performance events, and do not differentiate a lead hardware failure from other clinical events such as Failure to capture, perforation, dislodgement, or concurrent pulse generator failure.

#### **PAN Registry**

Medtronic has been monitoring the performance of its cardiac therapy products with a multicenter study since 1983 and has evaluated the performance of more than 131,000 leads, with data reported from countries around the world. Throughout this time period, Medtronic has continually worked to adapt systems and processes to more effectively monitor product performance following market release. The following summarizes current registry requirements.

Medtronic's product surveillance registry is a world-wide study that has a prospective, non-randomized, observational design. A key purpose of the registry is to provide continuing evaluation and periodic reporting of the long-term reliability and performance of Medtronic market-released cardiac rhythm therapy products. Product-related adverse events, indicating the status of the product, are collected to measure product survival probabilities. The data gathered may also be used to support the design and development of new cardiac therapy products. The registry is designed to continue indefinitely, encompassing new products as they become commercially available.

To ensure a sufficiently large and representative source of data, participating clinical sites must meet prespecified selection criteria. Patients are enrolled upon implantation of a Medtronic Cardiac rhythm product. Every effort is made to ensure participants are representative of the range of clinical environments in which Medtronic cardiac rhythm products are used. Eligible products for enrollment include Medtronic market-released cardiac rhythm therapy products for which additional information to further characterize product performance following market release is desired. Number of enrollments is reviewed regularly to ensure adequate sample size is obtained for each individual product. Enrollment may be capped and follow-up discontinued when sufficient duration and precision is achieved to effectively characterize product survivability.

Enrolled patients are followed in accordance with the standard care practices of their care provider from their implant date until they can no longer be followed (e.g., death, lost to follow-up, etc.). However, to ensure regular patient status assessments are completed, follow-up windows consistent with typical care practices have been established with a minimum annual follow-up requirement. Product-related adverse events, system modifications and changes in patient status (e.g. death and withdrawal from the study) are required to be reported upon occurrence. This active surveillance model ensures a robust dataset for effectively monitoring product performance.

Patients are eligible for enrollment if:

- Patient is intended to be implanted or is within 30 days post-implant of a Medtronic marketreleased cardiac lead connected to a market-released CRT, ICD, or IPG device, and the lead is used for a pacing, sensing, or defibrillation application, or
- Patient participated in a qualifying investigational study of a Medtronic cardiac rhythm product that is now market-released; complete implant and follow-up data are available; and the data can be appropriately and legally released

Each site is require to inform Medtronic whenever a lead event has occurred, a lead is modified, or when a patient is no longer participating. Timely, accurate, and complete reporting and analysis of safety information for surveillance is crucial for the protection of patients, clinicians, and the sponsor Medtronic continually evaluates the quality and integrity of the data through a combination of on-site and centralized monitoring activities.

#### **Lead Complications**

Chronic lead performance is characterized by estimating lead related complication free survival probabilities. For analysis purposes, the complication criteria, which align with the AdvaMed 'Industry Guidance for Uniform Reporting of Clinical Performance of Cardiac Rhythm Management Pulse Generators and Leads', are defined below. These criteria do not, however, enable a lead integrity or "hardware" failure to be conclusively differentiated from other clinical events such as an undetected lead dislodgement, perforation, or concurrent pulse generator failure manifested as a sensing or capture problem.

All reported lead-related adverse events are classified by the reporting investigator and are adjudicated by an independent event adjudication committee <sup>1</sup>. A lead-related event with at least one of the following classifications that is adjudicated by the committee as a complication and occurs more than 30 days after implant is considered a product performance event and will contribute to the survival analysis endpoint. Events with an onset date of 30 days or less after the implant are considered procedure related and therefore are not included as product performance events. Product performance events include, but are not limited to:

- Failure to capture
- Failure to sense/undersensing
- Oversensing
- Elevated pacing thresholds
- Abnormal pacing impedance (based on lead model, but normal range is typically 200 2,000 ohms)
- Abnormal defibrillation impedance (based on lead model, but normal range is typically 20 200 ohms)
- Lead Insulation breach
- Lead Conductor fracture, confirmed electrically, visually or radiographically
- Extracardiac stimulation
- Cardiac perforation
- Lead dislodgement
- Structural Lead Failure

#### **Data Analysis Methods**

The performance of leads is expressed in terms of lead survival estimates, where "survival" refers to the function of the lead, not the survival of the patient.. These survival estimates are intended to illustrate the probability that a lead will survive for a given number of years without a chronic lead-related complication.

Active surveillance normally begins at the time of implant and continues until a product performance or censoring event occurs. In some cases in the PAN Registry, active surveillance of a device starts after the device was implanted. The survival probability of such device is conditional on survival to the time when the device enters the Registry. This phenomenon is called Left-truncation<sup>2</sup>. PPR lead survival analysis is estimated using the Kaplan-Meier method, a statistical method to incorporate data from these retrospectively enrolled devices, left-truncated data, was applied. The statistical technique uses data from existing devices while appropriately adjusting the device survival curves for the time the device was not actively followed in the registry. Thus, in some cases sample sizes may fluctuate from one time interval to the next interval.

On the following pages, each graph includes a survival curve for each lead model. The survival estimates is the probability that a lead is free of a product performance event at a given time point. For example, if a survival probability is 95% after 5 years of service, then the lead has a 5% chance of experiencing a lead-related complication in the first 5 years following implant.

The data in the tables is rounded to the nearest tenth of one percent. Occasionally, a graph may show 100% survival, but have one or more complications. This occurs because even with the complications, the data rounds to 100%.

The survival curves are statistical estimates. As sample size increases and performance experience accumulates, the estimation improves. Confidence intervals are provided as a way to indicate the degree of certainty of the estimates. Greenwood's formula is used to calculate the standard errors, and the log-log method is used to produce the 2-sided 95% confidence bounds.

Since the survival estimate can become very imprecise with small effective sample sizes, Medtronic truncates the survival curve when the number of leads entering an interval is less than 50 leads. When the number of leads entering an interval reaches 50, the next data point is added to the survival

curve. For those lead models that do not have sufficient sample size, a survival curve will not be presented.

#### **Definition of Analysis Dataset**

The survival estimates are derived from all device components successfully enrolled as of the data received cut-off date (e.g. date of data entry at a study site). The number of enrollments is listed for each lead model.

This sample is considered to be representative of the worldwide population, and therefore the survival estimates shown should be representative of the performance worldwide of these models.

#### Criteria for Model Inclusion

Performance information for a model or model family will be published when more than 100 leads have been enrolled and no fewer than 50 leads followed for at least 6 months. Medtronic, at its discretion, may stop providing updated performance information on lead models that received original US market-release approval 20 or more years ago.

#### **Returned Product Analysis Results**

Although the returned product analysis data is not used to generate the survival estimates, the data provides valuable insight into the causes of lead malfunction.

For reporting returned product analysis results, Medtronic CRHF considers a lead as having malfunctioned whenever the analysis shows that any parameter was outside the performance limits established by Medtronic while implanted and in service. To be considered a malfunction for returned product analysis reporting, the lead must have been returned to Medtronic and analyzed.

The results of the analysis is presented in four categories. The lead reporting categories are:

**Conductor Fracture**: Conductor malfunction with complete or intermittent loss of continuity that could interrupt current flow (e.g., fractured conductors), including those associated with clavicle flex fatigue or crush damage.

**Insulation Breach**: A malfunction of the insulation allowing inappropriate entry of body fluids or inappropriate current flow between the conductors, or between the conductor and the body. Examples include cuts, tears, depressions, abrasions, and material degradation.

**Crimps/Welds/Bonds**: Any malfunction in a conductor or lead body associated with a point of connection.

**Other:** Malfunctions of specific lead mechanical attributes, such as sensors, connectors, seal rings, or malfunction modes not included in the three categories above.

A lead subject to a safety advisory is not considered to have malfunctioned unless it has been returned to Medtronic CRHF and found, through analysis, to actually have performed outside the performance limits established by Medtronic.

For leads designed for either ventricular or atrial use, the numbers listed in the Returned Product Analysis tables include both.

The numbers of malfunctions listed in the Returned Product Analysis tables are the actual numbers confirmed in the returned product analysis. The numbers of complications listed in the complications tables are the actual numbers observed in the PSR centers around the world.

#### US Reports of Acute Lead Observations (Occurring within First Month of Service)

In the first weeks following lead implantation, physiologic responses and lead performance can vary until long-term lead stability is attained. Acute (defined as the first month after implant) lead performance may be subject to a number of factors, including patient-specific anatomy, clinical conditions and/or varying implant conditions/techniques. After a period of time, the implant and the lead performance stabilizes. It is for this reason that the Product Surveillance Registry results, which are intended to measure long-term performance, do not include complications that occur within the first 30 days after implant.

Information about the clinical experience in the first month of service is included in our reporting. The source for this information is Medtronic's complaint handling system database. The information is summarized in tables titled "US Reports of Acute Lead Observations."

Each Event Report received by Medtronic's complaint handling system is assigned one or more Reason for Report codes based on the information received. The Reason for Report codes have been grouped into Acute Lead Observation categories. The categories used for this product performance reporting are drawn from the "FDA Guidance for Submission of Research and Marketing Applications for Permanent Pacemaker Leads and for Pacemaker Lead Adapter 510(k) Submissions." The categories are:

- 1. Cardiac Perforation
- 2. Conductor Fracture
- 3. Lead Dislodgement
- 4. Failure to Capture
- Oversensing
- 6. Failure to Sense
- 7. Insulation Breach
- 8. Impedance Abnormal
- 9. Extracardiac Stimulation
- 10. Unspecified

Although multiple observations are possible for any given lead, only one observation is reported per lead. The observation reported is the observation highest on the list. For example, if an Event Report includes observations for both Lead Dislodgement and Failure to Sense, Lead Dislodgement is reported.

The lead event reported to Medtronic may or may not have involved clinical action or product returned to Medtronic. The lead may have remained implanted and in service.

#### Estimated Number of Implanted and Active Leads in the United States

In addition to providing the number of leads enrolled in the PSR, we also provide the number of leads registered as implanted and the number remaining active in the United States based on the status recorded in the Medtronic Device and Registrant Tracking system.

#### Footnotes:

- 1: During the evolution of SLS, event adjudication was transitioned from a Medtronic technical review committee to an independent event adjudication committee in 2011. Data analyses include adjudication using both methods.
- 2: Klein, John P., Moeschberger, Melvin L. Survival Analysis Techniques for Censored and Truncated Data, New York: Springer-Verlag New York, Inc., 1997.

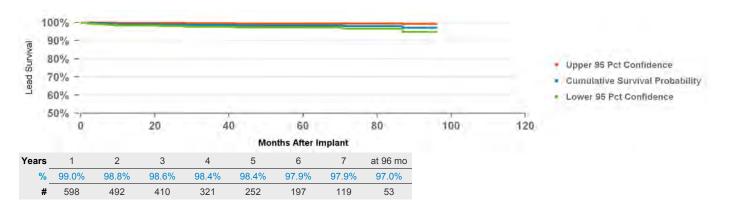
3830	SelectSecure					
US Mark	ket Release	03Aug2005	US Returned Product	Analysis	US Acute Lead Observa	tions
CE Appr	roval	31Jan2003	Conductor Fracture	22	Cardiac Perforation	10
Register	red USA Implants	43,438	Crimp Weld Bond		Conductor Fracture	2
Estimate	ed Active USA Implants	33,723	Insulation Breach	35	Extracardiac Stimulation	4
Fixation 7	Туре	Fixed Screw	Other	6	Failure To Capture	120
Pace Ser	nse Polarity	Bipolar	Extrinsic Damage	O	Failure To Sense	6
Steroid Ir	ndicator	Yes	Extinsic Damage		Impedance Abnormal	1
					Insulation Breach	1
					Lead Dislodgement	151
					Oversensing	24
					Unspecified	2
Atrial Pla	cement				G.1.0pccca	_
Product Su	rveillance Registry Results		Qualifying Complications	18		
Number of Le	ade Enrolled in Study	1.065	Cardiac Perforation	1		0

#### Number of Leads Enrolled in Study 1,065 Cardiac Perforation Impedance Abnormal Cumulative Months of Followup 51,841 Conductor Fracture Insulation Breach Number of Leads Active in Study 475 Extracardiac Stimulation Lead Dislodgement 4 Failure To Capture Failure To Sense 3 100% 90% -80% Upper 95 Pct Confidence 70% - Cumulative Survival Probability 60% Lower 95 Pct Confidence 50% 20 40 60 80 100 120 Months After Implant

Years	1	2	3	4	5	6	7	8
%	99.3%	99.0%	99.0%	98.9%	98.7%	98.4%	97.7%	96.7%
#	877	715	622	497	409	340	202	84

## **Ventricular Placement**

#### **Product Surveillance Registry Results Qualifying Complications** 11 Number of Leads Enrolled in Study 845 Failure To Capture Impedance Abnormal Cumulative Months of Followup 34,491 Lead Dislodgement 5 Number of Leads Active in Study 432 Other Complication



#### 4073 CapSure Sense US Market Release 23Jun2002 **US Returned Product Analysis US Acute Lead Observations** CE Approval 01Feb2002 Registered USA Implants 771 Estimated Active USA Implants 279 Fixation Type Tines Pace Sense Polarity Unipolar Steroid Indicator Yes



4(	)74	CapSure Sense		
	US Market	Release	23Jun2002	<u>-</u>
	CE Approva	al	01Feb2002	2
	Registered	USA Implants	124,038	
	Estimated A	Active USA Implants	73,869	
	Fixation Typ	e	Tines	
	Pace Sense	Polarity	Bipolar	
	Steroid India	cator	Yes	

# **US Returned Product Analysis**

	•
Conductor Fracture	9
Crimp Weld Bond	
Insulation Breach	36
Other	
Extrinsic Damage	

#### **US Acute Lead Observations**

Cardiac Perforation	22
Conductor Fracture	1
Extracardiac Stimulation	3
Failure To Capture	82
Failure To Sense	3
Impedance Abnormal	3
Insulation Breach	
Lead Dislodgement	105
Oversensing	4
Unspecified	

2

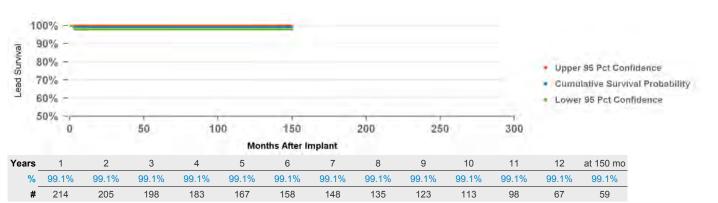
#### **Atrial Placement**

#### **Product Surveillance Registry Results**

Number of Leads Enrolled in Study	227
Cumulative Months of Followup	23,843
Number of Leads Active in Study	101

#### **Qualifying Complications**

Failure To Sense 1 Lead Dislodgement



## **Ventricular Placement**

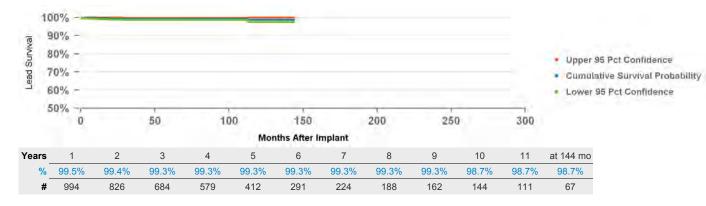
#### **Product Surveillance Registry Results**

Number of Leads Enrolled in Study	1,136
Cumulative Months of Followup	63,418
Number of Leads Active in Study	329

#### **Qualifying Complications**

Conductor Fracture Impedance Abnormal Failure To Capture Insulation Breach Lead Dislodgement Other Complication

8



4076	CapSureFix No	ovus	
US Ma	rket Release	25Feb2004	US Returned
CE App	oroval	14Jun2004	Conductor Fractu
Regist	ered USA Implants	598,958	Crimp Weld Bond
Estima	ated Active USA Implants	416,122	Insulation Breach
Fixation	туре	Active Screw In	Other
Pace S	ense Polarity	Bipolar	Extrinsic Damage
Steroid	Indicator	Yes	Extillisic Dalilage

US Returned Product An	alysis
Conductor Fracture	89
Crimp Weld Bond	1
Insulation Breach	124
Other	22
Extrinsic Damage	

<b>US Acute Lead Observations</b>	
Cardiac Perforation	111
Conductor Fracture	6
Extracardiac Stimulation	17
Failure To Capture	145
Failure To Sense	57
Impedance Abnormal	24
Insulation Breach	1
Lead Dislodgement	376
Oversensing	42
Unspecified	12

#### **Atrial Placement**

Product Surveillance Registry Results		Qualifying Complication
Number of Leads Enrolled in Study	3,453	Cardiac Perforation
Cumulative Months of Followup	176,180	Conductor Fracture
Number of Leads Active in Study	1,467	Failure To Capture

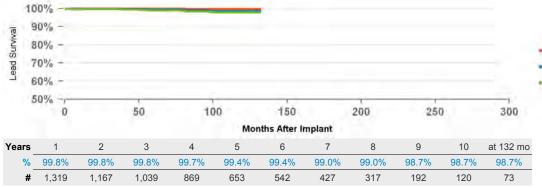




#### **Ventricular Placement**

Product Surveillance Registry Results		Qua
Number of Leads Enrolled in Study	1,570	Cond
Cumulative Months of Followup	89,859	Extra
Number of Leads Active in Study	443	Failu

Qualifying Complications	10	
Conductor Fracture	1 Impedance Abnormal	2
Extracardiac Stimulation	1 Lead Dislodgement	1
Failure To Capture	4 Other Complication	1



- Upper 95 Pct Confidence
- Cumulative Survival Probability
- Lower 95 Pct Confidence

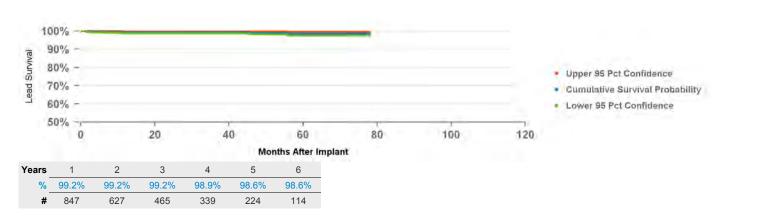
092 CapSure SP Νονι	JS				
US Market Release	17Sep1998	US Returned Product	Analysis	US Acute Lead Observ	ations
CE Approval	15Apr1998	Conductor Fracture	17	Cardiac Perforation	
Registered USA Implants	187,224	Crimp Weld Bond		Conductor Fracture	
Estimated Active USA Implants	66,727	Insulation Breach	84	Extracardiac Stimulation	
Fixation Type	Tines	Other	2	Failure To Capture	
Pace Sense Polarity	Bipolar	Extrinsic Damage		Failure To Sense	
Steroid Indicator	Yes			Impedance Abnormal	
				Insulation Breach	
				Lead Dislodgement	
				Oversensing	
				Unspecified	
roduct Surveillance Registry Results		<b>Qualifying Complications</b>	21		
umber of Leads Enrolled in Study	1,191	Conductor Fracture	3 Impedan	ce Abnormal	1
umulative Months of Followup	68,110	Extracardiac Stimulation	1 Lead Dis	slodgement	4



Failure To Capture

Number of Leads Active in Study

4574	CapSure Sense					
US Mark	et Release	23Jun2002	US Returned Produc	t Analysis	US Acute Lead Observ	ations
CE Appr	oval	01Feb2002	Conductor Fracture	10	Cardiac Perforation	
Register	red USA Implants	85,722	Crimp Weld Bond	10	Conductor Fracture	1
Estimate	ed Active USA Implants	55,006	Insulation Breach	13	Extracardiac Stimulation	1
Fixation 7	Гуре	J-shape, tines	Other	10	Failure To Capture	56
Pace Ser	nse Polarity	Bipolar	Extrinsic Damage		Failure To Sense	21
Steroid In	ndicator	Yes	Extilliste Damage		Impedance Abnormal	3
					Insulation Breach	J
					Lead Dislodgement	136
					Oversensing	1
					Unspecified	4
Product Su	rveillance Registry Results		<b>Qualifying Complications</b>	9		
Number of Le	ads Enrolled in Study	1,106	Conductor Fracture	2 Lead Dislo	odgement	6
Cumulative M	onths of Followup	38,371	Failure To Capture	1	·	



607

Number of Leads Active in Study

45	592	CapSure SI	P Novus	
	US Market F	Release		05Oct1998
	CE Approva	I		15Apr1998
	Registered	USA Implants		89,534
	Estimated A	active USA Implants		33,600
	Fixation Type	e		J-shape, tines
	Pace Sense	Polarity		Bipolar
	Steroid Indic	ator		Yes

#### **US Returned Product Analysis** Conductor Fracture Crimp Weld Bond Insulation Breach 30 Other Extrinsic Damage

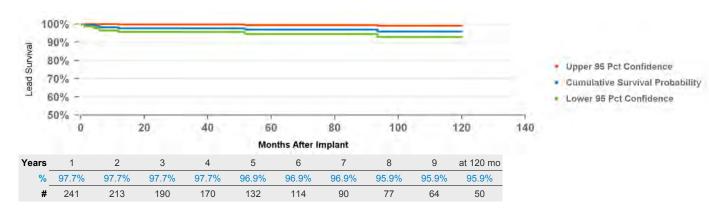
#### **US Acute Lead Observations** Cardiac Perforation Conductor Fracture Extracardiac Stimulation Failure To Capture 10 Failure To Sense 2 Impedance Abnormal Insulation Breach 1 37 Lead Dislodgement 2 Oversensing 2 Unspecified

#### **Product Surveillance Registry Results**

Number of Leads Enrolled in Study	352
Cumulative Months of Followup	19,180
Number of Leads Active in Study	52

#### **Qualifying Complications**

Qualifying Complications		8			
Failure To Capture	5	Lead Dislodgement	2		
Failure To Sense	1				



<b>i054</b> CapSure Z N	ovus				
US Market Release	03Jun1998	US Returned Product	t Analysis	US Acute Lead Observat	ions
CE Approval	05Jun1997	Conductor Fracture	15	Cardiac Perforation	2
Registered USA Implants	99,459	Crimp Weld Bond	1	Conductor Fracture	1
Estimated Active USA Implants	33,724	Insulation Breach	38	Extracardiac Stimulation	
Fixation Type	Tines	Other	3	Failure To Capture	23
Pace Sense Polarity	Bipolar	Extrinsic Damage		Failure To Sense	
Steroid Indicator	Yes			Impedance Abnormal	4
				Insulation Breach	1
				Lead Dislodgement	30
				Oversensing	

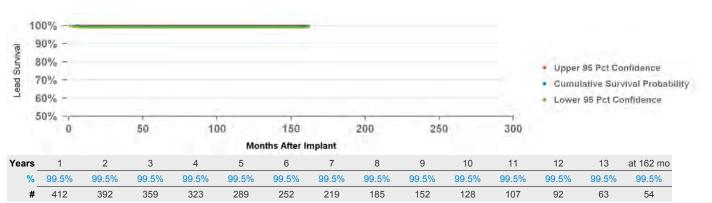
#### **Atrial Placement**

#### **Product Surveillance Registry Results**

Number of Leads Enrolled in Study	426
Cumulative Months of Followup	39,188
Number of Leads Active in Study	57

#### **Qualifying Complications**

Failure To Capture 1 Lead Dislodgement



## **Ventricular Placement**

#### **Product Surveillance Registry Results**

Number of Leads Enrolled in Study	985
Cumulative Months of Followup	33,718
Number of Leads Active in Study	33

#### **Qualifying Complications**

Failure To Capture 7 Im
Failure To Sense 2 Le:

7 Impedance Abnormal2 Lead Dislodgement

11

Unspecified

9



<b>50</b>	76	Caps	SureFix	Novus	5										
	US Marke	t Release			31Aug20	000		US Reti	ırned Pr	oduct A	nalysis		US Acut	e Lead Obs	servation
	CE Appro	val			12Aug19	999		Conductor			925		Cardiac Pe	rforation	
	Registere	d USA Impl	ants		2,436,34	42		Crimp Weld			020		Conductor		
	Estimated	Active USA	A Implants		1,607,39	93		Insulation E			966			c Stimulation	n
	Fixation Ty	rpe			Active So	crew In		Other			231		Failure To		
	Pace Sens	e Polarity			Bipolar			Extrinsic D	amage				Failure To		
	Steroid Ind	icator			Yes								Impedance	Abnormal	
													Insulation E	Breach	
													Lead Dislo	dgement	
													Oversensin	g	
													Unspecified	i	
Atr	ial Plac	ement													
			Registry R	Results				ying Con		IS	65				
		ds Enrolled				,394		c Perforatio			2 Im	npedance A	bnormal		6
Cumulative Months of Followup				,981	Conduc	ctor Fractur	е		11 In	sulation Bre	each		1		
Num	ber of Lead	ds Active in	Study		3	,907		ardiac Stimu				ead Dislodge	ement		19
								To Capture	9			versensing			3
	200						Failure	To Sense			5 O	ther Compli	cation		4
	100%														
<u>a</u>	90% -														
Lead Survival	80% -											• Unne	95 Pct Co	nfidence	
Sp	70% -													ival Probabil	lity
Leg	60% -												r 95 Pct Co		iii y
	50%										-	LOWE	35 10100	lillnatics	
	0		50	10	00	150		200	25	0	300				
						nths After I	mplant	2500							
Yea	rs 1	2	3	4	5	6	7	8	9	10	11	12	13	14	
	<b>%</b> 99.7%	99.5%	99.4%	99.1%	98.8%	98.6%	98.3%	98.2%	98.2%	98.1%	97.9%	97.8%	97.8%	97.3%	
	<b>#</b> 6,173	4,839	3,783	3,014	2,186	1,553	1,170	848	597	468	368	242	142	76	
Ve	ntricula	r Placei	ment												
Pro	duct Sur	veillance l	Registry R	Results			Qualif	ying Con	plication	IS	28				
Num	ber of Lea	ds Enrolled	in Study		2	,675	Cardia	c Perforatio	n		1 Im	npedance A	bnormal		4
Cum	ulative Mo	nths of Follo	owup		108	,782	Conduc	ctor Fractur	е		6 Lead Dislodgement				4
Num	ber of Lead	ds Active in	Study			785	Failure	To Capture	)		10 O	versensing			1
							Failure	To Sense			1 0	ther Compli	cation		1
	4000/														
	100%					==									
Val	90% -														
Lead Survival	80% -											• Uppe	95 Pct Co	nfidence	
bad	70% -													ival Probabil	lity
Le	60% -											• Lowe	r 95 Pct Co	nfidence	
	50%														

98.7%

99.0%

Months After Implant

98.2%

97.5%

98.0%

96.9%

96.9%

96.6%

96.6%

95.9%

at 162 mo

95.9%

99.2%

1,173

99.3%

1,424

99.5%

1,750

Years

# 5086MRI CapsureFix Novus MRI

US Market Release	08Feb2011
CE Approval	21Jan2009
Registered USA Implants	208,554
Estimated Active USA Implants	183,442
Fixation Type	Active Screw In
Pace Sense Polarity	Bipolar
Steroid Indicator	Yes

#### **US Returned Product Analysis**

Conductor Fracture	65
Crimp Weld Bond	
Insulation Breach	113
Other	12
Extrinsic Damage	

#### **US Acute Lead Observations**

Cardiac Perforation	214
Conductor Fracture	2
Extracardiac Stimulation	17
Failure To Capture	140
Failure To Sense	28
Impedance Abnormal	9
Insulation Breach	1
Lead Dislodgement	308
Oversensing	30
Unspecified	

#### **Atrial Placement**

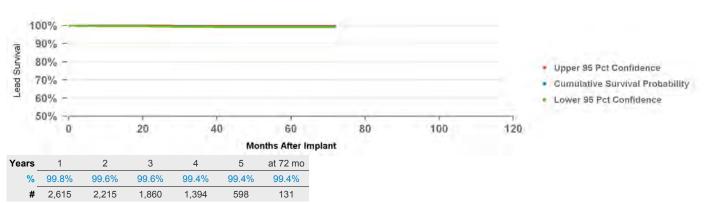
#### **Product Surveillance Registry Results**

Number of Leads Enrolled in Study	3,098
Cumulative Months of Followup	123,112
Number of Leads Active in Study	1,550

#### **Qualifying Complications**

Conductor Fracture	3	Lead Dislodgement	11
Failure To Capture	1	Oversensing	1

16



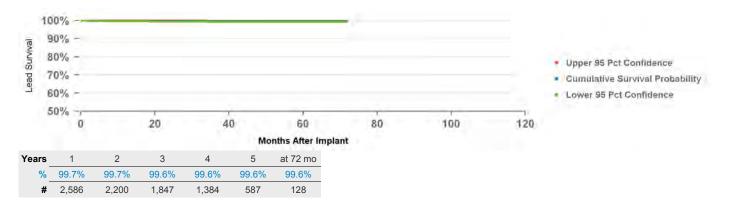
## **Ventricular Placement**

## **Product Surveillance Registry Results**

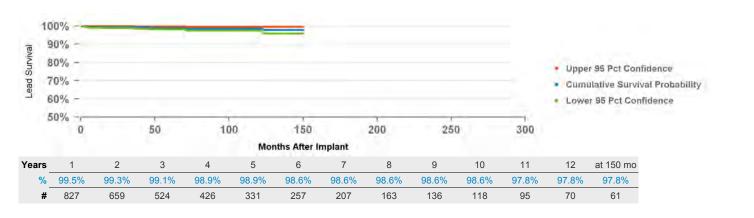
Number of Leads Enrolled in Study	3,045
Cumulative Months of Followup	122,022
Number of Leads Active in Study	1,525

## **Qualifying Complications**

<b>Qualifying Complications</b>	12			
Conductor Fracture	1	Impedance Abnormal	1	
Failure To Capture	6	Lead Dislodgement	3	
Failure To Sense	1			



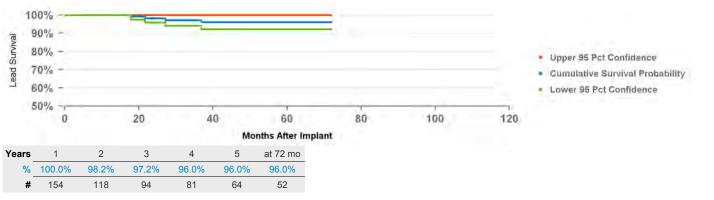
US Market Release 03Jun1998 US Returned Product Analysis US Acute Lead Observal CE Approval 25Sep1997 Conductor Fracture 22 Cardiac Perforation Registered USA Implants 141,329 Crimp Weld Bond Conductor Fracture Estimated Active USA Implants 52,681	itions
Registered USA Implants 141,329 Crimp Weld Bond Conductor Fracture  Estimated Active USA Implants 52 681	
Registered USA Implants 141,329 Crimp Weld Bond Conductor Fracture  Estimated Active USA Implants 52 681	
Estimated Active USA Implants 52 681	
Insulation Breach 60 Extracardiac Stimulation	
Fixation Type Tines Other 3 Failure To Capture	49
Pace Sense Polarity Bipolar Extrinsic Damage Failure To Sense	
Steroid Indicator Yes Impedance Abnormal	
Insulation Breach	;
Lead Dislodgement	72
Oversensing	
Unspecified	Ç
Product Surveillance Registry Results Qualifying Complications 10	
Number of Leads Enrolled in Study 1,210 Extracardiac Stimulation 1 Impedance Abnormal	1
Cumulative Months of Followup 52,798 Failure To Capture 3 Lead Dislodgement	5



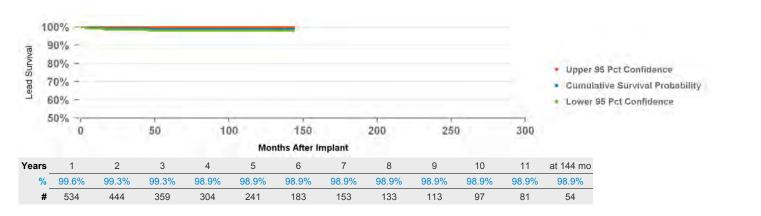
35

Number of Leads Active in Study

US Market Release	03Jun1998	US Returned Product	Analysis	<b>US Acute Lead Obs</b>	ervations
CE Approval	05Jun1997	Conductor Fracture	18	Cardiac Perforation	
Registered USA Implants	64,537	Crimp Weld Bond		Conductor Fracture	
Estimated Active USA Implants	24,180	Insulation Breach	33	Extracardiac Stimulation	
Fixation Type	Tines	Other	2	Failure To Capture	
Pace Sense Polarity	Bipolar	Extrinsic Damage	_	Failure To Sense	
Steroid Indicator	Yes			Impedance Abnormal	
				Insulation Breach	
				Lead Dislodgement	
				Oversensing	
				Unspecified	
oduct Surveillance Registry Results		Qualifying Complications	5		
nber of Leads Enrolled in Study	363	Failure To Capture	2 Impedar	nce Abnormal	1
nulative Months of Followup	8,901			slodgement	1
nber of Leads Active in Study	11		Overser	nsing	1

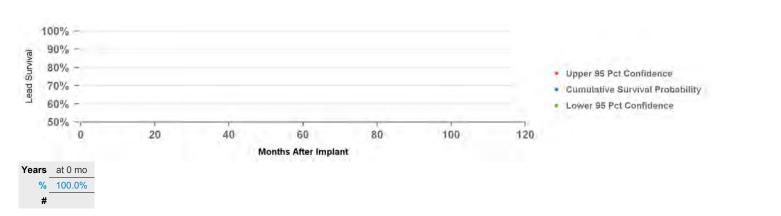


592 CapSure SP Nov	us				
US Market Release	03Jun1998	US Returned Product	Analysis	US Acute Lead Observation	ons
CE Approval	25Sep1997	Conductor Fracture	6	Cardiac Perforation	
Registered USA Implants	37,295	Crimp Weld Bond	Ü	Conductor Fracture	
Estimated Active USA Implants	16,956	Insulation Breach	5	Extracardiac Stimulation	
Fixation Type	Tines	Other	1	Failure To Capture	
Pace Sense Polarity	Bipolar	Extrinsic Damage	·	Failure To Sense	
Steroid Indicator	Yes	Extinoio Bamago		Impedance Abnormal	
				Insulation Breach	
				Lead Dislodgement	
				Oversensing	
				Unspecified	
oduct Surveillance Registry Results		<b>Qualifying Complications</b>	5		
mber of Leads Enrolled in Study	711	Failure To Capture	3 Lead Dis	slodgement 2	
mulative Months of Followup	36,930				

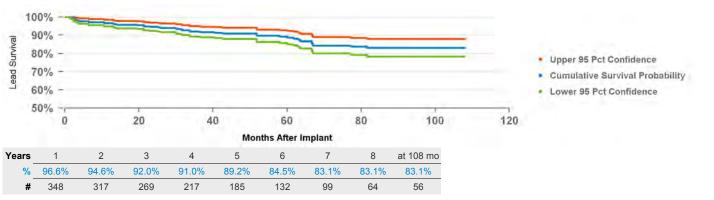


Number of Leads Active in Study

O4 CapSure SP Novi US Market Release	25Jun2001	US Returned Product	Δnalve	ie	US Acute Lead Observ	ations	
CE Approval	23Mar2001	Conductor Fracture		13	Cardiac Perforation	ations	
Registered USA Implants	17,591	Crimp Weld Bond		13	Conductor Fracture		
Estimated Active USA Implants	9,450	Insulation Breach		14	Extracardiac Stimulation		
Fixation Type	Tines	Other		17	Failure To Capture		
Pace Sense Polarity	Bipolar	Extrinsic Damage			Failure To Sense		
Steroid Indicator	Yes				Impedance Abnormal		
					Insulation Breach		
					Lead Dislodgement		
					Oversensing		
					Unspecified		
duct Surveillance Registry Results		<b>Qualifying Complications</b>		2			
ber of Leads Enrolled in Study	31	Conductor Fracture	1	Oversensing		1	
nulative Months of Followup	2,647						



US Market Release	31Mar1994	US Returned Product	Analysis	US Acute Lead Ob	oservations
CE Approval	01Jan1993	Conductor Fracture	15	Cardiac Perforation	
Registered USA Implants	3,225	Crimp Weld Bond		Conductor Fracture	
Estimated Active USA Implants	1,095	Insulation Breach	1	Extracardiac Stimulation	on
Fixation Type	Suture	Other	•	Failure To Capture	
Pace Sense Polarity	n/a	Extrinsic Damage		Failure To Sense	
Steroid Indicator	None	Examolo Bamage		Impedance Abnormal	
				Insulation Breach	
				Lead Dislodgement	
				Oversensing	
				Unspecified	
duct Surveillance Registry Results		Qualifying Complications	47		
ber of Leads Enrolled in Study	417	Conductor Fracture	21 Impedan	ce Abnormal	4
ulative Months of Followup	23,801	Failure To Capture	8 Insulatio	n Breach	2
ber of Leads Active in Study	7		Oversen	sina	12



693	30	Sprint Fidelis	
	US Market R	elease	02Sep2004
	CE Approval		
	Registered l	JSA Implants	354
	Estimated A	ctive USA Implants	116
F	ixation Type		Tines
F	Pace Sense I	Polarity	True Bipolar/One Coil

#### **US Returned Product Analysis**

Conductor Fracture	5
Crimp Weld Bond	
Insulation Breach	
Other	
Extrinsic Damage	

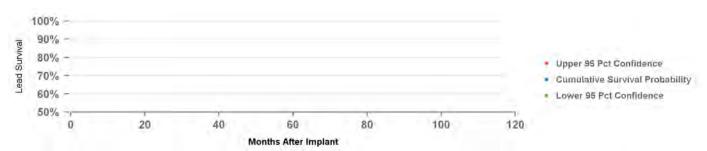
#### **US Acute Lead Observations**

Cardiac Perforation	
Conductor Fracture	
Extracardiac Stimulation	
Failure To Capture	
Failure To Sense	
Impedance Abnormal	
Insulation Breach	
Lead Dislodgement	
Oversensing	
Unspecified	1

#### **Product Surveillance Registry Results**

Steroid Indicator

Number of Leads Enrolled in Study	4
Cumulative Months of Followup	268
Number of Leads Active in Study	1





93	1 Sprint Fidelis	
U	S Market Release	02Sep2004
С	E Approval	
F	Registered USA Implants	8,075
Е	stimated Active USA Implants	2,110
Fi	xation Type	Active Screw In
Pa	ace Sense Polarity	True Bipolar/One Coil
St	eroid Indicator	Yes

US Returned Product Ana	uysis
Conductor Fracture	643
Crimp Weld Bond	
Insulation Breach	1
Other	5
Extrinsic Damage	

<b>US Acute Lead Observations</b>	
Cardiac Perforation	1
Conductor Fracture	2
Extracardiac Stimulation	
Failure To Capture	1
Failure To Sense	1
Impedance Abnormal	
Insulation Breach	
Lead Dislodgement	1
Oversensing	3
Unspecified	1

#### **Product Surveillance Registry Results**

Number of Leads Enrolled in Study	310
Cumulative Months of Followup	17,376
Number of Leads Active in Study	23

Qualifying Complications		58	
Conductor Fracture	35	Impedance Abnormal	10
Failure To Capture	3	Lead Dislodgement	2
Failure To Sense	1	Oversensing	7



	US Market Release	01Nov2008	US Returne	d Product Analys	is US Acute Lead Obs	servations
	CE Approval Registered USA Implants Estimated Active USA Implants Fixation Type Pace Sense Polarity Steroid Indicator	31Mar2008 58,809 46,829 Active Screw In True Bipolar/One Coil	Conductor Fracti Crimp Weld Bon Insulation Bread Other Extrinsic Damag	d h	Cardiac Perforation Conductor Fracture  Extracardiac Stimulation Failure To Capture Failure To Sense Impedance Abnormal Insulation Breach Lead Dislodgement Oversensing	2
Pro	oduct Surveillance Registry Resu	ults	Qualifying Complic	ations	Unspecified 39	
	nber of Leads Enrolled in Study	2,644	Cardiac Perforation	1	Impedance Abnormal	3
	nulative Months of Followup	113,636	Conductor Fracture	15	Lead Dislodgement	7
Num	mber of Leads Active in Study	974	Extracardiac Stimulation	n 1	Oversensing	6
	·		Failure To Capture Failure To Sense	4	Other Complication	1
Lead Survival	100%	- X - X	80	# # # # # # # # # # # # # # # # # # #	<ul> <li>Upper 95 Pct Confidence</li> <li>Cumulative Survival Probabil</li> <li>Lower 95 Pct Confidence</li> </ul>	lity
Lead S	0 20	40 60 Months After Im	1	100 120	Į.	

99.4%

2,242

99.2%

1,806

98.9%

1,441

98.6%

1,108

98.4%

801

98.0%

484

96.9%

249

96.1%

US Market Release	02Aug2012	US Returned Product	Analysis	US Acute Lead Obser	rvations
CE Approval	12Jul2012	Conductor Fracture	210	Cardiac Perforation	
Registered USA Implants	179,371	Crimp Weld Bond		Conductor Fracture	
Estimated Active USA Implants	169,280	Insulation Breach	7	Extracardiac Stimulation	
Fixation Type	Active Screw In	Other	25	Failure To Capture	,
Pace Sense Polarity	True Bipolar/One Coil		20	Failure To Sense	
Steroid Indicator	Yes	Examble Ballage		Impedance Abnormal	
				Insulation Breach	
				Lead Dislodgement	2
				Oversensing	,
				Unspecified	
oduct Surveillance Registry Res	ults	Qualifying Complications	40	•	
umber of Leads Enrolled in Study	5,645	Cardiac Perforation	1 Impedano	ce Abnormal	3
umulative Months of Followup	133,306	Conductor Fracture	9 Insulation	Breach	2
umber of Leads Active in Study	3,969	Failure To Capture	10 Lead Disl	odgement	12
		Failure To Sense	1 Oversens	ing	1
			Other Co	mplication	1
100%					
90% -					
80% -				pper 95 Pct Confidence	
80% -					
80% -				umulative Survival Probability	
70% - 60% -				ower 95 Pct Confidence	
80% - 70% - 60% - 50% -	40 60	80 100			

99.6%

99.4%

2,550

99.0%

1,292

98.7%

519

98.7%

#### Transvene SVC-CS 6937A **US Market Release** 06Apr2001 **US Returned Product Analysis US Acute Lead Observations** CE Approval Cardiac Perforation Conductor Fracture 2,478 Registered USA Implants Conductor Fracture 3 Crimp Weld Bond Estimated Active USA Implants 1,476 Extracardiac Stimulation Insulation Breach Fixation Type Passive Other Failure To Capture Pace Sense Polarity One Coil Extrinsic Damage Failure To Sense Steroid Indicator None Impedance Abnormal Insulation Breach Lead Dislodgement Oversensing Unspecified 2 **Product Surveillance Registry Results Qualifying Complications** 14 Number of Leads Enrolled in Study Conductor Fracture 120 Impedance Abnormal Cumulative Months of Followup 13,374 Insulation Breach 2 Number of Leads Active in Study 10 Lead Dislodgement Unspecified Other Complication 100% 90% 80% - Upper 95 Pct Confidence 70% - Cumulative Survival Probability 60% Lower 95 Pct Confidence 50 100 150 200 250 300 Months After Implant 3 5 6 9 10 at 126 mo Years 2 8 1

93.9%

218

94.9%

314

93.4%

168

92.2%

109

91.1%

91.1%

56

98.49

827

97.5%

696

97.2%

582

96.7%

489

95.4%

38	944	Sprint Quattro		
	US Market F	Release	13Dec2000	
	CE Approva	l	05Nov1999	
	Registered	USA Implants	44,848	
	Estimated A	Active USA Implants	19,575	
	Fixation Typ	е	Tines	
	Pace Sense	Polarity	True Bipolar/Two Coils	S
	Steroid Indic	ator	Yes	

<b>US Returned Product An</b>	alysis
Conductor Fracture	194
Crimp Weld Bond	1
Insulation Breach	4
Other	6
Extrinsic Damage	

US Acute Lead Observations	
Cardiac Perforation	
Conductor Fracture	2
Extracardiac Stimulation	
Failure To Capture	17
Failure To Sense	3
Impedance Abnormal	11
Insulation Breach	
Lead Dislodgement	24
Oversensing	13
Unspecified	6

#### **Product Surveillance Registry Results**

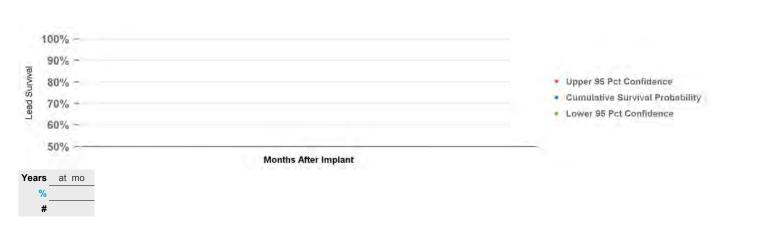
Number of Leads Enrolled in Study	611
Cumulative Months of Followup	31,386
Number of Leads Active in Study	147

#### Qualifying Complications

Conductor Fracture	14	Impedance Abnormal	4
Failure To Capture	4	Oversensing	3
Failure To Sense	1	Unspecified	1



#### 6946M **Sprint Quattro** US Market Release 05Jan2016 **US Returned Product Analysis US Acute Lead Observations** CE Approval 12Sep2013 Cardiac Perforation Registered USA Implants 1,254 Conductor Fracture Estimated Active USA Implants 1,232 Extracardiac Stimulation Tines Fixation Type Failure To Capture Pace Sense Polarity True Bipolar/Two Coils Failure To Sense Steroid Indicator Yes Impedance Abnormal Insulation Breach Lead Dislodgement 5 Oversensing 4



Unspecified

US Market Release	12Nov2001	US Returne	ed Product A	nalysis	<b>US Acute Lead Obs</b>	ervations
CE Approval	04Oct2001	Conductor Frac		1,025	Cardiac Perforation	
Registered USA Implants	374,816	Crimp Weld Bor		4	Conductor Fracture	
Estimated Active USA Implants	202,336	Insulation Bread		90	Extracardiac Stimulation	
Fixation Type	Active Screw In	Other		214	Failure To Capture	
Pace Sense Polarity	True Bipolar/Two Coil	s Extrinsic Damag	ge		Failure To Sense	
Steroid Indicator	Yes	ZXXIIIOIO ZXXIIX	90		Impedance Abnormal	
					Insulation Breach	
					Lead Dislodgement	
					Oversensing	
					Unspecified	
roduct Surveillance Registry Re	esults	Qualifying Complic	cations	75		
umber of Leads Enrolled in Study	4,361	Conductor Fracture		28 Impeda	ance Abnormal	11
umulative Months of Followup	235,702	Failure To Capture		4 Insulat	ion Breach	5
umber of Leads Active in Study	1,207	Failure To Sense		2 Lead D	Dislodgement	5
				Overse	ensing	17
				Unspe	cified	2
100%				Other	Complication	1
90% -						
90% - 80% - 70% -					No. 1 to 2 to	
70% -					Upper 95 Pct Confidence	
1076					Cumulative Survival Probabili	ty
60% -					Lower 95 Pct Confidence	
50%	100 150	200	250	200		
0 50			250	300		
	Months After Im	1000				
ears 1 2 3	4 5 6	7 8	9 10	11	12 13 at 168 mo	

99.5%

3,715

99.3%

3,173

99.0%

2,684

98.7%

2,265

98.2%

1,786

98.0%

1,377

97.6%

944

97.2%

635

96.6%

353

95.8%

217

95.5%

158

94.6%

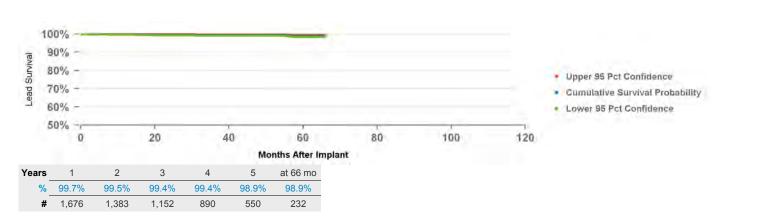
103

94.6%

89

93.9%

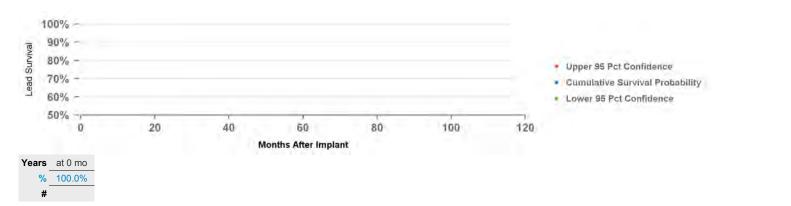
#### 6947M **Sprint Quattro Secure US Market Release** 13Feb2012 **US Returned Product Analysis US Acute Lead Observations** CE Approval 12Mar2010 Cardiac Perforation 25 Conductor Fracture Registered USA Implants 106,282 Conductor Fracture 9 Crimp Weld Bond Estimated Active USA Implants 96,076 Extracardiac Stimulation 10 Insulation Breach 9 Fixation Type Active Screw In Other 18 Failure To Capture 84 Pace Sense Polarity True Bipolar/Two Coils Extrinsic Damage Failure To Sense 31 Steroid Indicator Impedance Abnormal 23 Insulation Breach Lead Dislodgement 170 Oversensing 51 Unspecified **Product Surveillance Registry Results Qualifying Complications** 13 Number of Leads Enrolled in Study Conductor Fracture 2,051 6 Other Complication Cumulative Months of Followup 79,724 Failure To Capture 2



Failure To Sense

1,041

US Market Release		02Sep2004	<b>US Returned Product</b>	Analysis	US Acute Lead Obs	ervations
CE Approval			Conductor Fracture	203	Cardiac Perforation	
Registered USA Implant	S	10,374	Crimp Weld Bond	200	Conductor Fracture	
Estimated Active USA In	nplants	3,042	Insulation Breach	3	Extracardiac Stimulation	
Fixation Type		Tines	Other	2	Failure To Capture	
Pace Sense Polarity		True Bipolar/Two Coils		_	Failure To Sense	
Steroid Indicator		Yes	zxamoro zamage		Impedance Abnormal	
					Insulation Breach	
					Lead Dislodgement	
					Oversensing	
					Unspecified	
oduct Surveillance Re	gistry Results		Qualifying Complications	4	·	
mber of Leads Enrolled in	Study	39	Conductor Fracture	3 Impeda	nce Abnormal	1
mulative Months of Follow	ıp	2,199		,		
mber of Leads Active in St	udy	6				



US Market Release	02Sep2004	US Returned Produc	t Analysis	US Acute Lead Ob	servations
CE Approval		Conductor Fracture	7.719	Cardiac Perforation	
Registered USA Implants	186,698	Crimp Weld Bond	3	Conductor Fracture	
Estimated Active USA Implants	46,120	Insulation Breach	37	Extracardiac Stimulatio	
Fixation Type	Active Screw In	Other	94	Failure To Capture	
Pace Sense Polarity	True Bipolar/Two Coils	Extrinsic Damage	34	Failure To Sense	
Steroid Indicator	Yes	Extillisic Dalliage		Impedance Abnormal	
				Insulation Breach	
				Lead Dislodgement	
				Oversensing	
				Unspecified	
Product Surveillance Registry Result	te	Qualifying Complications	120		
Number of Leads Enrolled in Study		Conductor Fracture		nce Abnormal	19
Cumulative Months of Followup		Failure To Capture		on Breach	2
Number of Leads Active in Study	·	Failure To Sense		slodgement	1
variber of Leads Active in Study	100	Tallule 10 Selise	Overse	•	18
				•	10
100%			Other C	omplication	ı
0001					
0001					
0001				Upper 95 Pct Confidence	
0001				Upper 95 Pct Confidence Cumulative Survival Probab	ility
000/					ility
90% - 80% - 70% -				Cumulative Survival Probab	ility
90% - 80% - 70% - 60% -	100 150	200 250		Cumulative Survival Probab	ility

2

96.5%

724

Years

98.5%

842

3

93.4%

614

4

90.9%

519

5

88.4%

408

6

84.8%

309

7

81.9%

212

8

79.7%

146

9

78.9%

93

10

77.6%

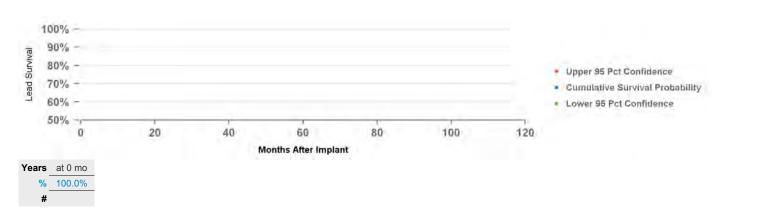
65

at 126 mo

54

73.9%

US Market Release	11Jun2001		US Returned Product	Analys	is	US Acute Lead Observa	ations
CE Approval	19Dec1997		Conductor Fracture		30	Cardiac Perforation	1
Registered USA Implants	4,970		Crimp Weld Bond		00	Conductor Fracture	
Estimated Active USA Implants	2,728		Insulation Breach			Extracardiac Stimulation	
Fixation Type	Suture on Anchor Slee	eve	Other			Failure To Capture	1
Pace Sense Polarity	One Coil		Extrinsic Damage			Failure To Sense	
Steroid Indicator	None		, and the second			Impedance Abnormal	10
						Insulation Breach	1
						Lead Dislodgement	1
						Oversensing	1
						Unspecified	
oduct Surveillance Registry Results		Qual	ifying Complications		3		
ımber of Leads Enrolled in Study	52	Condu	uctor Fracture	1	Impedance	Abnormal	2
umulative Months of Followup	2,165						



21	87	Attain LV	
	US Market F	Release	28Aug2001
	CE Approva	I	
	Registered	USA Implants	11,980
	Estimated A	active USA Implants	1,778
	Fixation Type	e	Distal Continous Curve
	Pace Sense	Polarity	Unipolar
	Steroid Indic	ator	None

# **US Returned Product Analysis**

Conductor Fracture	1
Crimp Weld Bond	
Insulation Breach	1
Other	4
Extrinsic Damage	

#### **US Acute Lead Observations**

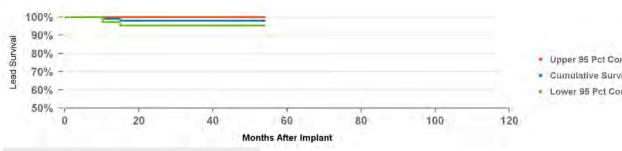
Cardiac Perforation	
Conductor Fracture	
Extracardiac Stimulation	1
Failure To Capture	3
Failure To Sense	1
Impedance Abnormal	
Insulation Breach	
Lead Dislodgement	9
Oversensing	
Unspecified	

#### **Product Surveillance Registry Results**

Number of Leads Enrolled in Study	139
Cumulative Months of Followup	6,843
Number of Leads Active in Study	6

### **Qualifying Complications**





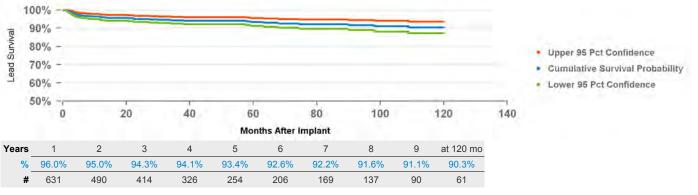
Years	1	2	3	4	at 54 mo
%	99.1%	98.0%	98.0%	98.0%	98.0%
#	105	89	69	56	51

- Cumulative Survival Probability
- Lower 95 Pct Confidence

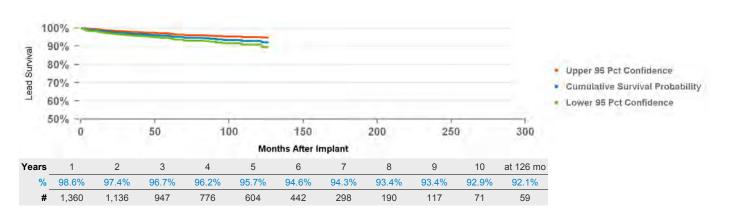
193 Attain OTW					
US Market Release	03May2002	US Returned Product	Analysis	US Acute Lead Obse	rvations
CE Approval	22Dec2000	Conductor Fracture	77	Cardiac Perforation	
Registered USA Implants	100,812	Crimp Weld Bond		Conductor Fracture	
Estimated Active USA Implants	23,549	Insulation Breach	27	Extracardiac Stimulation	1
Fixation Type	Double Curve	Other	46	Failure To Capture	1
Pace Sense Polarity	Unipolar	Extrinsic Damage		Failure To Sense	
Steroid Indicator	Yes	G		Impedance Abnormal	
				Insulation Breach	
				Lead Dislodgement	4
				Oversensing	
				Unspecified	
roduct Surveillance Registry Results		<b>Qualifying Complications</b>	46		
umber of Leads Enrolled in Study	800	Conductor Fracture	1 Impedan	ce Abnormal	2
umulative Months of Followup	30 105	Extracardiac Stimulation	Q Lood Die	ladaamant	1.1

# Cumulative Months of Followup Number of Leads Active in Study 78 Failure To Capture





194 Attain OTW					
US Market Release	24Aug2004	US Returned Product	t Analysis	US Acute Lead Observ	ations
CE Approval	14Jul2003	Conductor Fracture	31	Cardiac Perforation	
Registered USA Implants	114,965	Crimp Weld Bond	01	Conductor Fracture	
Estimated Active USA Implants	52,854	Insulation Breach	122	Extracardiac Stimulation	
Fixation Type	Double Curve	Other	7	Failure To Capture	
Pace Sense Polarity	Bipolar	Extrinsic Damage	,	Failure To Sense	
Steroid Indicator	Yes	Extinisic Damage		Impedance Abnormal	
				Insulation Breach	
				Lead Dislodgement	
				Oversensing	
				Unspecified	
roduct Surveillance Registry Results		Qualifying Complications	62		
umber of Leads Enrolled in Study	1,625	Conductor Fracture	2 Insulation	n Breach	2
umulative Months of Followup	81,517	Extracardiac Stimulation	11 Lead Dis	lodgement	28
-				•	



Failure To Capture

381

Number of Leads Active in Study

18 Insulation Breach Esc

4195	Attain StarFix								
US Mark	et Release	15Aug2008		US Returned Product	Analys	sis	US Acute Lead Observa	ations	
CE Appr	oval	13May2005		Conductor Fracture		7	Cardiac Perforation		
Register	red USA Implants	17,382		Crimp Weld Bond			Conductor Fracture		
	ed Active USA Implants	11,055		Insulation Breach		2	Extracardiac Stimulation	30	)
Fixation 7	**	Deployable Lobe Fixation	on	Other		4	Failure To Capture	21	1
	nse Polarity	Unipolar		Extrinsic Damage			Failure To Sense		
Steroid Ir	ndicator	Yes					Impedance Abnormal	4	ļ
							Insulation Breach		
							Lead Dislodgement	30	)
							Oversensing		
							Unspecified	1	l
<b>Product Su</b>	rveillance Registry Results		Qual	lifying Complications		34			
Number of Le	ads Enrolled in Study	1,486	Cond	uctor Fracture	4	Impedance	Abnormal	2	

Extracardiac Stimulation

Failure To Capture



70,522

412

Cumulative Months of Followup

Number of Leads Active in Study

12 Insulation Breach

6 Lead Dislodgement

US Market Release	15May2009	US Returned Produc	t Analysis	US Acute Lead Obser	vations
CE Approval	24Jul2007	Conductor Fracture	22	Cardiac Perforation	
Registered USA Implants	68,362	Crimp Weld Bond		Conductor Fracture	
Estimated Active USA Implants	47,326	Insulation Breach	2	Extracardiac Stimulation	g
Fixation Type	Double Curve	Other	12	Failure To Capture	6
Pace Sense Polarity	Bipolar	Extrinsic Damage	12	Failure To Sense	
Steroid Indicator	Yes	Extinoio Damage		Impedance Abnormal	
				Insulation Breach	
				Lead Dislodgement	20
				Oversensing	
				Unspecified	
Dundret Commillance Benietme Beau	ulto	Qualifying Complications	81	·	
Product Surveillance Registry Resu	แเร	Qualitying Complications	01		
Vumber of Leads Enrolled in Study	2,268	Conductor Fracture	-	nce Abnormal	2
Number of Leads Enrolled in Study			3 Impedar	nce Abnormal	2
	2,268	Conductor Fracture	3 Impedar 14 Insulation	n Breach	2 1 21
Number of Leads Enrolled in Study Cumulative Months of Followup	2,268 93,832	Conductor Fracture Extracardiac Stimulation	3 Impedar 14 Insulatio 37 Lead Di	on Breach slodgement	1 21
Number of Leads Enrolled in Study Cumulative Months of Followup	2,268 93,832	Conductor Fracture Extracardiac Stimulation	3 Impedar 14 Insulatio 37 Lead Di	n Breach	1
Number of Leads Enrolled in Study Cumulative Months of Followup	2,268 93,832	Conductor Fracture Extracardiac Stimulation	3 Impedar 14 Insulatio 37 Lead Di	on Breach slodgement	1 21
Number of Leads Enrolled in Study Cumulative Months of Followup Number of Leads Active in Study	2,268 93,832	Conductor Fracture Extracardiac Stimulation	3 Impedar 14 Insulatio 37 Lead Di	on Breach slodgement	1 21
Number of Leads Enrolled in Study Cumulative Months of Followup Number of Leads Active in Study	2,268 93,832	Conductor Fracture Extracardiac Stimulation	3 Impedar 14 Insulatio 37 Lead Di Other C	on Breach slodgement omplication	1 21
Number of Leads Enrolled in Study Cumulative Months of Followup Number of Leads Active in Study	2,268 93,832	Conductor Fracture Extracardiac Stimulation	3 Impedar 14 Insulatio 37 Lead Di Other C	on Breach slodgement complication  Upper 95 Pct Confidence	1 21 3
Number of Leads Enrolled in Study Cumulative Months of Followup Number of Leads Active in Study	2,268 93,832	Conductor Fracture Extracardiac Stimulation	3 Impedar 14 Insulatio 37 Lead Di Other C	on Breach slodgement omplication	1 21 3

at 96 mo

94.2%

59

Months After Implant

6

94.7%

460

94.2%

240

2

97.2%

1,457

Years

98.0%

1,861

3

96.5%

1,132

4

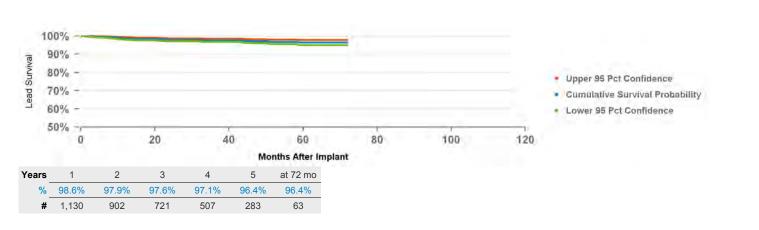
95.9%

861

5

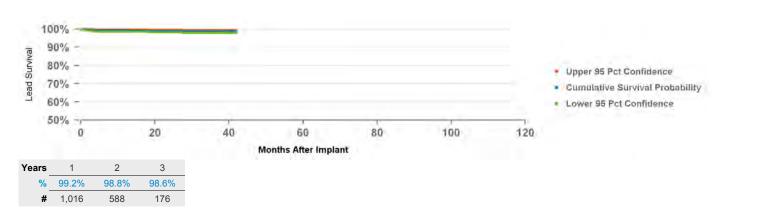
95.5%

4296 Attain Ability Plus						
US Market Release	01Apr2011	US Returned Product Ar	nalys	sis	US Acute Lead Observ	ations
CE Approval	18Dec2009	Conductor Fracture		2	Cardiac Perforation	2
Registered USA Implants	34,419	Crimp Weld Bond		2	Conductor Fracture	1
Estimated Active USA Implants	28,200	Insulation Breach		_	Extracardiac Stimulation	59
Fixation Type	Double Curve	Other		5	Failure To Capture	29
Pace Sense Polarity	<b>Dual Electrodes</b>	Extrinsic Damage		3	Failure To Sense	20
Steroid Indicator	Yes	Extillisic Damage			Impedance Abnormal	9
					Insulation Breach	4
					Lead Dislodgement	115
						115
					Oversensing	
					Unspecified	
Product Surveillance Registry Results		Qualifying Complications		34		
Number of Leads Enrolled in Study	1,445	Extracardiac Stimulation	12	Lead Dislod	gement	13
Cumulative Months of Followup	51,205	Failure To Capture	8	Other Comp	olication	1



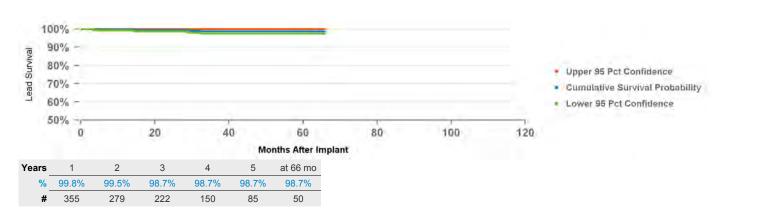
551

4298 Attain Performa						
US Market Release	01Aug2014	US Returned Product A	nalys	is	US Acute Lead Obser	vations
CE Approval	01Jan2013	Conductor Fracture		1	Cardiac Perforation	4
Registered USA Implants	59,871	Crimp Weld Bond			Conductor Fracture	1
Estimated Active USA Implants	56,403	Insulation Breach		1	Extracardiac Stimulation	133
Fixation Type	Double Curve	Other		15	Failure To Capture	73
Pace Sense Polarity	Bipolar	Extrinsic Damage			Failure To Sense	
Steroid Indicator	Yes	_namero Bamage			Impedance Abnormal	17
					Insulation Breach	
					Lead Dislodgement	109
					Oversensing	
					Unspecified	
Product Surveillance Registry Results		Qualifying Complications		14		
Number of Leads Enrolled in Study	1,579	Extracardiac Stimulation	3	Lead Dislodo	gement	11
Cumulative Months of Followup	29,856					



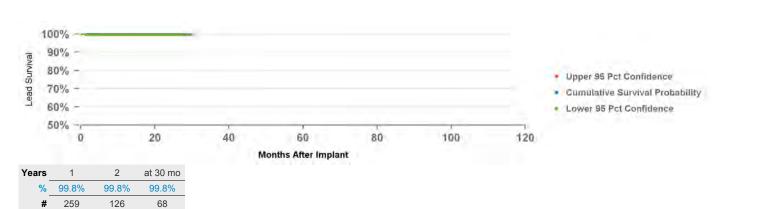
1,204

#### 4396 Attain Ability Straight **US Market Release** 31Mar2011 **US Returned Product Analysis US Acute Lead Observations** CE Approval 18Dec2009 Cardiac Perforation Conductor Fracture Registered USA Implants 7,734 Conductor Fracture Crimp Weld Bond Estimated Active USA Implants 6,203 Extracardiac Stimulation 18 Insulation Breach Fixation Type Tines Other Failure To Capture 9 Pace Sense Polarity **Dual Electrodes** Extrinsic Damage Failure To Sense Steroid Indicator Yes Impedance Abnormal Insulation Breach Lead Dislodgement 33 Oversensing Unspecified **Product Surveillance Registry Results Qualifying Complications** Number of Leads Enrolled in Study Failure To Capture 452 3 Lead Dislodgement Cumulative Months of Followup 16,075



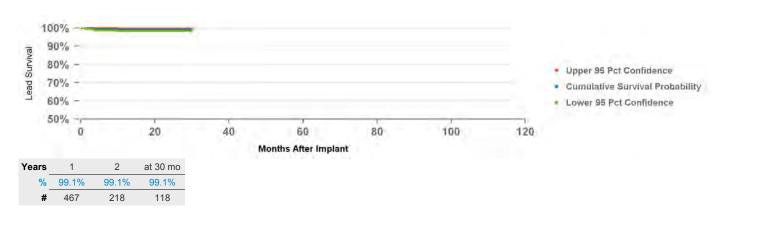
201

398 Attain Performa S	Straight				
US Market Release	10Dec2014	US Returned Product	Analysis	US Acute Lead Observat	ion
CE Approval Registered USA Implants	01Jan2013 15,912	Conductor Fracture	1	Cardiac Perforation	
Estimated Active USA Implants	15,158	Crimp Weld Bond		Conductor Fracture	
Fixation Type	Tines	Insulation Breach Other	4	Extracardiac Stimulation Failure To Capture	
Pace Sense Polarity	Bipolar	Extrinsic Damage	7	Failure To Sense	
Steroid Indicator	Yes	g		Impedance Abnormal	
				Insulation Breach	
				Lead Dislodgement	
				Oversensing	
and and Commercial and a Description Describe		Overlife the set Overland to a file over		Unspecified	
oduct Surveillance Registry Results		Qualifying Complications	2		
mber of Leads Enrolled in Study	713	Failure To Capture	1 Lead Dis	slodgement	1
mulative Months of Followup	8,258				

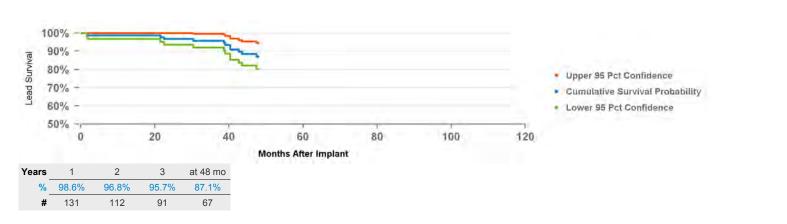


604

4598	Attain Performa S					
US Mark	ket Release	10Dec2014	US Returned Product	Analysis	US Acute Lead Observ	/ations
CE Appi	roval	01Jan2013	Conductor Fracture	3	Cardiac Perforation	6
Registe	red USA Implants	30,608	Crimp Weld Bond		Conductor Fracture	1
Estimat	ed Active USA Implants	29,305	Insulation Breach	1	Extracardiac Stimulation	53
Fixation	Туре	Canted	Other	3	Failure To Capture	27
Pace Se	nse Polarity	Quad Pole	Extrinsic Damage		Failure To Sense	
Steroid I	ndicator	Yes			Impedance Abnormal	5
					Insulation Breach	
					Lead Dislodgement	29
					Oversensing	1
					Unspecified	
Product Su	rveillance Registry Results		Qualifying Complications	6		
Number of Le	eads Enrolled in Study	915	Failure To Sense	1 Lead Dis	lodgement	5
Cumulative M	lonths of Followup	13,062				
Number of Le	eads Active in Study	746				



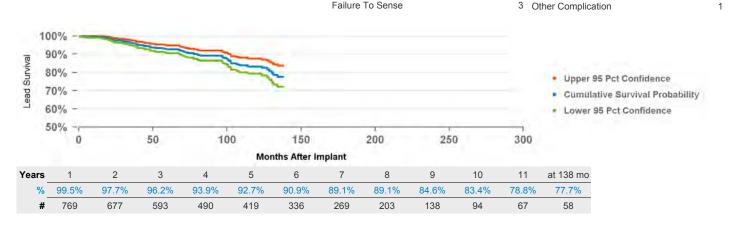
965	CapSure Epi					
US Mar	ket Release	06Sep1996	US Returned Product	Analysis	US Acute Lead Observa	ations
CE App	proval	01Jan1993	Conductor Fracture	263	Cardiac Perforation	
Registe	ered USA Implants	23,159	Crimp Weld Bond	1	Conductor Fracture	
Estimat	ted Active USA Implants	8,569	Insulation Breach	53	Extracardiac Stimulation	
Fixation	Туре	Suture	Other		Failure To Capture	
Pace Se	ense Polarity	Unipolar	Extrinsic Damage		Failure To Sense	
Steroid I	Indicator	Yes			Impedance Abnormal	
					Insulation Breach	
					Lead Dislodgement	
					Oversensing	
					Unspecified	
roduct Si	urveillance Registry Results		<b>Qualifying Complications</b>	16		
umber of Le	eads Enrolled in Study	232	Conductor Fracture	9 Insulati	on Breach	1
umulative N	Months of Followup	7,216	Failure To Capture	3 Overse	nsing	2
					=	



Failure To Sense

5

968 CapSure Epi						
US Market Release	16Sep1999	US Returned Product	Analysis	US Acute Lead C	bservations	
CE Approval	21Apr1998	Conductor Fracture	91	Cardiac Perforation		1
Registered USA Implants	45,617	Crimp Weld Bond	0.	Conductor Fracture		3
Estimated Active USA Implants	28,010	Insulation Breach	49	Extracardiac Stimulat	ion	2
Fixation Type	Suture	Other	1	Failure To Capture		42
Pace Sense Polarity	Bipolar	Extrinsic Damage	'	Failure To Sense		2
Steroid Indicator	Yes	Extilisic Damage		Impedance Abnormal	l	6
				Insulation Breach		1
				Lead Dislodgement		6
				Oversensing		17
				Unspecified		.,
Product Surveillance Registry Results		Qualifying Complications	86	Choposinou		
Number of Leads Enrolled in Study	1,001	Conductor Fracture	24 Imped	ance Abnormal	5	
Cumulative Months of Followup	55,777	Extracardiac Stimulation	2 Insulat	tion Breach	3	
Number of Leads Active in Study	257	Failure To Capture	27 Overs	ensing	21	
		Failure To Sense		Complication	1	



5071	Screv	w-in													
US Mar	ket Release			03Dec	1992		US Retu	rned	Product	Analy	sis	s U	IS Acute Lead Observ	ations	
Estimat Fixation Pace Se	ered USA Impla ted Active USA Type ense Polarity			01Jan1 53,288 16,691 Fixed S Unipola	crew		Conductor F Crimp Weld Insulation B Other Extrinsic Da	Bond	<b>:</b>			Co 2 Ex 1 Fa	ardiac Perforation onductor Fracture stracardiac Stimulation ailure To Capture ailure To Sense		1 6 72 3
Steroid I	indicator			None								In: Le	pedance Abnormal sulation Breach ead Dislodgement versensing nspecified		1
Product Su	urveillance F	Registry Re	esults			Qual	ifying Com	plicat	ons		30		•		
Number of Le	eads Enrolled i	in Study			439	Cond	uctor Fracture			3	B II	mpedance Abn	ormal	1	
Cumulative N	Months of Follo	wup		1.	2,446	Extracardiac Stimulation		1	L	_ead Dislodgem	ent	1			
Number of Le	eads Active in	Study			106	Failure To Capture		19	19 Oversensing			2			
						Failur	e To Sense			2	? (	Other Complicat	tion	1	
90% 90% 80% 70% 60% 50%	-	20	40		60	=	80	* (	100	12	20	Cumula     Lower 9	5 Pct Confidence tive Survival Probability 5 Pct Confidence		
					onths After In	iplant									
Years 1	2	3	4	5	at 72 mo										

95.6%

92.3%

168

90.1%

131

90.1%

88.1%

85.7%

50	38	CapSure VDD-2	
	US	Market Release	
	CE	Approval	

10Sep1998
15Apr1997
10,237
3,668
Tines
Quadripolar

Yes

#### **US Returned Product Analysis**

Conductor Fracture	7
Crimp Weld Bond	
Insulation Breach	2
Other	
Extrinsic Damage	

#### **US Acute Lead Observations**

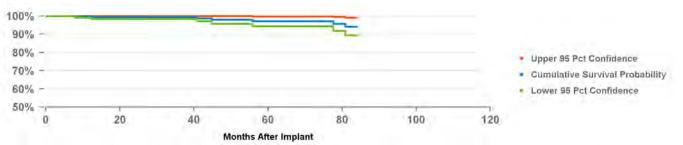
Cardiac Perforation	
Conductor Fracture	
Extracardiac Stimulation	1
Failure To Capture	2
Failure To Sense	2
Impedance Abnormal	
Insulation Breach	
Lead Dislodgement	6
Oversensing	
Unspecified	

#### **Product Surveillance Registry Results**

Steroid Indicator

Number of Leads Enrolled in Study	567
Cumulative Months of Followup	15,757
Number of Leads Active in Study	3

#### **Qualifying Complications** 8 Conductor Fracture 3 Failure To Capture 2 Failure To Sense



Years	1	2	3	4	5	6	at 84 mo
%	99.7%	99.3%	99.3%	97.9%	97.0%	97.0%	94.1%
#	292	222	164	134	105	77	55

#### **ICD and CRT-D Charge Time Performance**

Medtronic continues its commitment to providing updated information on charge time performance.

#### Introduction

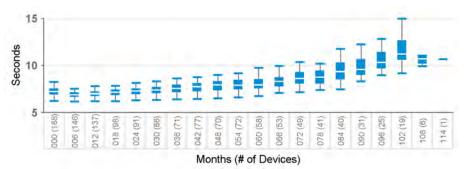
Information on charge time performance of Medtronic products is presented in this section of the CRHF Product Performance Report. Medtronic implemented the collection of charge time data on July 1, 1999. The data are collected via our ongoing active clinical study of long-term system performance called the Product Surveillance Registry. The study protocol requests device data be routinely taken and sent to Medtronic at no more than 6-month intervals.

In our analysis performed for this report, only charge times resulting from full energy charges are considered. To ensure consistent reporting across devices, the charge time reported at implant represents the last charge time available from date of implant. When more than one charge time is available in a 6-month interval, a conservative approach has been adopted whereby only the maximum charge time in each 6-month interval is reported. As charge time is directly proportional to the time elapsed since the last capacitor reformation, charges occurring within 15 days of a previous charge are excluded. This precludes the reporting of overly optimistic results.

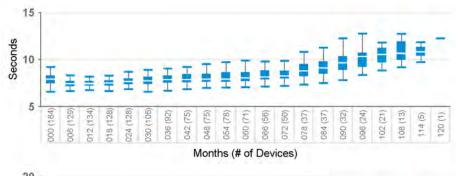
Data from over 20,000 devices contribute to the charge time data in this report. By tracking and reporting this charge time data, Medtronic is able to ascertain the actual performance of its charging circuitry. The insight gained through this information is applied to Medtronic's ongoing efforts to provide charge times that are short and consistent over the life of the product.

Charge time data for ICD and CRT-D models are presented using boxplots at 6-month intervals. The shaded box on the plots represents the middle half of the data – the Interquartile Range (IQR). The white line in the middle of each box is the median charge time. The top of the box representing the IQR is the third quartile or the 75th percentile (i.e., 75% of all charge times fall below this line), whereas the bottom of the box represents the first quartile or the 25th percentile. Vertical lines are drawn from the quartiles to the farthest value not more than 1.5 times the interquartile range. Any values more extreme than the vertical lines are considered outliers.

# 7230 Model Number Brand 7230B Marquis VR 7230Cx Marquis VR 7230E Marquis VR

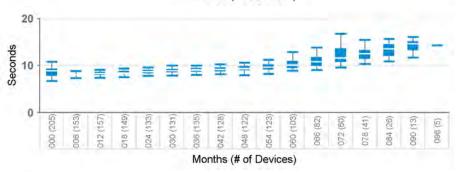






## D144DRG, D154ATG, D154DRG

Model Number Brand
D144DRG Entrust Escudo
D154ATG Entrust AT

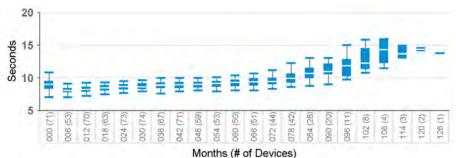


#### D144VRC, D154VRC

Model Number Brand

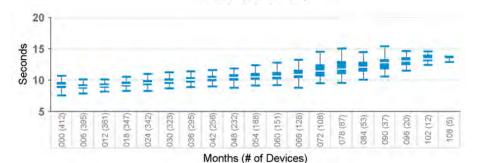
D144VRC Entrust Escudo

D154VRC Entrust VR



#### **D154AWG, D164AWG**

Model Number	Brand
D154AWG	Virtuoso DR
D164AWG	Virtuoso DR



#### **D154VWC, D164VWC**

Model Number Brand
D154VWC Virtuoso VR
D164VWC Virtuoso VR



#### D204DRM, D214DRM, D224DRG, D234DRG

Model Number	Brand
D204DRM	Secura DR
D214DRM	Secura DR
D224DRG	Secura DR
D234DRG	Secura DR

### D204TRM, D214TRM, D224TRK, D234TRK

Model Number	Brand
D204TRM	Consulta CRT-D
D214TRM	Consulta CRT-D
D224TRK	Consulta CRT-D
D234TRK	Consulta CRT-D

# D204VRM, D214VRM, D224VRC, D234VRC

Model Number	Brand
D204VRM	Secura VR
D214VRM	Secura VR
D224VRC	Secura VR
D234VRC	Secura VR

#### D264DRG, D284DRG, D384DRx, D394DRx

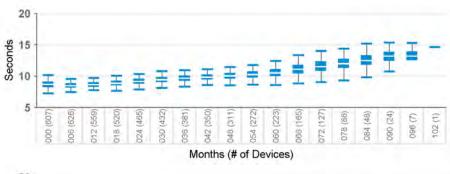
Model Number	Brand
D264DRM	Maximo II DR
D284DRG	Maximo II DR
D384DRG	Cardia DR
D394DRG	Egida DR

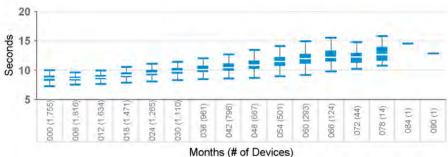
# D264TRM, D284TRK, D384TRx, D394TRx

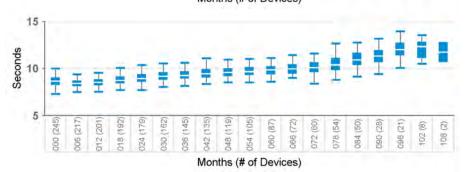
Model Number	Brand
D264TRM	Maximo II CRT-D
D284TRK	Maximo II CRT-D
D384TRG	Cardia CRT-D
D304TRG	Egida CRT-D

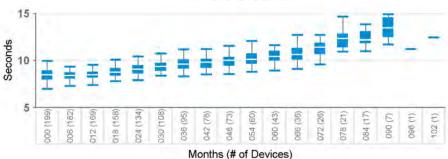
# D264VRM, D284VRC, D384VRx, D394VRx

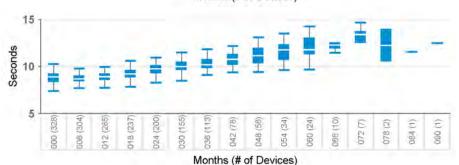
•	
Model Number	Brand
D264VRM	Maximo II VR
D284VRC	Maximo II VR
D384VRG	Cardia VR
D394VRG	Egida VR

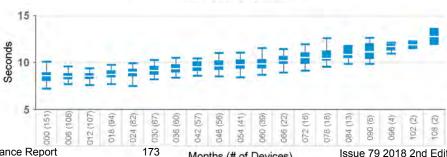












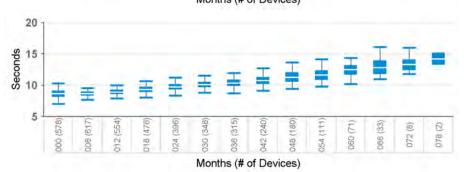
#### D274DRG, D294DRG

Model Number	Brand
D274DRG	Virtuoso II DR
D204DBC	Virtuoso II DP



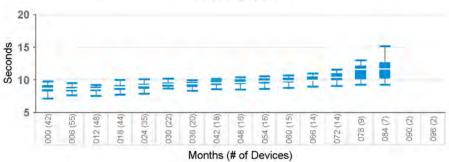
#### D274TRK, D294TRK

Model Number	Brand
D274TRK	Concerto II CRT-D
D294TRK	Concerto II CRT-D



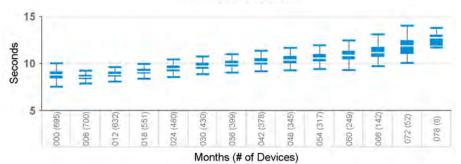
## D274VRC, D294VRC

Model Number	Brand
D274VRC	Virtuoso II VR
D204\/PC	Virtuoso II VR



#### D314DRx

Model Number	Brand
D314DRG	Protecta XT DR
D314DRM	Protecta XT DR



#### D314TRx

Model Number	Brand
D314TRG	Protecta XT CRT-D
D314TRM	Protecta XT CRT-D



## D314VRx

Model Number	Brand
D314VRG	Protecta XT VR
D314VRM	Protecta XT VR



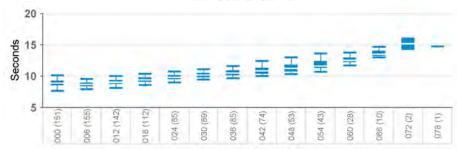
#### D334DRx, D364DRx

Model Number	Brand
D334DRG	Protecta DR
D334DRM	Protecta DR
D364DRG	Protecta DR
D364DRM	Protecta DR

#### 

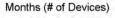
#### **D334TRx**, **D364TRx**

Model Number	Brand
D334TRG	Protecta CRT-D
D334TRM	Protecta CRT-D
D364TRG	Protecta CRT-D
D364TRM	Protecta CRT-D



#### D334VRx, D364VRx

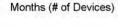
Model Number	Brand
D334VRG	Protecta VR
D334VRM	Protecta VR
D364VRG	Protecta VR
D364VRM	Protecta VR

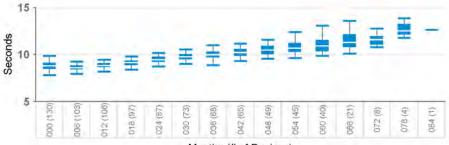




#### D354DRx

Model Number	Brand
D354DRG	Protecta XT DR
D354DPM	Protecta YT DP

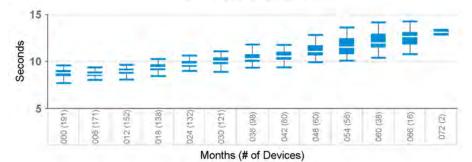




#### D354TRx

Model Number	Brand
D354TRG	Protecta XT CRT-D
D354TRM	Protecta XT CRT-D

Months (# of Devices)



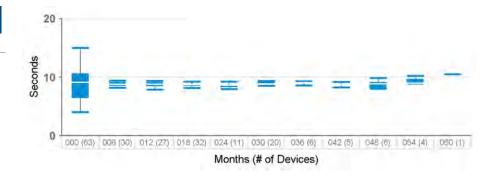
#### D354VRx

Model Number	Brand
D354VRG	Protecta XT VR
D354VRM	Protecta XT VR



#### DDxxxxx, DR

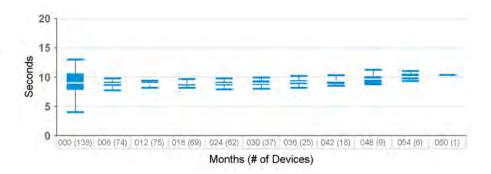
Model Number	Brand
DDBB1D1	Evera XT
DDBB1D4	Evera XT
DDBB2D1	Evera XT
DDBB2D4	Evera XT
DDBC3D1	Evera S
DDBC3D4	Evera S
DDMB1D1	Evera MRI XT
DDMB1D4	Evera MRI XT
DDMB2D1	Evera MRI XT
DDMB2D4	Evera MRI XT
DDMC3D1	Evera MRI S
DDMC3D4	Evera MRI



DTxxxxx, CR	T-D
Model Number	Brand
DTBA1D1	Viva XT
DTBA1D4	Viva XT
DTBA1Q1	Viva Quad XT
DTBA1QQ	Viva Quad XT
DTBA2D1	Viva XT
DTBA2D4	Viva XT
DTBA2Q1	Viva Quad XT
DTBA2QQ	Viva Quad XT
DTBB1D1	Viva S
DTBB1D4	Viva S
DTBB1Q1	Viva Quad S
DTBB1QQ	Viva Quad S
DTBB2D1	Viva S
DTBB2D4	Viva S
DTBB2QQ	Viva Quad S
DTBC2D1	Brava
DTBC2D4	Brava
DTBC2Q1	Brava Quad
DTBC2QQ	Brava Quad
DTBX1QQ	Viva Quad C
DTBX2QQ	Viva Quad C
DTMA1D1	Claria MRI
DTMA1D4	Claria MRI
DTMA1Q1	Claria MRI
DTMA1QQ	Claria MRI
DTMA2D1	Claria MRI
DTMA2D4	Claria MRI
DTMA2Q1	Claria MRI
DTMA2QQ	Claria MRI
DTMB1D1	Amplia MRI
DTMB1D4	Amplia MRI
DTMB1Q1	Amplia MRI
DTMB1QQ	Amplia MRI
DTMB2D1	Amplia MRI
DTMB2D4	Amplia MRI
DTMB2Q1	Amplia MRI
DTMB2QQ	Amplia MRI
DTMC1D1	Compia MRI
DTMC1QQ	Compia MRI
DTMC2D1	Compia MRI

DTMC2D4

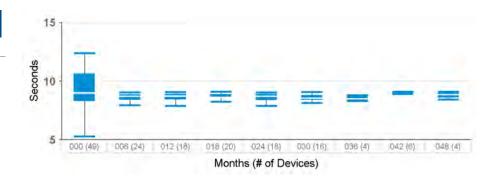
DTMC2QQ



Compia MRI

Compia MRI

DVxxxxx, VR		
Model Number	Brand	
DVAB1D1	Visia AF	
DVAB1D4	Visia AF	
DVAB2D1	Visia AF XT	
DVAC3D1	Visia AF S	
DVBB1D1	Evera XT	
DVBB1D4	Evera XT	
DVBB2D1	Evera XT	
DVBB2D4	Evera XT	
DVBC3D1	Evera S	
DVBC3D4	Evera S	
DVFB1D1	Visia MRI AF	
DVFB1D4	Visia MRI AF	
DVFB2D1	Visia MRI AF XT	
DVFB2D4	Visia MRI AF XT	
DVFC3D1	Visia MRI AF S	
DVFC3D4	Visia MRI AF S	
DVMB1D4	Evera MRI XT	
DVMB2D4	Evera MRI XT	
DVMC3D1	Evera MRI S	
DVMC3D4	Evera MRI S	



# Potential Loss of High Voltage and ATP Therapy

# EnTrust® and Escudo® VR/DR/AT ICDs

# Original Date of Advisory: June 2018

#### **Product**

All models of EnTrust and Escudo VR/DR/AT ICDs devices.

# Advisory

EnTrust and Escudo implantable cardioverter defibrillators (ICDs) have the potential for loss of high voltage and antitachycardia pacing therapy as they near elective replacement indicator (ERI) voltage. Under certain circumstances, the device may display an immediate End of Life (EOL) Observation with no prior ERI alert. Though no ERI alert is triggered, there may not be enough remaining battery capacity to charge the high voltage circuits, resulting in an excessive charge time EOL Observation (refer to Image 1), leading to a loss of high voltage and anti-tachycardia pacing therapy. Bradycardia therapies will continue to operate as expected.

Through June 15, 2018, Medtronic confirmed 25 charge timeout events related to this issue, with no (0) patient deaths or complications. All events occurred during routine capacitor formation or in-clinic charge testing. Twenty-one (21) events occurred with no ERI alert; four (4) events followed an ERI alert. Time from implant to the devices experiencing the issue ranges from 7.9 - 11.7 years.

EnTrust and Escudo ICDs were last manufactured in 2010. Approximately 25,000 sold devices globally are in-scope of this advisory. As of June 2018, an estimated 2,770 of those devices remained actively implanted worldwide (209 confirmed as active in the U.S.). The rate of occurrence in remaining active devices is estimated to be 0.00098 in single chamber ICDs and 0.00005 in dual chamber devices.

# **Patient Management Recommendations**

We realize that each patient requires unique clinical considerations. In consultation with the Independent Physician Quality Panel, Medtronic recommends the following actions:

- Consider scheduling an in-office patient follow-up as soon as possible to assess the potential for this issue per the steps described below.
- Ensure the Excessive Charge Time EOL...and the Low Battery Voltage ERI... Patient Alerts have been programmed to "On-High" (Refer to Image 2).
- Instruct patients to contact your office if they hear device alert tones. Consider utilizing the "Demonstrate Tones..." function to ensure patients recognize the audible tone.
- If this issue has occurred, an "EOL: replace device immediately" Observation will be displayed on the QuickLook report. Schedule device replacement immediately.

Additionally, Medtronic recommends the following actions to help ensure patient safety and effective high voltage therapy remain as the device battery voltage approaches its 2.61V ERI threshold.

# If Battery Voltage ≤ 2.64V:

Prophylactic device replacement should be strongly considered since the device is near its elective replacement and additional programming would provide only minimal additional months of service. For patients for whom it is determined that delaying replacement is clinically desirable, contact Medtronic Technical Services.

# If Battery Voltage > 2.64V:

Step 1: If the Auto-Cap Formation Interval is set to "Auto", reprogram the value to "6" (Refer to Image 3).

Change from an "Auto" value to a fixed numeric value will ensure that an excessive charge time will trigger an audible patient alert.

Step 2: Conduct an in-clinic manual high voltage charge in "Tests - Charge/Dump" (Refer to Image 4a).

DO NOT Dump the Test Charge as it will dissipate on its own and allow for capacitor reformation to occur.

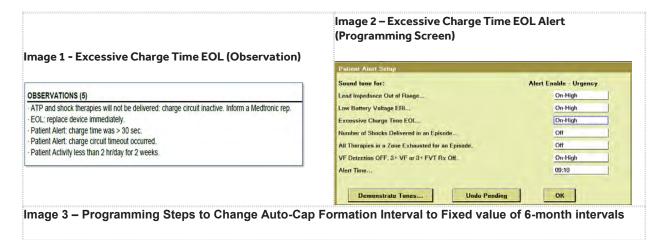
Step 3: Retrieve Data after the Test Charge (Refer to Image 4b)

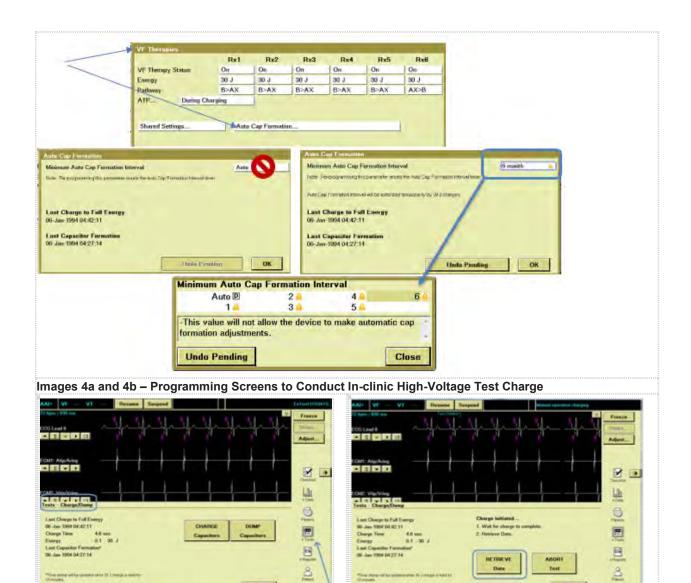
- If Charge Time is less than 16 seconds, no further action is required. Continue with routine follow-up per clinic practice (recommend 3-month follow-up sessions per labeling).
- If Charge Time is 16 seconds or longer, or an "EOL" Observation is displayed, schedule device replacement immediately.

# **Status Update**

As of October 2018, there have been 30 confirmed events related to this issue. An estimated 1,700 remain active WW with less than 100 in the US.

#### PROGRAMMER OBSERVATION AND PROGRAMMING SCREENS





# Potential for Device Reset

# Percepta<sup>™</sup> CRT-P MRI SureScan<sup>™</sup> and Percepta<sup>™</sup> Quad CRT-P MRI SureScan<sup>™</sup>

# Original Date of Advisory: June 2018

### **Product**

All models of Percepta and Percepta Quad CRT-P devices.

## **Advisory**

Percepta and Percepta Quad CRT-P devices have the potential for a device reset to occur due to a timing interaction between the EffectivCRT<sup>TM</sup> Diagnostic and the Ventricular Safety Pacing feature (VSP). When an AP-VS interval measures 100-109ms during a short, nightly device check, a single reset is generated. This reset produces a non-programmable, wireless CareAlert<sup>TM</sup>, but does not alter device therapy. If the device experiences more than five resets due to this timing sequence between in-clinic device interrogations, a full reset (sometimes referred to as a power on reset) will occur. By design, a full reset automatically reverts device operation to RV-only pacing at VVI/65 until the next programmer session is conducted – at which time the full reset condition can be cleared, and the device can be reprogrammed to its prior settings.

A Software update, Application SW040 Version 8.1, is available for installation onto all CareLink™ Model 2090 and Encore™ programmers to eliminate this issue. Once installed on a programmer, an in-clinic device interrogation will update the patient's device automatically to prevent this timing interaction from generating a reset. No changes to programmed device functionality will occur as a result of this device update.

No other Medtronic pacemaker, ICD, CRT-D or CRT-P device models are susceptible to this issue.

Through June 14, 2018, Medtronic has confirmed 105 single reset events and 14 full reset events, with no (0) patient deaths or complications. If the Patient Management guidance provided below is followed, no additional resets due to this timing interaction will occur.

# **Patient Management Recommendations**

In consultation with the Independent Physician Quality Panel, Medtronic recommends the following actions:

- Contact your local Medtronic Representative and schedule installation of the updated Percepta CRT-P Application Software (SW040 Version 8.1) onto Medtronic 2090 and Encore programmers.
- For a patient whose Percepta CRT-P device has experienced a Reset Alert or Observation:

Consider scheduling an in-clinic device interrogation as soon as possible for the patient's device to receive the automatic update.

• For a patient whose Percepta CRT-P device has not experienced a Reset Alert or Observation:

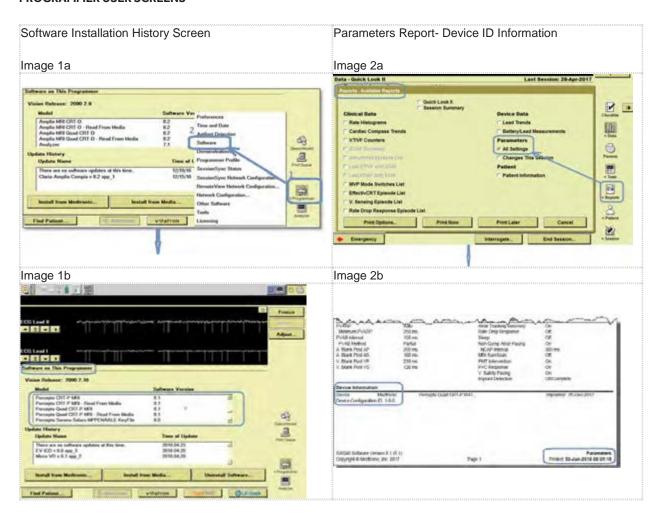
At their next scheduled in-clinic device interrogation, the patient's device will receive the automatic update.

How to verify a patient's device has received the software update:

- Ensure the programmer has been updated to Percepta Application Software "Version 8.1" by viewing the software installation history under the Programmer Icon; Refer to Image 1a and 1b.
- Interrogate the patient's device; Print the Parameters Report Verify the Device ID listed at the bottom of the
  printout displays "Device Configuration ID: 1-0-0" or "Device Configuration ID: 1-1-0; Refer to Images 2a and
  2b.
- If the Parameters Report does not display the new Device ID number, verify that the correct software application has already been installed (SW040 Version 8.1).
- If the programmer has not been updated, install Software Application SW040 Version 8.1 and re-interrogate the patient's device.
- If the programmer has been updated and the Device Configuration ID is not 1-0-0 or1-1-0, the patient's device
  was unable to successfully receive the update. Contact Medtronic Technical Services for additional
  instructions.

If you have any questions, please contact your local Medtronic Representative or Medtronic Technical Services at 800-723-4636.

### **PROGRAMMER USER SCREENS**



# Potential Loss of Device Functionality Lower Risk Subset

# Amplia, Claria, Compia, and Viva CRT-D, and Evera and Visia ICD Original Date of Advisory: March 2018

#### **Product**

In January 2018, Medtronic completed notification to physicians about a subset of 48 Medtronic Cardiac Resynchronization Therapy Defibrillators (CRT-Ds) and Implantable Cardioverter Defibrillators (ICDs) underwent a specific sequence of manufacturing processes that could result in an unexpected loss of device functionality, including high-voltage therapy.

Within this Lower-Risk Subset of 752 devices, if the device delivered the maximum number of shocks until battery depletion, we estimate 0.5% of these devices would experience arcing during high voltage charging, with failure occurring within the first two (2) high-voltage charges in 0.18% of the devices. See table below for comparison of device subsets.

Through 8 March 2018, there have been zero (0) complaints related to internal arcing in these 752 devices. While the risk for failure is lower in this group of devices, it is not possible to identify which of these 752 devices may fail or when they may fail. Successful delivery of previous high-voltage therapy does not ensure future performance.

You may use the "Search for Information by Serial Number" tool at http://wwwp.medtronic.com/productperformance/ to determine if a specific device is affected.

#### Table - Device Subsets

January 2018 48 Implanted Higher-Risk Devices	March 2018 752 Lower-Risk Devices
One field failure has been observed with no deaths reported	No field failures have been observed
7.7% of these devices are projected to fail during the first two high-voltage charges	0.18% of these devices are projected to fail during the first two high-voltage charges
Medtronic communicated a recommendation to strongly consider prophylactic replacement in these devices.	Patient management recommendations follow below.

#### Patient Management Recommendations - Lower Risk Subset

We realize that each patient requires unique clinical considerations. In consultation with Medtronic's Independent Physician Quality Panel (IPQP), Medtronic provides the following recommendations to physicians for patients who have been implanted with one of the identified devices:

- Prophylactic device replacement should be considered for patients at higher risk, including patients whose clinical history indicates prior need for high-voltage therapy and/or for pacemaker-dependent patients.
- Physicians should carefully weigh the risks and benefits of device replacement. The estimated per patient risk for mortality due to this issue is 0.02% to 0.04% considering the risk of device failure and the likelihood of a patient requiring high voltage therapy. This is comparable to the estimated per patient mortality risk of complications associated with a device replacement (0.04%)<sup>[1],[1]</sup>.
- For patients in whom it is determined that replacement is not warranted:
  - Consider programming changes to reduce the potential for high-voltage charges associated with arrhythmia detection and therapies, such as enabling ATP before charging for fast ventricular rhythms or programming a separate fast VT via VF zone with ATP. For assistance with patient-specific programming needs, contact Medtronic Technical Services at 800-723-4636.
  - O Continue three-month in-clinic or remote follow-ups to verify device functionality. Inability to interrogate a device or a failed remote monitoring transmission may be an indication that internal arcing has occurred.

- Devices that have failed will not send an alert as telemetry and all device functionality is immediately lost if internal arcing occurs.
- O Advise patients to seek medical attention immediately if they experience new or unexpected symptoms suspicious for a ventricular arrhythmia.

# **Status Update**

Within the 752devices, there have been zero confirmed failures (0%) through Octoer 12, 2018. An estimated 551 devices remain active

		Active Population	Current Malfunction Rate (confirmed malfunctions over total population)
<b>752</b> Worldwide (all in USA, Puerto Rico or US Virgin Islands.)	0	551	0% Worldwide

Medtronic Data on File. MDT2260884-CRHF CIED Infection Report; MRCS: MDT2260884, Version 2.0, 11/02/2015.

Birnie, D et al. Complications associated with defibrillation threshold testing: The Canadian experience. Heart Rhythm, Volume 5, Issue 3, Pages 387-390.

# Potential Loss of Device Functionality

# Amplia, Claria, Compia, and Viva CRT-D, and Evera and Visia ICD

# Original Date of Advisory: January 2018

#### Product

A subset of 48 Medtronic Cardiac Resynchronization Therapy Defibrillators (CRT-Ds) and Implantable Cardioverter Defibrillators (ICDs) underwent a specific sequence of manufacturing processes that could result in an unexpected loss of device functionality, including high-voltage therapy. You may use the "Search for Information by Serial Number" tool on home page of this web site to determine if a specific device is affected. No other Medtronic devices are included in this advisory.

# **Advisory**

These 48 devices were sent through a manufacturing sequence that introduced the potential for internal arcing during highvoltage charging, leading to the immediate and permanent loss of device functionality. Through 12 January 2018, Medtronic has confirmed one (1) implanted device failure resulting in loss of high-voltage therapy related to this issue, where the patient was rescued with external defibrillation.

Due to the nature of this issue, it is not possible to identify which of these 48 devices may fail or when they may fail. Further, we cannot predict how many high-voltage charges can occur prior to a potential failure. Based on testing of a limited number of available devices that underwent this manufacturing sequence, this failure was observed during high-voltage cycle testing to battery depletion in 23% of these devices, with failure observed within the first two (2) high-voltage charges in 7.7% of the tested devices. Successful delivery of previous high-voltage therapy does not guarantee future performance.

#### **Patient Management Recommendations**

We realize that each patient requires unique clinical considerations. In consultation with Medtronic's Independent Physician Quality Panel (IPQP), Medtronic provides the following recommendation:

 Prophylactic device replacement should be strongly considered for patients who have been implanted with one of the devices in the affected subset.

#### Status Update

Within the 48 devices, there has been 1 confirmed failure (2.1%) through October 12, 2018. An estimated 8 devices remain active.

	Number of Confirmed Advisory Related Events	Population	Current Malfunction Rate (confirmed malfunctions over total population)
<b>48</b> Worldwide (all USA)	1	7	<b>2.1%</b> Worldwide

# Potential Loss of Left Ventricle Pacing Due to Software Issue

All models of Claria MRI CRT-D SureScan and Amplia MRI CRT-D SureScan devices.

# Original Date of Advisory: December 2016

#### Product

All models of Claria MRI CRT-D SureScan and Amplia MRI CRT-D SureScan devices.

### Status Update April 2018

Medtronic has now obtained the necessary regulatory approvals and is ready to begin applying a programmer software update (SW034 Software Version 8.2) to correct this software issue in the devices. In addition, as previously described in the original advisory letter, the software update also addresses a transient mode switch behavior that may occur in MRI Quadripolar CRT-D device models (Claria MRI $^{\text{TM}}$ , Amplia MRI $^{\text{M}}$  and Compia MRI $^{\text{M}}$ ). Deployment of the software is complete in many parts of the world. Full deployment worldwide is expected by November 2018.

Once installed by a Medtronic Representative on the programmer, an in-clinic device interrogation will update the patient's device automatically. To prevent possible recurrence of the issues, the patient must continue to be programmed only with programmers that have this update. The loss of LV pacing issue will still occur if the specific programming sequence described in the original advisory letter is performed using a programmer not updated with SW034 Software Version 8.2.

Directions on how to apply this update to patient devices and to verify that devices are operating correctly can be found at http://www.medtronic.com/us-en/healthcare-professionals/products/product-performance/claria-mri-crt-d-surescan.html. If you have any questions, or if we can be of further assistance, please contact your local Medtronic Representative or Medtronic Technical Services at 800-723-4636.

# **Original Advisory**

Due to a device software issue, a loss of Left Ventricle (LV) pacing occurs following a specific device programming sequence. If it occurs, this issue can be corrected by re-programming the device. All tachyarrhythmia detection and therapy features remain fully operational.

A software update is being developed to address this issue. Further information will be communicated once the software update receives applicable regulatory approvals.

All models of Claria MRI and Amplia MRI devices are included in the affected population. This issue can only occur in devices that have been programmed from Managed Ventricular Pacing (MVP) mode to a pacing mode with AdaptivCRT enabled.

When a patient with AdaptivCRT enabled (shipped setting) is subsequently programmed to MVP mode and then reprogrammed back to DDD or DDDR, AdaptivCRT is not re-enabled. When this programming sequence occurs, LV pacing is not delivered, despite parameters indicating AdaptivCRT is enabled. This will result in RV only pacing, which may be undesirable for the patient. LV pacing will remain disabled until a specific programming sequence is manually completed; refer to the Patient Management section below for details.

Through 10 November 2016, two events have been reported to Medtronic related to this issue. A review of available data revealed an overall occurrence rate of 0.38%. Medtronic has not received any reports of patient injury related to this issue.

## **Original Patient Management Recommendations**

After consultation with Medtronic's Independent Physician Quality Panel, Medtronic offers the following options for managing patients with a device that may be susceptible to the AdaptivCRT/MVP interaction.

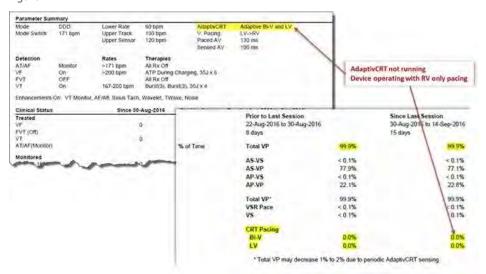
Until the software update has been approved and the affected device models receive the update, follow the programming recommendations provided below. These recommendations also apply to any new device implants.

At the patient's next scheduled CareLink transmission or in-office follow-up, identify if the patient's device
is operating with AdaptivCRT enabled and loss of LV-pacing. Continue this practice for all subsequent
device evaluations until the software update has been implemented.

Using CareLink or Programmer interrogation session reports:

- If the CRT setting is currently programmed to Adaptive Bi-V and LV or Adaptive Bi-V (Figure 1), review rate histogram CRT Pacing percentages (CRT Pacing: Bi-V and LV).
- If Bi-V and LV pacing percentages Since Last Session are both near 0%, then the device has encountered the programming sequence and has lost LV pacing; proceed to step 2.

Figure 1



## 2. For patients identified with loss of LV pacing:

Perform the following programming steps to restore the device to its expected operating state with AdaptivCRT enabled:

- Select the CRT parameter window, select Nonadaptive CRT, and select PROGRAM.
- Select the CRT parameter window, select the desired AdaptivCRT setting (Adaptive Bi-V and LV or Adaptive Bi-V), and select PROGRAM.

# Until the software update is available, follow the programming steps above to avoid the loss of LV pacing.

As part of the software update previously mentioned, Medtronic will also address an unrelated transient mode switch behavior that may occur in MRI Quadripolar CRT-D device models (Claria MRI, Amplia MRI and Compia MRI). The mode switch behavior is unrelated to ventricular tachyarrhythmia detection and therapies. This behavior only occurs when a VectorExpress<sup>TM</sup> Test is started, but then aborts due to a fast or unstable rate, or due to a manual user abort (i.e., manually selecting STOP Test). Under these scenarios, the device remains in the transient mode switch state until any of the following occur:

- An automatic Atrial Capture Management™ (ACM) pacing threshold search,
- An automatic delivery of any ATP or shock therapy, or
- An in-office follow-up activity, such as a pacing parameter programming or conducting one of the following inoffice tests: Sensing, Threshold, Underlying Rhythm, or CardioSync™. A "Test Started" indication is sufficient
  to clear the transient state.

Through 10 November 2016, Medtronic has not received any field reports or complaints of this transient mode switch

If you have any questions, please contact your local Medtronic Representative or Medtronic Technical Services at 800-723-4636.

# Potential Rapid Battery Depletion Due To Circuit Component

Viva<sup>™</sup> CRT-D and Evera<sup>™</sup> ICD

# Original Date of Advisory: August 2016

#### Product

A specific subset of 78 Viva CRT-D and Evera ICD may experience rapid battery depletion due to a low resistance path developing within a circuit component. You may use the "Search for Information by Serial Number" tool at http://wwwp.medtronic.com/productperformance to determine if a specific device is affected.

### **Advisory**

Devices in the affected population may experience rapid battery depletion due to a low resistance path developing within a circuit component. This is not related to a failure within the battery.

Development of a low resistance path in the circuit component in some cases has been reported to cause battery depletion in seven (7) days or less and may present clinically during a patient follow-up visit as:

- One or more electrical resets, which will display as an observation on the programmer.
- No pacing or defibrillation therapy output.
- No telemetry.
- Programmer screen display of "SERIOUS DEVICE MEMORY FAILURE."

Patient audible alerts and CareAlerts™ may not reliably notify the patient or clinician, due to this issue.

Reported complications have included shortness of breath, pocket heating, low heart rate, and early device explant.

## **Patient Management Recommendations**

We realize that each patient requires unique clinical consideration and we support your judgment in caring for your patients. After consultation with Medtronic's Independent Physician Quality Panel, Medtronic offers the following options for managing patients implanted with an affected device:

Advise patients to seek medical attention immediately if they experience symptoms (e.g., fainting or lightheadedness) or if the audible patient alert sounds.

For pacemaker-dependent patients or those at a higher risk of Ventricular Tachycardia (VT) or Ventricular Fibrillation (VF):

• Physicians should consider device replacement.

For patients where the physician does not believe device explant is the best course of action, Medtronic offers these additional options:

- Program the audible alerts for "Low Battery Voltage RRT" to "On-High". It is possible that alerts may not sound if the battery is depleted. Therefore physicians should also consider one of the following:
  - o Provide a handheld magnet to patients to frequently check device status.
    - Requires one or more audible alerts be programmed ON.
    - Device operation may be monitored frequently (e.g., daily) by patients placing the magnet over the device for 1-2 seconds and then removing the magnet. If the device is functional, a steady tone will sound for approximately 10 seconds. If no tone or an oscillating high/low tone is heard, advise patients to seek care immediately.
  - Prescribe either a CareLink<sup>TM</sup> transmission be performed by the patient, or a maintenance transmission by the clinic, on a more frequent basis (e.g., weekly or daily) based on the unique patient considerations. The clinic should review these transmissions upon receipt.
    - If the transmission is unsuccessful the patient should be brought into the clinic for immediate follow-up as this may be an indication that the device battery has depleted to a level where it can no longer support telemetry.
    - Review transmissions for any signs of this issue (e.g., one or more electrical resets, or notification that a
      device alert has occurred).
    - Each transmission will decrease battery longevity by approximately one day

# **Status Update**

Within the 78 devices, there have been 10 confirmed failures (13%) through October 12, 2018. Medtronic modeling predicts an additional three (3) failures may occur in the remaining active population. An estimated 30 devices remain active.

Initial Affected Population	Number of Confirmed Advisory Related Events	Population Population	Current Malfunction Rate (confirmed malfunctions over total population)
<b>78</b> Worldwide	10 Worldwide	<b>30</b> Worldwide	0.13%

# Potential High Battery Impedance

# InSync® III Model 8042

# Original Date of Advisory: November 2015

#### **Product**

All InSync® III Model 8042 Pacemakers

### **Advisory**

Medtronic has identified an issue related to long-term battery performance. Through 27 October 2015, Medtronic has confirmed 30 devices (0.03%) worldwide have been impacted by this issue, for which the root cause is unexpected high battery impedance.

Unexpected high battery impedance can result in the battery's inability to supply sufficient electrical current, impacting device function. Twelve (12) of the 30 devices had reports of unexpected loss of pacing capture. The other 18 devices experienced some form of erratic behavior, including early elective replacement indication (ERI), significant fluctuations in remaining longevity estimates, and inaccurate lead impedances. Through 27 October 2015, events associated with this issue have occurred in devices with implant durations of 53 months or more. Medtronic has received one report of a patient death, where it is possible, but unconfirmed, that this issue was a contributing factor.

If pacing capture is compromised, some patients may experience a return of heart failure symptoms due to loss of biventricular pacing. In cases involving pacemaker-dependent patients, a loss of pacing capture could result in serious injury or death.

The Physician Letter for this issue is available at http://www.medtronic.com/insync-iii-crt-p

# Patient Management Recommendations (As of November 2015)

We realize that each patient requires unique clinical consideration. After consultation with Medtronic's Independent Physician Quality Panel (IPQP), Medtronic offers the following recommendations for patients with an InSync III CRT-pacemaker:

- Prophylactic device replacement in non-pacemaker-dependent patients is not recommended.
- For pacemaker-dependent patients, physicians should carefully weigh the risks and benefits of device replacement to mitigate this issue on an individual patient basis
  - The estimated per patient mortality risk of this issue (0.007% to 0.02%) is comparable to the
    estimated per patient mortality risk of complications associated with an incremental, early device
    replacement (0.005%).
- Continue routine patient follow up in accordance with standard practice, and advise patients to seek medical attention immediately if they experience new or unexpected symptoms.

# **Status Update**

As of October 12, 2018, approximately 9,200 devices remain active worldwide, from an original implant population of 96,800. In the United States, 3,700 active devices remain. Our modeling predicts an estimated failure rate between 0.16% and 0.6% for the remaining active devices. Due to the unpredictable nature of this issue, it is not possible to identify which devices might fail or when they might fail. The issue cannot be mitigated by programming changes or increasing patient follow-up frequency. InSync III CRT-pacemakers are no longer distributed.

Initial Affected Population	Number of Confirmed Advisory Related Events	Estimated Remaining Active Population	Current Malfunction Rate (confirmed malfunctions over total population)
96,800 Worldwide (39,900 United States)	-	J,200 monamae	<b>0.16%</b> Worldwide ( <b>0.22%</b> United
(35,500 Officed States)	Officed States)	(6,7 00 01000 010100)	States)

# **Potential Rapid Battery Depletion**

# EnTrust® VR/DR/AT ICDs

Original Date of Advisory: March 2012

### **Product**

All EnTrust ICDs.

# **Advisory**

A small percentage of EnTrust ICDs may not meet expected longevity or provide at least three months of device operation between the Elective Replacement Indicator (ERI) and End of Life (EOL) due to a more-rapid-than-expected drop in battery voltage. No patient deaths or serious injuries have been reported as a result of this issue.

The reported events have involved a drop in battery voltage from ~3.0 V to ERI (2.61 V) over a time period ranging from approximately one week to six months. All reported events have occurred at least 30 months after implant.

Medtronic has identified the cause of these occurrences to be an internal battery short that develops as the battery capacity is consumed. The Physician Letter is available at <a href="http://www.medtronic.com/product-advisories/entrust/physician/index.htm">http://www.medtronic.com/product-advisories/entrust/physician/index.htm</a>

# Patient Management Recommendations (As of March 2012)

After consultation with Medtronic's Independent Physician Quality Panel, Medtronic offers the following patient management recommendations:

- Physicians should continue routine follow-up sessions at least every three months in accordance with product labeling.
- Physicians should program the audible patient alerts for "Low Battery Voltage ERI" and "Excessive Charge Time EOL" to ON.
- Physicians should replace devices promptly after they reach ERI if the decline in voltage is more rapid than expected.
- Prophylactic replacement of EnTrust ICDs is not recommended.

# Status Update

As of October 12, 2018, there have been 97 confirmed events. No patient deaths have been reported due to this issue. No reports have been made of a failure to deliver high voltage therapy.

Initial Affected Population	Number of Confirmed Advisory Related Events	Population Population	Current Malfunction Rate (confirmed malfunctions over total population)
<b>69,200</b> Worldwide ( <b>44,300</b> United States)	97 Worldwide (75 United States)	<b>1,700</b> Worldwide ( <b>less than 100</b> United States)	<b>0.14%</b> Worldwide ( <b>0.17%</b> United States)

# Potential Conductor Wire Fracture

# 6930, 6931, 6948, 6949 Sprint Fidelis Defibrillation Leads

Original Date of Advisory: October 2007

### **Product**

All Model 6930, 6931, 6948, and 6949 implantable defibrillation leads.

# **Advisory**

There are two primary locations where chronic conductor fractures have occurred on Sprint Fidelis leads: 1) the distal portion of the lead, affecting the anode (ring electrode) and 2) near the anchoring sleeve tie-down, predominantly affecting the cathode (helix tip electrode), and occasionally the high voltage conductor. These two locations account for approximately 90% of the chronic fractures identified in Returned Product Analysis (RPA). The remaining 10% of chronic fractures occurred in the DF-1 connector leg and the proximal portion of the RV coil. High voltage conductor fractures could result in the inability to deliver defibrillation therapy. Anode or cathode conductor fractures (at either location) may present clinically as increased impedance, oversensing, increased interval counts, multiple inappropriate shocks, and/or loss of pacing output.

# Patient Management Recommendations (Updated April 2011)

The Lead Integrity Alert (LIA) provides three days advance notice prior to inappropriate therapy to 76% of patients with lead fractures<sup>1</sup>. As a result, we strongly recommend that all Sprint Fidelis patients who have the ability to upgrade to Lead Integrity Alert do so promptly. Also ensure that high voltage lead impedance alerts (maximum of 100 ohms) are programmed. When a lead fracture is suspected or confirmed, immediate patient attention is strongly recommended. Physicians should inform their patients to seek medical attention without delay if they experience unexpected shocks.

- If a Fidelis lead fracture of any type has occurred, we recommend implanting a new high voltage lead with or without extraction of the Fidelis lead.
- In patients with normal device function and no manifestation of lead fracture, no action is recommended. The risk of prophylactic intervention appears to be greater than serious injury resulting from lead fracture even for pacemaker dependent patients, except in select individual patient circumstances as determined by the physician.
- In the event of a device change-out or upgrade procedure, with no manifestation of lead fracture, consider the patient age and lead model data above, as well as patient life expectancy, co-morbidities, ease of extraction related to implant time, patient preference, etc., for the following options:
  - o Leave a properly performing lead intact.
  - o Implant a new ICD lead without extraction of the existing lead.
  - o Carefully consider all factors before prophylactic placement of a pace-sense lead. Data shows an increased risk of high voltage conductor fracture if a pace-sense conductor fracture has previously occurred. This data is available at <a href="http://www.medtronic.com/us-en/healthcare-professionals/products/product-performance/sprint-fidelis-11-2015-update.html">http://www.medtronic.com/us-en/healthcare-professionals/products/product-performance/sprint-fidelis-11-2015-update.html</a>
  - o Individual patient circumstances may warrant extracting and implanting a new ICD lead. If warranted, Medtronic's Independent Physician Quality Panel recommends the lead extraction procedure be performed by a physician with extensive lead extraction experience.<sup>2</sup>

# **Status Update**

As of October 12, 2018, of the initial implant population of 205,600 in the United States, approximately 51,400 remain implanted. According to Product Surveillance Registry results, lead survival is estimated to be 73.9% (+4.9/-4.6%) at 126 months. As the implanted population ages and the sample size increases for each time interval, the accuracy of the estimated survival probability will increase as shown by tighter confidence intervals.

Initial Affected Population		Estimated Remaining Active Population
	, , ,	<b>69,900</b> Worldwide ( <b>51,400</b> United States)

# Footnotes:

- 1: Swerdlow C, Gunderson, B, et al. "Downloadable Algorithm to Reduce Inappropriate Shocks Caused by Fractures of Implantable Cardioverter-Defibrillator Leads", Circulation, November 2008, 118: 2122-2129.
- 2: "Transvenous Lead Extraction: Heart Rhythm Society Expert Consensus on Facilities, Training, Indications, and Patient Management", Heart Rhythm, Vol 6, No 7, July 2009.

# Dual Chamber Pacemakers with Measurement Lock-up ERI Kappa 600, 700, 800, 900, EnPulse, Adapta, Versa, Sensia, Relia, and Vitatron Models E50A1, E60A1, and G70A1

# **Purpose of this Information**

This Performance Note describes a rare measurement lock-up issue that impacts the Medtronic dual chamber pacemakers listed above. If this measurement lock-up occurs, the device will trigger a false Elective Replacement Indicator (ERI). A reset is available to clear this condition and there is no need to explant the device. This issue does not impact battery longevity.

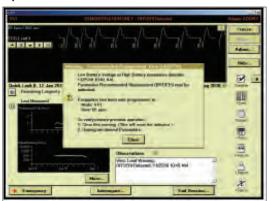
# **Background**

If this rare measurement lock-up occurs in the pacemaker, it causes the device to read a value of zero for battery voltage. After four measurements of zero, the device will trigger ERI and revert to a VVI pacing mode at 65 bpm. There is no loss of ventricular pacing and the output voltage will remain the same.

# Programmer Software Reset Method (Adapta, Versa, Sensia, Relia, Vitatron Series E and G)

Programmer software is available which can differentiate a regular ERI and an ERI caused by the measurement lockup issue. Upon interrogation of a device with the measurement lock-up ERI, the programmer software

Example 1 – Programmer Software Detects Measurement Lock-up ERI



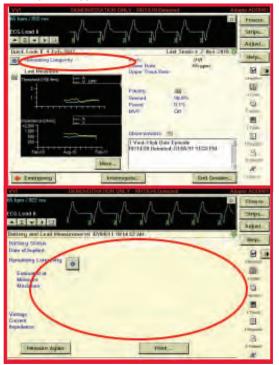
recognizes the issue and guides the clinician to clear the ERI (Example 1). Following an ERI reset, the device parameters should be reviewed and reprogrammed to clinician specifications.

# Reset Method for Kappa and EnPulse

A service tool continues to be available through Medtronic Technical Services to clear the measurement lock-up issue for Kappa and EnPulse devices.

The issue can be identified using the programmer or via CareLink transmission; the battery voltage measurements and remaining longevity will appear as blank values (Example 2). If this measurement lock-up occurs, contact Medtronic Brady Technical Services at 1-800-505-4636 for assistance.

Example 2 – Programmer Screens for Measurement Lock-up ERI (Kappa and EnPulse)



# Clinical Management of VCM near Elective Replacement

# **Background**

Medtronic Technical Services has received reports of devices going to ERI or end of life (EOL) sooner than expected after a normal follow-up in which the device longevity was projected to be approximately 18 months. It has been noted that these cases typically involve Kappa 700 devices where Ventricular Capture Management set the ventricular lead to high output (5 V, 1 ms), which occurs by device design when a high threshold is measured. It is important for physicians and allied professionals to understand VCM behavior as it relates to longevity so that they can, in turn, understand how this affects management of the device and follow-up visits as VCM equipped IPGs near the end of their expected life.

# **Device Longevity and VCM Behavior**

Ventricular Capture Management is a feature that uses evoked response sensing to determine the stimulation threshold needed to capture the ventricular chamber. Proper detection of the evoked response is crucial to the VCM algorithm determining an accurate capture threshold. There are rare conditions, however, during which the VCM algorithm will not be able to measure the evoked response accurately. When this occurs, for safety reasons the VCM algorithm will reprogram the output to 5 V, 1 ms until the subsequent VCM measurement.

If the device has considerable remaining longevity, these occasional excursions to high output do not substantially affect remaining longevity. However, if the device has less than approximately 18 months remaining longevity, there is the possibility that the high output condition caused by the 5 V, 1 ms output will drain the battery and trigger ERI.

When ERI is declared by the device, VCM is disabled and the outputs are left at 5 V, 1 ms until the device is reprogrammed at an in-office follow-up. This increased current drain of a high output condition will speed depletion of the device, possibly resulting in the device getting to the EOL (battery voltage  $\leq$  2.15 V).

Please note that the following parameter changes occur when the device goes to ERI:

Table: IPG Therapy Parameter Changes at ERI

Parameter	Value
Pacing Mode	VVI
Lower Rate	65 bpm
Single Chamber Hysteresis	OFF
Sleep Function	OFF
Ventricular Capture Management	OFF
Atrial Sensing Assurance	OFF
Ventricular Sensing Assurance	OFF

Kappa 700 is Medtronic's first-generation VCM algorithm, which has a relatively higher incidence of evoked response undersensing compared to subsequent algorithms, resulting in more frequent high output conditions. Therefore, Kappa 700 products are the primary focus of this note. It should be noted that IPGs equipped with the second-generation VCM algorithm (Kappa 900, EnPulse, Adapta/Versa/Sensia, and Relia) have not been observed with evoked response undersensing in the general population, though the items listed in "Follow-Up Considerations" may also be used on these devices.

# **Follow-Up Considerations**

- Estimated longevity in the event the device goes to high output can be determined by the following steps. This allows the clinician to determine follow-up frequency if he or she is concerned the device may go to ERI due to high output.
  - Program the ventricular channel to 5 V, 1 ms
  - Navigate to Data/Battery and Lead Measurements
  - When the message stating "Warning Old Data" is displayed, select "Yes" to measure battery voltage and lead impedance at the new ventricular outputs
  - An updated remaining longevity estimate will be calculated on the elevated outputs. Note the "Minimum Remaining Longevity." Clinical decisions can be based on this value.
  - Program the Amplitude and Pulse Widths back to their original values before leaving the session
- If the capture trends and lead impedance trends are stable, VCM can be programmed to "Monitor Only" for the remaining device life. This should be considered only if remaining longevity is 18 months or less.
- Follow-up frequency can be increased for those patients who do not have stable capture or lead impedance trends.
   This can be done via a CareLink Home Monitor, or in-office.

<sup>&</sup>lt;sup>1</sup> Medtronic, Inc. (2001). Medtronic Kappa 700/600 Series Pacemaker Reference Guide (Chapter 4, p. 27). Can be retrieved from http://manuals.medtronic.com.

# **General Follow-Up and Replacement of ICD Leads**

Implanted leads operate in the challenging biochemical environment of the human body and the body's response to foreign objects. Implanted leads are also subject to mechanical stresses associated with heart motion, body motion, and patient anatomy.

In this environment, pacemaker and defibrillation leads cannot be expected to last forever. Unlike implantable cardioverter defibrillators (ICDs), a lead's longevity cannot be predicted nor are there simple indicators that a lead is approaching the end of its service life. The determination that a lead may be approaching end of service life requires follow-up of the chronically implanted lead and thorough evaluation of lead integrity at ICD replacement.

# Follow-Up of Chronically Implanted Leads

The frequency of follow-up for ICD patients will depend on a number of factors including the patient's medical condition, ICD system implant time, hospital/clinic follow-up practice, and Medicare guidelines.

In all cases, it is important to assess the functionality of the ICD system and the integrity. For newly implanted leads, it is beneficial to establish a baseline of chronic performance parameters once the lead has stabilized, generally within 6 to 12 months after implant. These performance parameters should include pacing and sensing thresholds and impedance. During routine patient follow-up, these procedures can be used to evaluate lead integrity.

- Measure pacing and sensing threshold and compare to the chronic baseline. Significant increases or decreases may be indicative of lead failure, dislodgement, perforation, exit block, etc.
- Measure pacing impedance where possible and compare to the chronic baseline. Decreases of 30% or more or pacing impedances below 200-250 ohms may be indicative of insulation failure. Sudden and significant increases in pacing impedance may be indicative of conductor fracture.
- High voltage lead circuit impedance should be between 10-75 ohms at system implant. Chronic measurements below 10 and above 200 ohms may be indicative of high voltage lead circuit failure.
- Carefully review ECGs or the nonsustained detection log on Medtronic ICDs for indications of pacing and/or sensing abnormalities such as oversensing, undersensing, and loss of capture
- Elicit and investigate any patient complaints/symptoms that may be suggestive of potential lead failure

Where routine follow-up indicates, additional tools should be used to further evaluate performance. Tools include radiographic data, ICD electrograms, ICD Patient Alert and performance information from the Product Surveillance Registry (PSR).

The final decision on the functional integrity and continued use of an implanted lead must be a matter of medical judgment based on these factors as well as specific patient conditions.

# **General Criteria for Lead Replacement**

The evaluation of a chronically implanted lead is an important part of the decision to continue to use the lead with a new ICD. However, these results alone do not necessarily predict the future integrity of that lead. With the expected longevity of today's ICDs varying between approximately 5 and 10 years, a physician replacing a device should consider a number of factors, including those listed below.

Factors that should be considered in a decision to replace or continue to use include:

- Pacing and sensing thresholds should be evaluated for the potential to maintain acceptable levels
- Pacing impedance should be measured. Bear in mind that pacing impedance below 250 ohms results in excessive battery current drain, which may seriously compromise ICD longevity, regardless of lead integrity.
- The physical appearance of the lead should be examined for insulation cracks, breaches, or other indications of lead wear or degradation
- Medtronic System Longevity Study data should be referenced. Actuarial survival of the lead and the observed lead failure mechanisms are specific factors to consider. Use of a new lead should be considered if failure mechanisms suggest an increased time dependency as suggested in the shape of performance curve for the specific lead model.
- Current publications may provide additional information on the clinical management of leads.<sup>1-3</sup> Ultimately, the decision to replace an implanted lead involves medical judgment.
- <sup>1</sup> Hauser RG, Cannom D, Hayes DL, et al. Long-term structural failure of coaxial polyurethane implantable cardioverter defibrillator leads. *PACE*. June 2002;25(6):879-882.
- <sup>2</sup> Ellenbogen KA, Wood MA, Shepard RK, et al. Detection and management of an implantable cardioverter defibrillator lead failure: incidence and clinical implications. *J Am Coll Cardiol.* January 1, 2003;41(1):73-80.
- <sup>3</sup> Hauser RG, Kallinen LM, Almquist AK, Gornick CC, Katsiyiannis WT. Early failure of a small-diameter highvoltage implantable cardioverter-defibrillator lead. *Heart Rhythm*. July 2007;4(7):892-896.

# **Clinical Management of High-Voltage Lead System Oversensing**

Appropriate sensing by an ICD system refers to the sensing of cardiac events that may or may not require therapy delivery. ICD systems must sense relatively large QRS complexes while avoiding sensing of smaller T waves, yet continue to sense often small variable amplitude ventricular fibrillation. Thus, ICD systems attempt to dynamically adjust sensing of electrical events and discriminate between them based on detection algorithms and programmed settings.

Inappropriate sensing can occur when an ICD system classifies events of non-cardiac origin as QRS/VF events, or senses and counts T and far-field P waves as ventricular depolarizations. This is often referred to as "oversensing," and may result in delivery of inappropriate high-voltage therapies. This is due, in part, to the desire to err on the side of delivering lifesaving high voltage therapy rather than withholding

it. Thus, an ICD system that is experiencing oversensing issues will continue to deliver therapeutic shocks as required, but may also subject the patient to unnecessary shocks.

Oversensing can be difficult to manage, in that the precipitating cause of the oversensing can be problematic to isolate. Oversensing can be caused by many factors, including myopotentials/far-field sensing, electromagnetic interference, T wave sensing, connector issues, incomplete or complete conductor fractures, and insulation breaches. While the individual physician must exercise medical judgment in determination of appropriate clinical management of ICD systems, the chart below may assist in the process of causal factor differentiation and possible intervention.

Phenomenon	Causal Factors	Characteristics	Management/Comments
Myopotentials/ Far-field sensing	Diaphragmatic muscle potentials in breathing, wide tip-to-ring (coil on integrated bipolar leads) spacing	Nonphysiological sensed event on EGM, which may confuse detection potentially resulting in false positive shocks	Check R waves for deterioration. Reprogram sensitivity. Try repositioning lead. Consider change-out to true bipolar lead, or if true bipolar lead in use, one with closer tip-to-ring spacing than current lead.
EMI (Electro-Magnetic Interference)	Arc welders, electrical generators, store walk-through security scanners, poorly insulated electrical equipment	Multiple and consecutive short intervals (< 140 ms) independent of underlying sinus beats. Associated with proximity to the EMI source.	Avoid EMI areas. True bipolar leads less susceptible.
T-wave sensing	Drugs, ischemic tissue, exercise, Long QT syndrome, electrolyte imbalance	Sense markers seen on EGM related to T wave. False positive detection.	Check for R wave deterioration and characteristics. If R wave > 3.0 mV, reprogram sensitivity. If R wave < 3.0 mV, reposition/replace lead. Address causal factor (e.g., drugs [if appropriate/medically viable]).
Connector problems	Loose setscrew, cross-threaded setscrew, incomplete lead insertion into header	This is an acute phenomenon seen within 6 months of implant (usually sooner)	Requires invasive check of connections.  May be reproducible with pocket manipulation.
Incomplete conductor fracture	One or more filars of a multifilar conductor fracturing while leaving enough filars intact to provide a conduction circuit	Characterized by chaotic oversensing related to motion of the fracture site	Check EGMs and x-rays. Manipulate lead at suspected fracture site if possible as a provocative test. If confirmed, replace lead.
Lead insulation breach	Cuts, tears, metal ion oxidization, abrasion, cold flow, environmental stress cracking	Characterized by cyclical and/or erratic, intermittent, spontaneous oversensing; often post-pace or post-shock can cause false positives	Replace lead. If acute, usually secondary to implant damage/replacement damage. If late, material characteristic.
Oversensing during interrogation with programming head (not wireless telemetry) with complete lead fracture	Interrogation with a programming head in combination with complete lead fracture that creates an open circuit can induce noise on the sensing circuitry inside the ICD can	Nonphysiologic sensed event on EGM. If detection is enabled during interrogation, oversensing may result in inappropriate therapy.	Quickly remove the programming head. CANCEL the interrupted interrogation and manually load the software for the specific device model. Reposition the programmer head over the device and immediately select SUSPEND. Device will resume detection when programming head is removed, or when RESUME is selected. Replace lead.

Technical Services is available at all times to advise clinicians in the troubleshooting and management of Medtronic products. For assistance in the United States, please call 1 (800) 723-4636. In other countries, please contact your local Medtronic representative.

# **Tests and Observations for Clinical Assessment of Chronic Pacing Leads**

Test/Observation	Possible Insulation Failure	Possible Conductor Failure	Possible Other System Failure	Effect on Test/ Observation
Pacing Impedance (Telemetered or Measured Invasively)	Sudden and Significant Decrease	Sudden and Significant Increase	Dislodgement	Increase or Decrease Increase or Decrease
Pacing Thresholds (Telemetered/Programmed or Measured Invasively)	Sudden and Significant Increase, Especially in Bipolar System	Sudden and Significant Increase	Dislodgement	Increase Increase Increase
Electrograms (Telemetered or Measured Invasively)	Sudden and Significant Decrease in Amplitudes and/or Slew Rates for P and/or R Waves	Sudden and Significant Decrease or Disappearance of Amplitudes and/or Slew Rates for P and/or R Waves	Dislodgement	Decrease Decrease .Decrease
Waveform Analysis (Oscillographs of Pacer Artifact from ECG Electrodes)	Sudden Increase in Ratios of Leading-Edge Voltages to Trailing-Edge Voltages (i.e., over 25% increase)	Intermittent or No Pacer Artifacts (Even in Asynchronous Mode)	Improper IPG/Lead Connection	Intermittent or No Pacer Artifacts (Even in Asynchronous Mode)
Radiographs (Post-Implant, Recent, Current)	Not Discernible	Visual Observation of Conductor/Connector/ Electrode Fracture (Sometimes Discernible)	Dislodgement or Perforation. Improper IPG/Lead Connection.	Sometimes Discernible
Visual Inspection (Invasive)	Insulation Breach and/or Degradation, or Ligature Cut-Through	Not Easily Discernible	Connector Defect or Connector Pulled Apart. Improper IPG/ Lead Connection.	Sometimes Discernible
Pectoral Muscle Stimulation	Sudden Onset, Especially in Bipolar System		Connector Defect in Bipolar or Unipolar. Hypersensitivity to Unipolar Pulse Generator Can. Anti-Stim Coating or Protection Deficient.	
Phrenic Nerve/ Diaphragmatic Stimulation	Sudden Onset in Bipolar or Unipolar Systems		Perforation or Displacement of Atrial Lead (Phrenic Nerve)	
Pacemaker ECG Stimulus Artifact Size and Morphology Change (May Not Be Possible with Digital ECG)	Sudden Onset and Significant Change, Especially in Bipolar System (Increase in Size)	Sudden Changes, Usually a Decrease in Size	Perforation or Dislodgement. Connector Defect. Improper IPG/ Lead Connection.	Sometimes Discernible
Oversensing (Intermittent or Continuous)	Sudden Onset, Especially in Bipolar Systems		Physical Contact between the Electrode(s) on the Lead and that of Another Lead. Inappropriate IPG Parameter Setting. Improper IPG/Lead Connection.	Sometimes Discernible
Undersensing (Intermittent or Continuous)	Sudden Onset in Either Unipolar or Bipolar Systems	Sudden Onset in Either Unipolar or Bipolar Systems	Dislodgement or Perforation. Infarct at Electrode Site. Electrolyte Imbalance. Inappropriate IPG Parameter Setting. Improper IPG/Lead Connection.	Sometimes Discernible
Loss of Capture	See "Pacing Thresholds" Above	See "Pacing Thresholds" Above	See "Pacing Thresholds" Above	

# Mailer Kits Available for Returning Product

Medtronic urges all physicians to return explanted products and to notify Medtronic when a product is no longer in use, regardless of reason for explant or removal from use. The procedures for returning products vary by geographic location.

Mailer kits with prepaid US postage are available for use within the United States to send CRT, ICD, IPG, and leads to Medtronic's CRHF Returned Product Analysis Lab. These mailers are sized to accommodate the devices and leads from a single patient or clinical event and are designed to meet US postal regulations for mailing biohazard materials.

If the product being returned is located outside the United States, please contact your local Medtronic representative for instructions.

Medtronic also requests the return of devices from non-clinical sources, such as funeral homes, and will assume responsibility

for storage and disposal of the product once received.

Mailer kits can be obtained by contacting the Returned Product Lab.

CRHF Returned Product Analysis Laboratory Phone: 1 (800) 328-2518. ext. 44800

Email: crdm.returnedproduct@medtronic.com



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